schedule 4

Proofs of Claim

United States Bankruptcy Court/Southern District of New York	
Lehman Brothers Holdings Claims Processing Center	LEHMAN SECURITIES PROGRAMS
c/o Epiq Bankruptcy Solutions, LLC	PROOF OF CLAIM
FDR Station, P.O. Box 5076	PROOF OF CLAIM
New York, NY 10150-5076	
In Re:  Lehman Brothers Holdings Inc., et al.,  Chapter 11  Case No. 08-13555 (JMP)	FILL HODGE OF HEIGHT AND
Lehman Brothers Holdings Inc., et al., Debtors.  Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al.
(Jointly Administered)	08-13555 (JMP) 0000042090
Note: This form may not be used to file claims other than those	011 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
based on Lehman Programs Securities as listed on	3
http://www.lehman-docket.com as of July 17, 2009	State Carte
Name and address of Creditor: (and name and address where notices should be	e sent if different from Check this box to indicate that this
Creditor) Legend Logistics Ltd.	claim amends a previously filed claim.
c/o Capitol International LLC and Thomas R. Slome,	Esq.
	English & Klein. PC   Court Claim Number:
	oue (If known)
1.0 201. 3231	York 11530-9194 Filed on:
Telephone number:97150 4553832 email: capitol@emirates.n	net ae
Name and address where payment should be sent (if different from above)	
, '	Check this box if you are aware that
See Rider Section 5	anyone else has filed a proof of claim relating to your claim. Attach copy of
	statement giving particulars.
Telephone number: Email Address:	
1. Provide the total amount of your claim based on Lehman Programs Securities as of Sentember 15, 2008, whether you curred the Lehman	ies Vous claim amount must be at
and whether such claim matured or became fixed or liquidated before or after S dollars, using the exchange rate as applicable on September 15, 2008. If you are	eptember 15, 2008. The claim amount must be stated in United States
dollars, using the exchange rate as applicable on September 15, 2008. If you are you may attach a schedule with the claim amounts for each Lehman Programs 5	Enling this claim with respect to more than one Lehman Programs Security,
	us Interest; See Rider
(xtequires)	
Check this box if the amount of claim includes interest or other charges in	addition to the principal amount due on the Lehman Programs Securities.
2. Provide the International Securities Identification Number (ISIN) for each this claim with respect to more than one Lebman Programs Security.	Lehman Programs Security to which this claim relates. If you are filing
this claim with respect to more than one Lehman Programs Security, you may a which this claim relates.	stach a schedule with the ISINs for the Lehman Programs Securities to
International Securities Identification Number (ISIN): XS0351261630	(Required)
3 Provide the Clearstream Bank Blacking Number a Turnel and Day	
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic appropriate (each, a "Blocking Number") for each Lehman Programs Security from your accountfolder (i.e. the hank broker or other entity that hadde much	c Reference Number, or other depository blocking reference number, as
and administration of the state of th	ing Numbers for each Lehman Programs Security to which this claim
relates.	J William J William
Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction	Defended Number and August
number:	a Reference Number and or other depository blocking reference
CA07696 Se	ee Rider and Certificate of Holding
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant you are filing this claim. You must acquire the relevant Clearstream Bank.	account number related to your Lehman Programs Securities for which
you are filing this claim. You must acquire the relevant Clearstream Bank, Euro	clear Bank or other depository participant account number from your
accountholder (i.e. the bank, broker or other entity that holds such securities on y numbers.	our benait). Beneficial holders should not provide their personal account
Accountholders Euroclear Bank, Clearstream Bank or Other Depositors Ba	sufficient Assessed BY
Accountholders Euroclear Bank, Clearstream Bank or Other Depository Pa BNP Paribas Account No. 15512 at Clearstream	rucipant Account Number:
_(Swift Code CEDELULLXXX) (Required)	The second section of the second seco
5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By a consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank	filing this claim, you ke or other depository to
disclose your identity and holdings of Lehman Programs Securities to the Debtor	K or other depository to
reconciling claims and distributions.	
Date. Signature: The person filing this claim must sign it Sign and	OCT 1 9 2009
of the creditor or other person authorized te-file this claim and	print name and title, if any,
number if different from the notice address above. Attach copy	of power of attorney, if FDIO DAMADURES SOLUTION
any.	of power of attorney, if EPIQ BANKRUPTC\ SOLUTIONS, LLC
Penalty for presenting fraudulent claim Fine time \$500,000 as in-	

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SOUTHERN DISTRICT OF N		
	X	
In re:		Chapter 11
LEHMAN BROTHERS HOI	DINGS INC., et al.,	Case No. 08-13555 (JMP)
	Debtors.	(Jointly Administered)
	·	

### RIDER TO LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM

### 1. <u>Basis for Claim</u>.

Legend Logistics Ltd. ("Claimant") is the beneficial holder of the securities listed on the attached Certificate of Holdings (the "Certificate"). The Certificate provides the amount, type and ISIN Code for the securities, as well as the account number of the institution through which Claimant holds these securities. A "Blocking Number" is also reflected on the Certificate.

Claimant makes claim against Lehman Brothers Holdings Inc. (the "Debtor") for the principal amount of these securities, which is \$500,000), plus any and all applicable interest or other earnings or charges to which Claimant is entitled as a result of the ownership of these securities, including without limitation interest accruing on the securities before and after the September 15, 2008 date on which the Debtor filed its bankruptcy petition. Interest accrued and unpaid to that date is \$9,270.80.

### 2. Reservation of Rights.

Claimant reserves the right to amend or supplement this Proof of Claim to reflect any additional claims against the Debtor, to specify additional interest, costs, expenses or other charges or claims incurred by the Claimant and to file additional claims that may be based on the same or additional documents.

#### 3. No Waiver.

This Proof of Claim is filed to protect the Claimant from forfeiture of the Claim. The filing of this Proof of Claim is not: (a) a waiver or release of the Claimant's rights against any person, entity or property; (b) a consent by the Claimant to the jurisdiction of the Bankruptcy Court with respect to the subject matter of the Claim or any objection or other proceeding commenced in this case against or otherwise involving the Claimant; (c) a waiver of the right to move to withdraw the reference or otherwise to challenge the jurisdiction of this reference or otherwise challenge the jurisdiction of the Bankruptcy Court; (d) an election of remedy; (e) a waiver of any rights or claims the Claimant has against the Debtor or any person or entity with respect to any pending or future litigation or to any matters related to such litigation; or (f) a waiver of past, present or future defaults or events of default.

#### 4. Notices.

All notices to the Claimant should be sent to:

MEYER, SUOZZI, ENGLISH & KLEIN, P.C. Attn: Thomas R. Slome, Esq. 990 Stewart Avenue, Suite 300 P.O. Box 9194 Garden City, New York 11530-9194 Email: tslome@msek.com

Phone: 516-592-5772

Legend Logistics Ltd. c/o Capitol International LLC Shop No. 2, G/Fl Emirates Centre (San Fashion Bldg) P.O. Box 41710 Karama, Dubai, U.A.E. Attn: Mr. R. Sakhrani

Email: capitol@emirates.net.ae

Phone: 97150 4553832

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### 5. Payment.

Payment on the claim should be made payable to Legend Logistics Ltd. and be sent to:

Legend Logistics Ltd. c/o BNP Paribas (Suisse) S.A. Selnaustrasse 16 CH -8022 Zurich Switzerland

Capitol International LLC P.O. Box 41710 Dubai United Arab Emirates

Geneva, 01.09.2009

# **Certificate of holding**

We are pleased to confirm that you, as client of our Bank, held, as per Mr/Ms....., the following position:

<u>USD 500'000 - 7,5% LEHMAN BROTH TRSY 3/M LIB. 08-2018</u>

(ISIN code: XS0351261630)

Those securities are registered on our account n° 15512 named BNP Paribas/clients assets opened at Clearstream, 42 Avenue J.F. Kennedy, 1855 Luxembourg (CEDELULLXXX).

## The Blocking Number is CA07696

This information is delivered upon your request and in the context of the filing of Proof in the Lehman Brothers Holding Incorporated's bankruptcy proceeding. It is given to you without any liability and should not be interpreted as an undertaking on our part.

Yours faithfully,

BNP PARIBAS (SUISSE) SA

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### MEYER, SUOZZI, ENGLISH & KLEIN, P.C.

ONE COMMERCE PLAZA SUITE 1705 ALBANY, NEW YORK 12260 518-465-5551 FACSIMILE: 518-465-2033

1300 CONNECTICUT AVENUE, N.W. SUITE 600
WASHINGTON, DC 20036
202-955-6340
FACSIMILE: 202-223-0358

Counselors at Law
990 Stewart Avenue, Suite 300
P.O. Box 9194
Garden City, New York 11530-9194

516-741-6565 FACSIMILE: 516-741-6706 E-MAIL: meyersuozzi@msek.com

WEBSITE: http://www.msek.com

1350 Broadway, Suite 501 P.O. Box 822 New York, New York 10018-0026 212-239-4999 Facsimile: 212-239-1311

425 BROADHOLLOW ROAD, SUITE 405 P.O. BOX 9064 MELVILLE, NEW YORK 11747-9064 631-249-6565 FACSIMILE: 631-777-6906

THOMAS R. SLOME

October 16, 2009

### VIA FEDEX

Epiq Bankruptcy Solutions, LLC Attn: Lehman Brothers Holdings Claims Processing 757 Third Avenue, 3<sup>rd</sup> Floor New York, NY 10017

> Re: In re Lehman Brothers Holdings Inc. Chapter 11 Case No. 08-13555 (JMP)

### Dear Sir/Madam:

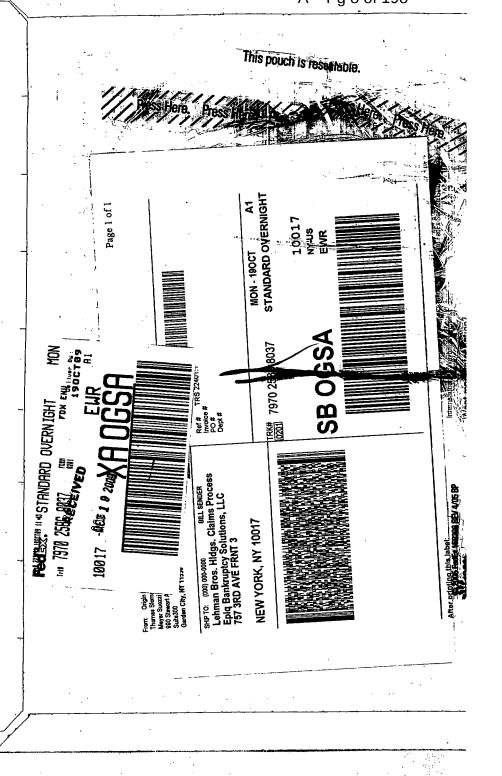
Enclosed is an original and one copy of a Lehman Securities Programs Proof of Claim, which we are submitting on behalf of our client, Legend Logistics Ltd.

Please file the original (together with its rider and other attachment) and return the copy, file stamped to show receipt, in the enclosed self-addressed post-paid envelope.

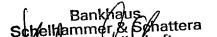
Very truly yours,

Thomas R. Slome

TRS/lg encl.



United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076		LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM		
In Re: Lehman Brothers Debtors.	Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Souther Lehman Brothers I 08-1355	Holdings Inc., Et Al.
based on Lehm	n may not be used t nan Programs Secur man-docket.com as			
Name and address of Creditor: (and name and address where notices should be sent if different from Creditor)				Check this box to indicate that this claim amends a previously filed claim.
Creditor		est Financial Ser na, Kaerntnerstra		Court Claim Number:(If known)
Notices to: Bankhaus Schelhammer & Schattera AG 1010 Vienna, Goldschmiedgasse 3 Telephone number: Email Address:			Filed on:	
Name and address where payment should be sent (if different from above)  Bankhaus Schelhammer & Schattera AG Goldschmiedgasse 3, 1010 Vienna, Austria			Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.	
1. Provide the to Programs Securiti and whether such dollars, using the you may attach a  Amount of Clain  Check this b  2. Provide the I this claim with re which this claim in	tal amount of your claim less as of September 15, 2 claim matured or became exchange rate as applicate schedule with the claim less are specified in the second sec	2008, whether you owned the Lehmar the fixed or liquidated before or after Suble on September 15, 2008. If you are amounts for each Lehman Programs 80.00—— (Required)  n includes interest or other charges in dentification Number (ISIN) for each	ies. Your claim amount must be a Programs Securities on Septen September 15, 2008. The claim is filing this claim with respect to Security to which this claim related an addition to the principal amount. Lehman Programs Security to sattach a schedule with the ISINs	e the amount owed under your Lehman nber 15, 2008 or acquired them thereafter, amount must be stated in United States o more than one Lehman Programs Security,
3. Provide the Cleappropriate (each from your account than one Lehman relates.  Clearstream Barnumber:	earstream Bank Blocking, a "Blocking Number") tholder (i.e. the bank, but Programs Security, you have Blocking Number, I	g Number, a Euroclear Bank Electron for each Lehman Programs Security oker or other entity that holds such so may attach a schedule with the Block Euroclear Bank Electronic Instruction	ic Reference Number, or other of for which you are filing a claim ecurities on your behalf). If you king Numbers for each Lehman	depository blocking reference number, as . You must acquire a Blocking Number are filing this claim with respect to more Programs Security to which this claim other depository blocking reference
	stream) CA3	(Requir		
you are filing this accountholder (i.e numbers.	claim. You must acqui e. the bank, broker or oth	re the relevant Clearstream Bank, Eurer entity that holds such securities on	roclear Bank or other depository n your behalf). Beneficial holde	ur Lehman Programs Securities for which y participant account number from your rs should not provide their personal account
Accountholders -381		stream Bank or Other Depository l (Require		
consent to, and ar	re deemed to have author nitry and holdings of Less and distributions.  Signature: The person	ream Bank or Other Depository: By rized, Euroclear Bank, Clearstream Boman Programs Securities to the Debut on filing this claim must sign it. Sign a	y filing this claim, you ank or other depository to tors for the purpose of and print name and title, if any,	FOR COURT USE ONLY FILED / RECEIVED  OCT 2 1 2009
2009, Oct. 15	of the creditor or other	person authorized to file this claim a m the notice address above. Attach co	nd state address and telephone	EPIQ BANKRUPTCY SOLUTIONS, LLC



Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

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Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076
New York, NY 10150-5076
United States of America
CERTIFIED MAIL / einschreiben

Vienna, 2009-10-15

Subject:

Proofs of Claim based on Lehman Programs Securities

Adjustment of our transmitted Proof of Claim Form dated 2009-09-28

#### Dear Sirs and Madams!

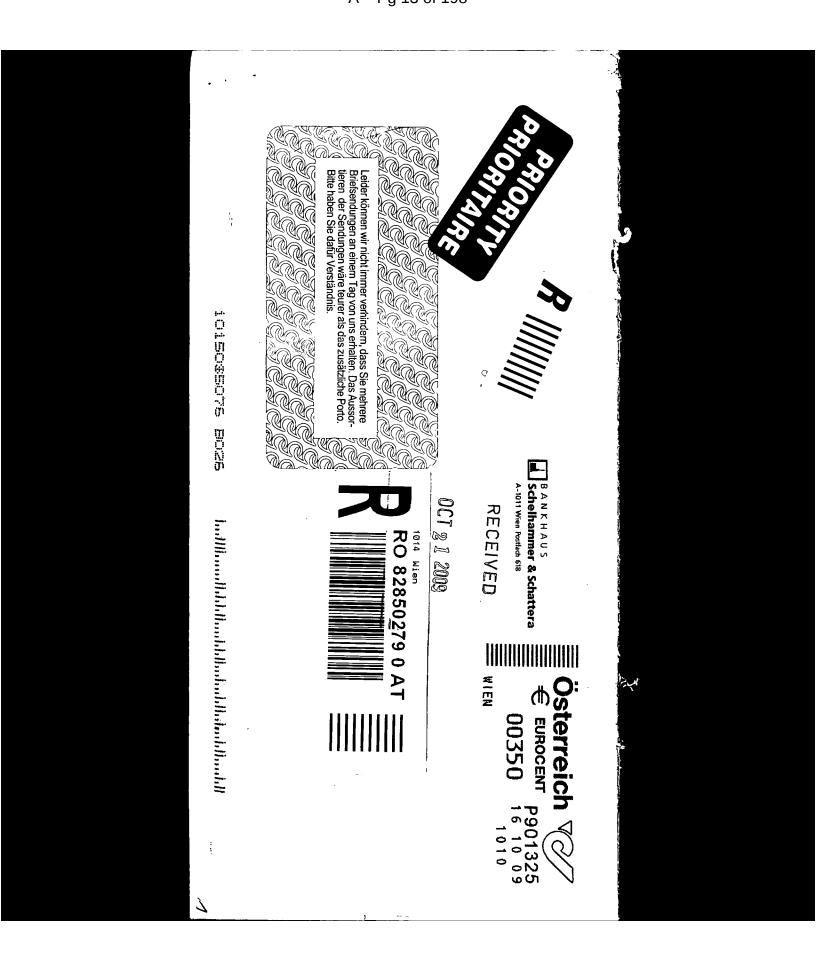
Referring to the subject mentioned above and our letter dated 2009-09-28 we kindly ask you to adjust our already transmitted Proof of Claim Form (dated see above) as we unfortunately have used a wrong blocking number. We hereby attach the "correct", duly completed form with the valid blocking number CA34598 in number 3.

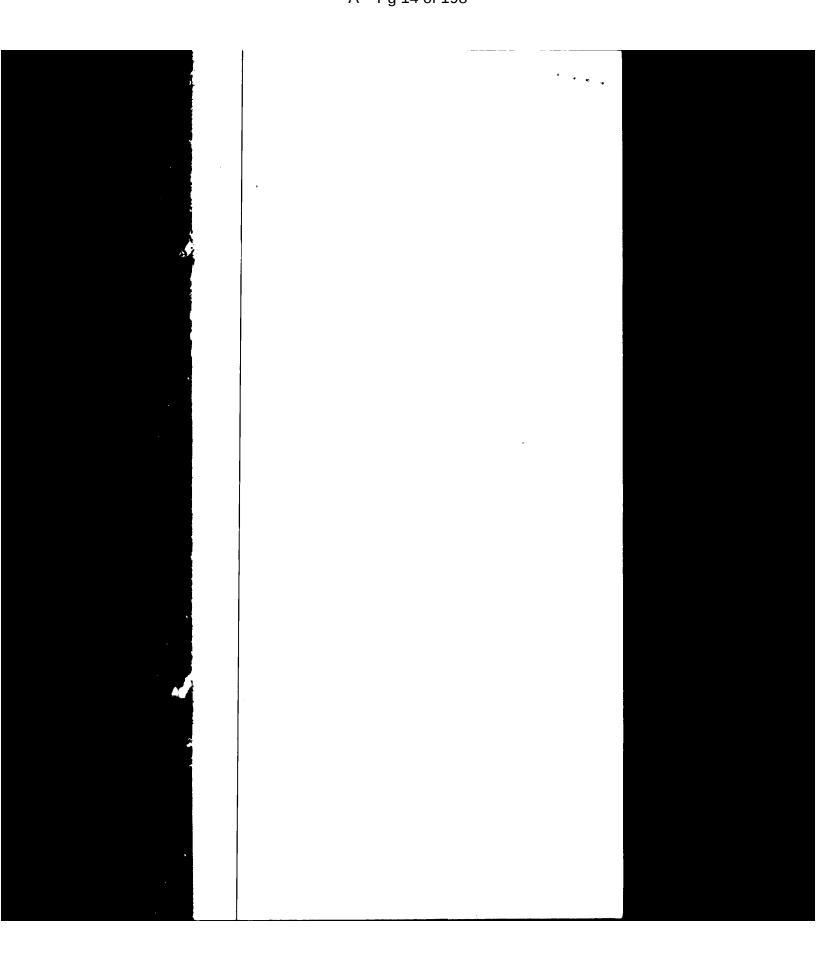
Except the mentioned blocking number, everything else stays the same.

We also ask you to affirm the reception of this letter including the form per e-mail bank.office@schelhammer.at for our records.

With kind regards,

One Attachment Proof of Claim Form





United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076	LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM
In Re:  Lehman Brothers Holdings Inc., et al., Debtors.  Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000044272
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009	
Name and address of Creditor: (and name and address where notices should be creditor) Olli-Pekka Laitinen  C/o Front Capital Ltd  Aleksanterinkatu 48 A  FI-00100 HELSINKI  F(NLAND  Telephone number: +35896829800Email Address: Fekka.ri	claim amends a previously filed claim.  Court Claim Number:  (If known)  Filed on:
Name and address where payment should be sent (if different from above)	Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
Telephone number: Email Address:  1. Provide the total amount of your claim based on Lehman Programs Securities Programs Securities as of September 15, 2008, whether you owned the Lehman and whether such claim matured or became fixed or liquidated before or after Securities, using the exchange rate as applicable on September 15, 2008. If you are you may attach a schedule with the claim amounts for each Lehman Programs Securities Amount of Claim: \$ 495 285 (Required)  Check this box if the amount of claim includes interest or other charges in	Programs Securities on September 15, 2008 or acquired them thereafter, eptember 15, 2008. The claim amount must be stated in United States filing this claim with respect to more than one Lehman Programs Security, ecurity to which this claim relates.  addition to the principal amount due on the Lehman Programs Securities.
2. Provide the International Securities Identification Number (ISIN) for each this claim with respect to more than one Lehman Programs Security, you may a which this claim relates.  International Securities Identification Number (ISIN): F100089	ttach a schedule with the ISINs for the Lehman Programs Securities to
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic appropriate (each, a "Blocking Number") for each Lehman Programs Security for your accountholder (i.e. the bank, broker or other entity that holds such section one Lehman Programs Security, you may attach a schedule with the Blocki relates.	or which you are filing a claim. You must acquire a Blocking Number curities on your behalf). If you are filing this claim with respect to more ng Numbers for each Lehman Programs Security to which this claim
Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction number:  51091012 HB00000304726 (Require	. ,
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant you are filing this claim. You must acquire the relevant Clearstream Bank, Euro accountholder (i.e. the bank, broker or other entity that holds such securities on numbers.	clear Bank or other depository participant account number from your
Accountholders Euroclear Bank, Clearstream Bank or Other Depository P.  OSG1597-4 (Required	-
5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Badisclose your identity and holdings of Lehman Programs Securities to the Debto reconciling claims and distributions.	rs for the purpose of FILED / RECEIVED
Date.  Oct 16,  Signature: The person filing this claim must sign it. Sign an of the creditor or other person authorized to file this claim and number if different from the notice address above. Attach cornection any.  MD FROM T	d state address and telephone
Penalty for presenting fraudulent claim: Fine of up to \$500,000 or im	

### **POWER OF ATTORNEY**

The undersigned, Olli-Pekka Laitinen (150348-059C), hereby appoints Front Capital Ltd. (business identity code: 2045856-1) as our true and lawful attorney for the purposes of representing ourselves in our name and/or on our behalf in connection with or in relation to:

- (i) the insolvency proceedings of:
  - a) Lehman Brothers Holdings Inc. (the process initiated by the petition filed on September 15, 2008 in the United States Bankruptcy Court for the Southern District of New York seeking relief under chapter 11 of the United States Bankruptcy Code);
  - b) Lehman Brothers Treasury Co. B.V (the process initiated by the provisional suspension of payment (voorlopige surseance van betaling) granted by the Amsterdam District Court on September 19, 2008); and/or
  - c) such other group company of Lehman Brothers Holdings Inc. as Front Capital Ltd. deems appropriate for the purposes of supervising our interests;

(hereinafter referred to as the "Insolvency Proceedings") in each case, in our capacity of creditors under certain structured notes issued by Lehman Brothers Treasury Co. B.V and guaranteed by Lehman Brothers Holdings Inc. (notes with identification number(s) FI0008903000);

- (ii) any agreements, instruments and other documents in relation to the Insolvency Proceedings and any instruments and documents to be delivered by us under and in relation to the Insolvency Proceedings and sending documents and notices and providing the required information and taking any other actions under and in relation to the Insolvency Proceedings; and
- (iii) authorizing/appointing a third party attorney and/or attorney-at-law office in relation to the above and representing ourselves in our name and/or on our behalf towards such third party attorney and/or attorney-at-law office and agreeing of the fees and other costs in relation to such authorization/appointment in our name and/or on our behalf.

We hereby approve all that the said representative shall do or cause to be done by virtue of this Power of Attorney.

This Power of Attorney shall be governed by Finnish law.

NOTE HOLDER:

Dated: 14-10-2018

Olli-Pekka Laitinen

Print name of person signing

TRUE COOL

To:

Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076

From:

Front Capital Ltd Aleksanterinkatu 48 A FI-00100 Helsinki Finland

#### **Lehman Securities Programs Proof of Claims**

Enclosed please find ten (10) Securities Programs Proof of Claims related to four (4) different securities issued by Lehman Brothers Treasury Co. B.V. and guaranteed by Lehman Brothers Holdings Inc.:

- 1. 4 YR CROSS ASSET ALPHA LOCKER, ISIN: F10008903000 (7 Proof of Claims)
- 2. NOTES LINKED TO S&P BRIC INDEX, ISIN: FI0003025379 (1)
- 3. 4 SINGLE STOCK ALPHA NOTE, ISIN: F10003025882 (1)
- RETURN LOCK 2012 NOTE, ISIN: FI0003026351 (1)

All these securities have been issued in the Finnish clearing system (Euroclear Finland Ltd, former APK Ltd).

The following information has been used when filling in the Proof of Claims:

- 1. Eur/Usd exchange rate 1,4151 (ECB fixing rate as of September 15, 2008)
- 2. ISIN code of the related security
- 3. Blocking number registered and provided by the custodian bank
- 4. Identification number of the relevant custodian bank

The Proof of Claims are signed by Front Capital Ltd based on the power of attorney (copies attached).

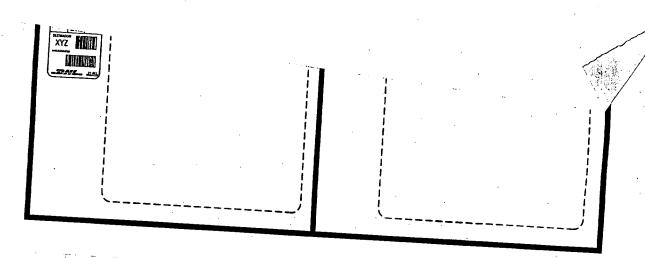
Any questions related to the Proof of Claims we ask kindly to contact Front Capital Ltd/Mr Pekka Rikala, email: <a href="mailto:pekka.rikala@front.fi">pekka.rikala@front.fi</a>, phone: +358 9 6829 8017

Pekka Rikala

Managing Director

Front Capital Ltd







United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076	LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM
In Re: Lehman Brothers Holdings Inc., et al., Debtors.  Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et AJ. 08-13555 (JMP) 0000044274
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009	
Name and address of Creditor: (and name and address where notices should be Creditor) Jari Vanhatalo  C/o Front Capital 2td  Aleksanterinkatu 48A  FI-00100 HELSINKI  FINLAND  Telephone number: +35896829800 Email Address: Pekka.rik	claim amends a previously filed claim.  Court Claim Number:  (If known)  Filed on:
Name and address where payment should be sent (if different from above)	Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
Telephone number: Email Address:  1. Provide the total amount of your claim based on Lehman Programs Securiting Programs Securities as of September 15, 2008, whether you owned the Lehman and whether such claim matured or became fixed or liquidated before or after Stables, using the exchange rate as applicable on September 15, 2008. If you are you may attach a schedule with the claim amounts for each Lehman Programs Stables Amount of Claim: \$	Programs Securities on September 15, 2008 or acquired them thereafter, eptember 15, 2008. The claim amount must be stated in United States of filing this claim with respect to more than one Lehman Programs Security.
☐ Check this box if the amount of claim includes interest or other charges in  2. Provide the International Securities Identification Number (ISIN) for each this claim with respect to more than one Lehman Programs Security, you may a which this claim relates.  International Securities Identification Number (ISIN):	Lehman Programs Security to which this claim relates. If you are filing
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronia appropriate (each, a "Blocking Number") for each Lehman Programs Security from your accountholder (i.e. the bank, broker or other entity that holds such se than one Lehman Programs Security, you may attach a schedule with the Block relates.	or which you are filing a claim. You must acquire a Blocking Number curities on your behalf). If you are filing this claim with respect to more
Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction number:  08091009F0NDS0000196 (Require	
4. Provide the Clearstream Bank, Euroclear Bank or other depository participan you are filing this claim. You must acquire the relevant Clearstream Bank, Eurocountholder (i.e. the bank, broker or other entity that holds such securities on numbers.	t account number related to your Lehman Programs Securities for which oclear Bank or other depository participant account number from your
Accountholders Euroclear Bank, Clearstream Bank or Other Depository P	-
5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Ba disclose your identity and holdings of Lehman Programs Securities to the Debte reconciling claims and distributions.	nk or other depository to FNED / RECEIVED
Oction  Signature: The person filing this claim must sign it. Sign are of the creditor or other person authorized to file this claim an number if different from the notice address above. Attach company.  Pekka Rika	d state address and telephone by of power of attorney, if

Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

### **POWER OF ATTORNEY**

The undersigned, Jari Vanhatalo (310763-019H), hereby appoints Front Capital Ltd. (business identity code: 2045856-1) as our true and lawful attorney for the purposes of representing ourselves in our name and/or on our behalf in connection with or in relation to:

- (i) the insolvency proceedings of:
  - a) Lehman Brothers Holdings Inc. (the process initiated by the petition filed on September 15, 2008 in the United States Bankruptcy Court for the Southern District of New York seeking relief under chapter 11 of the United States Bankruptcy Code);
  - b) Lehman Brothers Treasury Co. B.V (the process initiated by the provisional suspension of payment (voorlopige surseance van betaling) granted by the Amsterdam District Court on September 19, 2008); and/or
  - c) such other group company of Lehman Brothers Holdings Inc. as Front Capital Ltd. deems appropriate for the purposes of supervising our interests;

(hereinafter referred to as the "Insolvency Proceedings") in each case, in our capacity of creditors under certain structured notes issued by Lehman Brothers Treasury Co. B.V and guaranteed by Lehman Brothers Holdings Inc. (notes with identification number(s) F10008903000);

- (ii) any agreements, instruments and other documents in relation to the Insolvency Proceedings and any instruments and documents to be delivered by us under and in relation to the Insolvency Proceedings and sending documents and notices and providing the required information and taking any other actions under and in relation to the Insolvency Proceedings; and
- (iii) authorizing/appointing a third party attorney and/or attorney-at-law office in relation to the above and representing ourselves in our name and/or on our behalf towards such third party attorney and/or attorney-at-law office and agreeing of the fees and other costs in relation to such authorization/appointment in our name and/or on our behalf.

We hereby approve all that the said representative shall do or cause to be done by virtue of this Power of Attorney.

This Power of Attorney shall be governed by Finnish law.

Dated: 15.10. 2008

NOTE HOLDER:

Jari Vanhatalo

Print name of person signing

Thue (on

To:

Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076

From:

Front Capital Ltd Aleksanterinkatu 48 A FI-00100 Helsinki Finland

#### **Lehman Securities Programs Proof of Claims**

Enclosed please find ten (10) Securities Programs Proof of Claims related to four (4) different securities issued by Lehman Brothers Treasury Co. B.V. and guaranteed by Lehman Brothers Holdings Inc.:

- 1. 4 YR CROSS ASSET ALPHA LOCKER, ISIN: FI0008903000 (7 Proof of Claims)
- 2. NOTES LINKED TO S&P BRIC INDEX, ISIN: FI0003025379 (1)
- 3. 4 SINGLE STOCK ALPHA NOTE, ISIN: FI0003025882 (1)
- RETURN LOCK 2012 NOTE, ISIN: FI0003026351 (1)

All these securities have been issued in the Finnish clearing system (Euroclear Finland Ltd, former APK Ltd).

The following information has been used when filling in the Proof of Claims:

- 1. Eur/Usd exchange rate 1,4151 (ECB fixing rate as of September 15, 2008)
- 2. ISIN code of the related security
- 3. Blocking number registered and provided by the custodian bank
- 4. Identification number of the relevant custodian bank

The Proof of Claims are signed by Front Capital Ltd based on the power of attorney (copies attached).

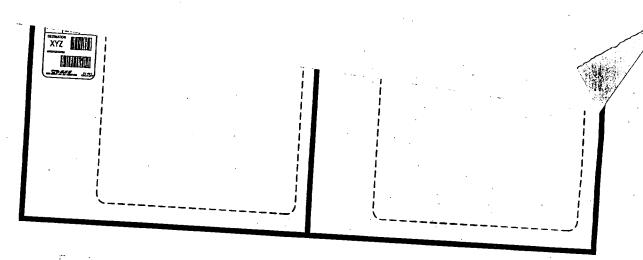
Any questions related to the Proof of Claims we ask kindly to contact Front Capital Ltd/Mr Pekka Rikala, email: <a href="mailto:pekka.rikala@front.fi">pekka.rikala@front.fi</a>, phone: +358 9 6829 8017

Pekka Rikala

Managing Director

Front Capital Ltd







subject to future amendment

Lehman Brothers		sing Center	PROC	URITIES PROGRAMS OF OF CLAIM
In Re: Lehman Brothers Debtors.	Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	l countail Biol	Southern District of New York thers Holdings Inc., Et Al. -13555 (JMP) 0000044569
based on Lehr	m may not be used to nan Programs Securi man-docket.com as	o file claims other than those ties as listed on of July 17, 2009		00000445b9
Creditor) Luzerner Kantor Legal & Complia Pilatusstrasse 1 CH-6002 Luzerr	nalbank AG ance Department 2 n 4 86 / Mail: peter.feld	Baker & McKer att. Ira A. Reid 1114 Avenue o New York, 100	I notices also to: nzie LLP of the Americas	Check this box to indicate that this claim amends a previously filed claim.  Court Claim Number:  (If known)  Filed on:  ernet.com
Name and addres	s where payment should	be sent (if different from above)		Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
Programs Securit and whether such dollars, using the you may attach a Amount of Clair Check this bearing the claim with rewhich this claim with rewhich this claim with rewhich this claim.  International Se  3. Provide the Claim your account than one Lehman relates.  Clearstream Bannumber:	tal amount of your claim ies as of September 15, 2 claim matured or became exchange rate as applica schedule with the claim:  1'686'133.90  The poor of the amount of claim international Securities is spect to more than one Legister to more than one Legister in the control of the international Securities is spect to more than one Legister in the international Securities is spect to more than one Legister in the international Securities is spect to more than one Legister in the international Securities is spect to more than one Legister in the international Securities is specification. The international Securities is specification in the international Securities is specification in the international Securities is specification. The international Securities is specification in the international Securities is specification.	OUS, whether you owned the Lennar e fixed or liquidated before or after S ble on September 15, 2008. If you are amounts for each Lehman Programs:  (Required)  n includes interest or other charges in dentification Number (ISIN) for each ehman Programs Security, you may a sumber (ISIN):  CH00271206  Number, a Euroclear Bank Electron for each Lehman Programs Security befor or other entity that holds such so may attach a schedule with the Block uroclear Bank Electronic Instruction.	september 15, 2008. The claim a e filing this claim with respect to Security to which this claim rela plus accrued and accruing i permitted by agreement or addition to the principal amount. Lehman Programs Security to valuach a schedule with the ISINs  (Required)  ic Reference Number, or other of for which you are filing a claim. Securities on your behalf). If you king Numbers for each Lehman	illetest to the extern
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you are filing this accountholder (i.e. numbers. SIX	claim. You must acquif the bank, broker or oth SIS AG, Baselstr	ar Bank or other depository participal to the relevant Clearstream Bank, Euror entity that holds such securities on asse 100, CH-4600 Olten stream Bank or Other Depository I	your behalf). Beneficial holder  Participant Account Number:	ar Lehman Programs Securities for which participant account number from your rs should not provide their personal account
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Date. 2009, Oct. 23	of the creditor or other	filing this claim must sign it. Sign a person authorized to file this claim as in the notice address above. Attach co	nd state address and telephone	OCT 2 3 2009  EPIQ BANKRUPTCY SOLUTIONS, LLC
Penalty	for presenting fraudulen	t claim: Fine of up to \$500,000 or in	mprisonment for up to 5 years, o	or both. 18 U.S.C. §§ 152 and 3571

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In Re: Lehman Brothe Debtors.	rs Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Lehman Brothers	ern District of New York Holdings Inc., Et Al. 55 (JMP) 0000044573
based on Leh	orm may not be used t iman Programs Secur <u>climan-docket.com</u> as	o file claims other than those ties as listed on of July 17, 2009		
Creditor) Luzerner Kanto Legal & Compl Pilatusstrasse CH-6002 Luze	onalbank AG liance Department 12 rn 24 86 / Mail: peter.feld	Baker & McKer att. Ira A. Reid 1114 Avenue o New York, 100	I notices also to: nzie LLP of the Americas	Check this box to indicate that this claim amends a previously filed claim.  Court Claim Number: (If known)  Filed on: ernet.com
Name and addre	ss where payment should	be sent (if different from above)		Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
Programs Securiand whether suc dollars, using the you may attach a Amount of Clai  Check this  Provide the this claim with rewhich this claim	total amount of your claim ties as of September 15, 2 h claim matured or became exchange rate as applical a schedule with the claim a 7'136'224.35 box if the amount of claim International Securities Idespect to more than one Le relates.	008, whether you owned the Lehman e fixed or liquidated before or after S oble on September 15, 2008. If you are mounts for each Lehman Programs S (Required)  includes interest or other charges in entification Number (ISIN) for each chann Programs Security, you may a	Programs Securities on Septen eptember 15, 2008. The claim if illing this claim with respect to Security to which this claim religious accrued and accruing permitted by agreement or addition to the principal amous Lehman Programs Security to wittach a schedule with the ISINs	interest to the extent
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consent to, and an	re deemed to have authorize	red, Euroclear Bank, Clearstream Ban nan Programs Securities to the Debto	nk or other depository to	FILED / RECEIVED
Date. 2009, Oct. 23	of the creditor or other pumber if different from any. Baker & McK	, , ,	d state address and telephone by of power of attorney, if	OCT 2 3 2009 EPIQ BANKRUPTCY SOLUTIONS, LLC
Penalty	for presenting fraudulent	claim: Fine of up to \$500,000 or im	prisonment for up to 5 years, or	r both. 18 U.S.C. §§ 152 and 3571

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New York, NY 10130-3076  In Re: Lehman Brothers Holdings Inc., et al., Debtors.  Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)  Note: This form may not be used to file claims other than those	
based on Lehman Programs Securities as listed on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009	
Name and address of Creditor: (and name and address where notices should be sent if different from Creditor)  Please send all notices also to:  Baker & McKenzie LLP Legal & Compliance Department  Pilatusstrasse 12  CH-6002 Luzern  T: +41 41 206 24 86 / Mail: peter.felder@lukb.ch T: +1 212 626 4100 / Mail: ira.a.reid@bakernet.com  Telephone number:  Name and address where payment should be sent (if different from above)  Please send all notices also to:  Court Claim Number:  (If known)  Filed on:  T: +1 212 626 4100 / Mail: ira.a.reid@bakernet.com  Check this box to indicate that this claim amends a previously filed claim.  Court Claim Number:  (If known)  Filed on:  T: +1 212 626 4100 / Mail: ira.a.reid@bakernet.com  T Check this box if you are aware the anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.	
1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security to which this claim relates.  Amount of Claim:  3'642'006.60  (Required)  Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities to this claim with respect to more than one Lehman Programs Securities to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.	s.
International Securities Identification Number (ISIN): XS0269969027 (Required)  3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to mor than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.  Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number:  Blocking numbers see attachment (Required)	2
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal accountments. Euroclear Bank S.A., BE-Brussels  Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:  ACCOUNT Number 94218  (Required)  FOR COURT USE ONLY	h ount
5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.  Date.  Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any. Baker & McKenzie LLP  Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. § 152 and 3571	c

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United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076			ork .	LEHMAN SEC PROC	URITIES PROGRAMS OF OF CLAIM
New York, NY 10150	)-5076			, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	C - Southern District of New York
In Re: Lehman Brothers Hol	dings Inc., et al.,	Chapter 11 Case No. 08-1355 (Jointly Administ		Lehman	Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000044605
Note: This form n based on Lehman http://www.lehma	Programs Securi	o file claims otl	ner than those	I TIO OF ACE I	S FOR COOK! OUR ONE.
Name and address of Creditor) Luzerner Kantonalb Legal & Compliance Pilatusstrasse 12 CH-6002 Luzern T: +41 41 206 24 86 Telephone number: Name and address wh	oank AG e Department 6 / Mail: peter.feld Er	ər@lukb.ch nail Address:	Please send all Baker & McKer att. Ira A. Reid 1114 Avenue c New York, 100 T: +1 212 626	I notices also to: nzie LLP of the Americas	Check this box to indicate that this claim amends a previously filed claim.  Court Claim Number: (If known)  Filed on: ernet.com  Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of
Telephone number:	v.	nail Address:			statement giving particulars.
Programs Securities a and whether such claid dollars, using the exclyou may attach a sche Amount of Claim: S  Check this box i  Provide the Inter this claim with respectively the scheme of the schem	is of September 15, 2 im matured or becam hange rate as applicated with the claim a 3'796'997.40 of the amount of claim mational Securities to to more than one Less.	ous, whether you e fixed or liquidate ble on September amounts for each L	owned the Lenmar ed before or after S 15, 2008. If you ar Lehman Programs (Required) or other charges in	of Programs Securities on September 15, 2008. The claim of filing this claim with respect to Security to which this claim relapius accrued and accruing permitted by agreement or addition to the principal amount. Lehman Programs Security to attach a schedule with the ISINs	interest to the extent
International Securities Identification Number (ISIN): XSU30235U888 (Required)  3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.  Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference					
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you are filing this class accountholder (i.e. the numbers. Eurocle	im. You must acquif e bank, broker or oth ear Bank S.A.,	e the relevant Clea er entity that holds BE-Brussels	epository participa arstream Bank, Eu s such securities or	nt account number related to yo roclear Bank or other depositor n your behalf). Beneficial holde	ur Lehman Programs Securities for which y participant account number from your ers should not provide their personal account
Accountholders Eur Account numbe	oclear Bank, Clears	stream Bank or C	other Depository ( Require)	Participant Account Number:	
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of num 2009, Oct. 23	the creditor or other mber if different fror y. Baker & Mcl	person authorized in the notice addres Kenzie LLP	to file this claim a ss above. Attach co	and print name and title, if any, and state address and telephone opp of power of attorney, if	EPIQ BANKRUPTCY SOLUTIONS, LLC
D // C.	franchilas	t alaim: Fine of u	n to \$500 000 or i	morisonment for up to 5 years.	or both. 18 U.S.C. §§ 152 and 3571

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## Attachment to Proof of Claim ISIN XS0302350888

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United States Bankruptcy Court/Souther Lehman Brothers Holdings Claims Proces c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076		LEHMAN SEC PROC	URITIES PROGRAMS OF OF CLAIM
In Re:	Chapter II	Filed: USBC - Sou	thern District of New York
Lehman Brothers Holdings Inc., et al., Debtors.	Case No. 08-13555 (JMP) (Jointly Administered)	Lehman Brothe 08-13	ors Holdings Inc., Et Al. 3555 (JMP) 0000044610
Note: This form may not be used to based on Lehman Programs Secur http://www.lehman-docket.com as	ities as listed on		
Name and address of Creditor: (and name Creditor)		sent if different from	Check this box to indicate that this claim amends a previously filed claim.
Luzerner Kantonalbank AG	Baker & McKer		Court Claim Number:
Legal & Compliance Department Pilatusstrasse 12	att. Ira A. Reid 1114 Avenue o		(If known)
CH-6002 Luzern T: +41 41 206 24 86 / Mail: peter.feld	New York, 100 er@lukb.ch T: +1 212 626	36, US 4100 / Mail: ira.a.reid@bake	Filed on: rnet.com
	mail Address:		Check this box if you are aware that
Traine and address where payment onesse			anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
	mail Address:		
you may attach a schedule with the claim 4'328'264.52  Amount of Claim: \$  Check this box if the amount of claim	2008, whether you owned the Lehmar he fixed or liquidated before or after S bible on September 15, 2008. If you are amounts for each Lehman Programs 3  (Required)  n includes interest or other charges in destification Number (ISIN) for each	Programs Securities on Septem eptember 15, 2008. The claim a cifling this claim with respect to Security to which this claim rela plus accrued and accruing i permitted by agreement or addition to the principal amoun	amount must be stated in United States of more than one Lehman Programs Security, ites. Interest to the extent by law at due on the Lehman Programs Securities.  Which this claim relates. If you are filing
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3. Provide the Clearstream Bank Blocking appropriate (each, a "Blocking Number") from your accountholder (i.e. the bank, br than one Lehman Programs Security, you relates.	for each Lehman Programs Security in oker or other entity that holds such so may attach a schedule with the Block	for which you are filing a claim. curities on your behalf). If you ling Numbers for each Lehman I	are filing this claim with respect to more Programs Security to which this claim
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numbers. Euroclear Bank S.A.,	re the relevant Clearstream Bank, but her entity that holds such securities on BE-Brussels	your behalf). Beneficial holder	ir Lehman Programs Securities for which participant account number from your is should not provide their personal account
Accountholders Euroclear Bank, Clear Account number 94218	stream Bank or Other Depository I (Require		DOD COURT HEE ONLY
5. Consent to Euroclear Bank, Clearstr consent to, and are deemed to have author disclose your identity and holdings of Lebreconciling claims and distributions.	rized, Euroclear Bank, Clearstream Be Iman Programs Securities to the Debt	ank or other depository to ors for the purpose of	FILED / RECEIVED  OCT 2 3 2009
of the creditor or other	n filing this claim must sign it. Sign as person authorized to file this claim as	ad state address and telephone	
2009, Oct. 23 any Baker & McI		herd	EPIQ BANKRUPTCY SOLUTIONS, LLC
Penalty for presenting frauduler	n claim: Fine of up to \$500,000 or in	nprisonment for up to 5 years, o	r both. 18 U.S.C. 99 152 and 3571

## Attachment to Proof of Claim ISIN XS0274443422

Blocking Reference Number
6042964
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. 08-13555-mg Doc 52614-1 Filed 04/27/16 Entered 04/27/16 19:04:56 Attachment A Pg 41 of 198

## Attachment to Proof of Claim ISIN XS0274443422

Blocking Reference Number	
6043026	
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RECEIVED BY:

W23-09

DATE

11:15am TIME

Lehman Brothers			P	CURITIES PROGRAMS ROOF OF CLAIM  USBC - Southern District of New York		
In Re: Lehman Brothers Debtors.	Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)		hman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000044722		
Note: This fo	n Lehman Program	I to file claims other than as Securities as listed on as of July 17, 2009	THIS SPA	CE IS FOR COURT USE ONLY		
Name and addres from Creditor)	s of Creditor: (and name	and address where notices should be	be sent if different	☐ Check this box to indicate that this claim amends a previously filed claim.		
Inversiones Nhet Att: José Luis Na C/ San Francisco 28003 Madrid, S	avarro Iglesias de Sales 31			Court Claim Number: (If known)  Filed on:		
Telephone numb	er: +34 91 533 48 00	Email Address:				
Name and addres	s where payment should	be sent (if different from above)		☐ Check this box if you are aware that anyone else has filed a proof of claim		
Banco Banif, S.A	: ES10008651469900100 x. set 1, 28006 Madrid, Spa		·	relating to your claim. Attach copy of statement giving particulars.		
Telephone numb	er: +34 91 520 86 50	Email Address:				
Lehman Program them thereafter, stated in United S one Lehman Program	ns Securities as of Septen and whether such claim r States dollars, using the e grams Security, you may	nber 15, 2008, whether you owned natured or became fixed or liquida xchange rate as applicable on Sept	the Lehman Programs S ated before or after Septe ember 15, 2008. If you a mounts for each Lehman	nt must be the amount owed under your securities on September 15, 2008 or acquired mber 15, 2008. The claim amount must be re filing this claim with respect to more than Programs Security to which this claim relates.		
	•			singlement due on the Lehman Dramme		
Securities.			-	cipal amount due on the Lehman Programs		
filing this claim				urity to which this claim relates. If you are le with the ISINs for the Lehman Programs		
International Securities Identification Number (ISIN): See attached Addendum to Proof of Claim.						
number, as appro Blocking Number with respect to m	priate (each, a "Blocking or from your accounthold	g Number") for each Lehman Proger (i.e. the bank, broker or other en	rams Security for which tity that holds such secur	, or other depository blocking reference you are filing a claim. You must acquire a ities on your behalf). If you are filing this claim ing Numbers for each Lehman Programs		
Clearstream Ba reference numb	,	uroclear Bank Electronic Instru	ction Reference Numbe	r and or other depository blocking		
See attached Ad	Idendum to Proof of Cla	aim.				
4. Provide the which you are fil	Clearstream Bank, Euroc ling this claim. You must ntholder (i.e. the bank, br	lear Bank or other depository partial acquire the relevant Clearstream	Bank, Euroclear Bank or	elated to your Lehman Programs Securities for other depository participant account number (). Beneficial holders should not provide their		
Accountholders	Euroclear Bank, Clears	stream Bank or Other Depositor	y Participant Account N	Number:		
See attached Ad	ldendum to Proof of Cla	aim.				
claim, you conse	nt to, and are deemed to l	tream Bank or Other Depository nave authorized, Euroclear Bank, C and holdings of Lehman Programs tims and distributions	Clearstream Bank or	OCT 2 3 2009		
Date. October 15,	Signature:	s Attorney See attached Adden	dum to Proof of	EPIQ BANKRUPTCY SOLUTIONS, LLC		
2009.	Claim for additional i		aum to 1 1001 01			

Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

#### INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

#### Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

#### Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim.

#### **DEFINITIONS**

#### Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy

#### Creditor

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

#### Claim

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable

#### **Proof of Claim**

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

#### Lehman Brothers Holdings Claims Processing c/o Epiq Bankruptcy Solutions, LLC FDR Station, PO Box 5076 New York, NY 10150-5076

#### **Lehman Programs Security**

Any security included on the list designated "Lehman Programs Securities" available on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009.

#### INFORMATION

#### Acknowledgment of Filing of Claim

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (<a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a>) to view your filed proof of claim.

#### Offers to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 et seq.), and any applicable orders of the bankruptcy court.

## ADDENDUM TO PROOF OF CLAIM

This proof of claim is filed in the chapter 11 case of Lehman Brothers Holdings Inc., (the <u>Debtor</u>"), Case No. 08-13555 (JMP), by Inversiones Nhet, S.L. ("<u>Claimant</u>").

Claimant's address is set forth on the first page of this proof of claim. Any notice relating to this proof of claim should be sent to such address with additional copies to be sent to the following addresses:

DLA Piper Spain S.L. Paseo de la Castellana, 35-2 28046 Madrid, Spain Attention: María Segimón, Esq.

With an additional copy to:

DLA Piper LLP (US) 1251 Avenue of the Americas New York, New York 10020 Attention: William M. Goldman, Esq.

This proof of claim is filed in connection with a Lehman Program Security as that term is defined in that certain July 2, 2009 order establishing the deadline for filing proofs of claim (the "Bar Date Order"). As such, and pursuant to the express provisions of the Bar Date Order, no documentation supporting this proof of claim is attached.

Claimant is presently unable to calculate the amount due in connection with the Lehman Program Securities covered by this proof of claim and, therefore, this proof of claim is filed in an unliquidated amount for all ISINs listed below.

The following chart shows each Lehman Programs Security to which this claim relates, the depository blocking reference number, and the depository participant account number.

ISIN	Blocking Reference #	Depository participant account #
XS0258901759	6036177	EUROCLEAR # 22829
XS0286018758	6036178	EUROCLEAR # 22829

A copy of the power of attorney authorizing the execution of this proof of claim by María Segimón is attached hereto. Ms. Segimón's address is set forth above and her telephone number is +34 913191212.

## Reservation of Rights

No judgment has been entered on any portion of the proof of claim.

The amounts of all payments on the claim have been credited and deducted for the purpose of making this proof of claim.

Claimant hereby reserves the right to assert further, additional and amended claims.

By executing and filing this proof of claim, Claimant, is not (i) waiving or releasing Claimant's rights against any other entity or person or (ii) electing a remedy which waives or otherwise affects any other remedy of Claimant.

Claimant, in executing and filing this proof of claim, does not waive any right to any security, collateral or share thereof to which it may be entitled or any right to claim specific assets or any other right or rights that it has or may have against the Debtor or any other person or persons, and expressly reserves the right to amend or supplement this proof of claim in any respect and to file additional proofs of claim for additional claims.

#### **POWER OF ATTORNEY**

The undersigned,

Mr./Mrs. JOSE LUIS NAVARRO IGLESIAS, of legal age, of spanish nationality, and holder of DNI passport of his nationality number 00549435B, in force.

domiciled at CL SAN FRANCISCO DE SALES 31 28003 MADRID, acting on behalf of INVERSIONES NHET S.L. (the Grantor).

Whereas, the Grantor grants a special power of attorney, but as broad as required by law, in favour of the following persons:

- (i) Mr. Juan Picón García de Leániz, of legal age, of Spanish nationality, domiciled in Madrid, Paseo de la Castellana, no. 35, 2nd floor, and holder of Spanish Identity Card number 50708807-V, in force;
- (ii) Ms. María Segimón de Manzanos, of legal age, of Spanish nationality, domiciled in Madrid, Paseo de la Castellana, no. 35, 2nd floor, and holder of Spanish Identity Card number 826346-W, in force;
- (iii) Mr. Javier Huerta Rebollo, of legal age, of Spanish nationality, domiciled in Madrid, Paseo de la Castellana, no. 35, 2nd floor, and holder of Spanish Identity Card number 11849665-L, in force,

in order that any of each of the aforementioned persons, jointly and severally, may, represent or designate another person to represent the Grantor in respect of Chapter 11 bankruptcy filed in the United States by Lehman Brothers Holdings Inc ("LBHI") and its affiliates as well as in any insolvency or bankruptcy proceeding of LBHI's non-U.S. Subsidiaries (the "Bankruptcy"), and in particular, and without limitation, to carry out the following acts in relation to the Bankruptcy:

El/Los abajo firmante/s,

D./D\*. JOSE LUIS NAVARRO IGLESIAS, mayor de edad, de nacionalidad española, y con DNI pasaporte de su nacionalidad número 00549435B, en vigor,

con domicilio en CL SAN FRANCISCO DE SALES 31 28003 MADRID, actuando en nombre de INVERSIONES NHET S.L. (el Poderdante).

El Poderdante otorga un poder especial, pero tan amplio como en Derecho sea necesario a favor de las siguientes personas:

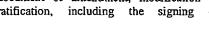
- (i) D. Juan Picón García de Leániz, mayor de edad, de nacionalidad española, con domicilio a estos efectos en Madrid, Paseo de la Castellana, nº 35, 2º planta, y provisto de D.N.I. nº 50708807-V, en vigor;
- (ii) D<sup>a</sup>. María Segimón de Manzanos, mayor de edad, de nacionalidad española, con domicilio a estos efectos en Madrid, Paseo de la Castellana, nº 35, 2<sup>a</sup> planta, y provisto de D.N.I. nº 826346-W, en vigor;
- (iii) D. Javier Huerta Rebollo, mayor de edad, de nacionalidad española, con domicilio a estos efectos en Madrid, Paseo de la Castellana, nº 35, 2º planta, y provisto de D.N.I. nº 11849665-L, en vigor,

para que cada uno de ellos, indistintamente y solidariamente, pueda representar o designar a otra persona para representar al Poderdante en el procedimiento de quiebra bajo el Capítulo 11 en los Estados Unidos de América solicitado por Lehman Brothers Holdings Inc. ("LBHI") y determinadas sociedades filiales, así como en cualesquiera otros procedimientos de insolvencia o quiebra de las filiales de LBHI no domiciliadas en los Estados Unidos de América (la "Quiebra") y, en concreto, y sin que tenga carácter limitativo, para que pueda llevar a cabo las siguientes actuaciones en relación con la Quiebra:



- (a) To appear before or designate another person to appear before any Courts, Hearings or other Tribunals, ordinary or special, of any level or jurisdiction, and before any authority, or other public bodies, and other entities created and to be created in any of its branches, dependencies and services; and to commence, continue or terminate, as creditor, author, third party, contributor, claimant or in any other capacity, all type of actions, files, trials and proceedings in relation to the Bankruptcy.
  - In all these cases, to file, reply to and continue through all the necessary steps and stages, until completion, all type of actions, claims, complaints, criminal complaints, allegations, exceptions and defences and to make any other whatsoever, request suspension of trials or proceedings, giving in these, where necessary, personal ratification; to draft and file written documents and take part in all types of proceedings; to request and receive notifications, summons and subpoenas in relation to the Bankruptcy.
- (b) To appear before or to designate another person to appear before all and any persons, firms, corporations, banks, institutions, commercial brokers, notaries, registrars, courts and tribunals, government agencies, authorities, being foreign, international, national, regional, provincial or municipal, with full powers of representation, being authorized to file petitions, requests and forms in relation to the Bankruptcy.
- (c) Execute any further public or private document of amendment, modification or ratification, including the signing of

- Comparecer o designar a otra persona para comparecer ante cualesquiera Juzgados, Audiencias y demás Tribunales, ordinario o especiales de cualquier grado o jurisdicción, ante cualquier otra autoridad y demás entes públicos, y demás Entidades creadas y por crear, en cualquiera de sus ramas, dependencias y servicios; y en ellos, instar, seguir y terminar, como acreedor, actor, tercero, coadyuvante, requirente o en cualquier otro concepto, toda clase de actuaciones, expedientes, juicios y procedimientos en relación con la Quiebra.
  - En todos estos casos, entablar, contestar y seguir por todos sus trámites e instancias, hasta su conclusión, toda clase de actuaciones, acciones. demandas, denuncias, querellas, acusaciones, excepciones y defensas y ejercitar otras cualesquiera pretensiones, suspensiones de juicios o procedimientos, ejercitándose en los mismos en cuantos casos fuera menester la ratificación personal; formar y presentar escritos y asistir a toda clase de actuaciones; solicitar y recibir notificaciones, citaciones y emplazamientos en relación con la Quiebra.
- (b) Comparecer o designar a otra persona para comparecer ante todas y cualesquiera personas, sociedades, corporaciones, bancos, instituciones, corredores de comercio, notarios, registradores, juzgados y tribunales, delegaciones de hacienda, delegaciones de gobierno y autoridades, ya sean extranjeras, internacionales, nacionales, autonómicas, provinciales o municipales, con poderes totales de representación, estando autorizadas para presentar instancias, formularios y requerimientos en relación con la Quiebra.
- (c) Firmar cualquier documento público o privado posterior de subsanación, rectificación o ratificación de los



approvals or forms (online or in paper) required, and do any other act or action which the attorney may consider necessary or expedient for the purpose or in connection with the above, including the execution, processing and submission of any form or document which may be convenient in relation to the Bankruptcy.

anteriores, pudiendo suscribir y firmar incluso cualquier formulario o impreso necesario (online o en papel), y realizar cualquier acto o actuación que el apoderado considere necesario o conveniente para cumplimentar la finalidad descrita en este apartado, incluyendo firmar, despachar o presentar cualquier otro documento que resulte conveniente en relación con la Quiebra.

Where necessary, the Grantor shall raise into public deed the granting of Power of Attorney under this document if requested by any of the attorneys.

En caso de que fuese necesario, el Poderdante se compromete a formalizar en escritura pública el apoderamiento conferido conforme al presente documento, una vez fuera requerido para ello por cualquiera de los apoderados.

So states and grants the Grantor, who reads and approves the present document, signing below.

Así declara y otorga el Poderdante, quien lee y aprueba el presente documento, firmándolo a continuación.

MADRID, on

September 2009.

En MADRID, a de septiembre de 2009.

INVERSIONES NHET S.L. THE GRANTOR

(E..... (a)

**EL OTORGANTE** 

(signature/s)

JOSE LUIS NAVARRO IGLESIAS

JOSE LUIS NAVARRO IGLESIAS

INVERSIONES NHET S.L.

, 08-13555-mg Doc 52614-1 Filed 04/27/16 Entered 04/27/16 19:04:56 Attachment A Pg 50 of 198

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10/23 DATE

12:24 TIME

Lehman Brother c/o Epiq Bankru FDR Station, P.O New York, NY		sing Center	ork	P	CURITIES PROGRAMS ROOF OF CLAIM
In Re: Lehman Brother: Debtors.	s Holdings Inc., et al.,	Chapter 11 Case No. 08-1355 (Jointly Administration		L L	d: USBC - Southern District of New York ehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000044803
those based of	orm may not be used on Lehman Program ehman-docket.com	is Securities as	listed on	THIS SPA	CE IS FOR COURT USE ONLY
Name and address from Creditor)	ss of Creditor: (and name	and address where i	notices should t	be sent if different	☐ Check this box to indicate that this claim amends a previously filed claim.
José Luis Navarr C/ San Francisco 28003 Madrid, S	de Sales 31				Court Claim Number: (If known) Filed on:
Telephone numb	er: +34 91 533 48 00	Email Address:			r ned on.
	ss where payment should		from above)		Chadadia basifasa anda
Account number Banco Banif, S.A	: ES15008651469700100	005733			Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
Telephone numb	er: +34 91 520 86 50	Email Address:			
Lehman Program them thereafter, stated in United one Lehman Pro Amount of Clai	ns Securities as of Septen and whether such claim in States dollars, using the e grams Security, you may in: \$ Unliquidated - see box if the amount of clai	nber 15, 2008, whet natured or became f xchange rate as app attach a schedule w attached Addendu m includes interest	her you owned fixed or liquidat licable on Septe ith the claim ar um to Proof of or other charge	the Lehman Programs S ted before or after Septe ember 15, 2008. If you a nounts for each Lehman f Claim.	soft must be the amount owed under your Securities on September 15, 2008 or acquired mber 15, 2008. The claim amount must be re filing this claim with respect to more than a Programs Security to which this claim relates.
filing this claim					le with the ISINs for the Lehman Programs
International Se	curities Identification N	umber (ISIN): See	attached Adde	endum to Proof of Clai	m.
number, as appro Blocking Number with respect to m Security to which Clearstream Ba reference numb	priate (each, a "Blocking or from your accountholde ore than one Lehman Pro n this claim relates. nk Blocking Number, E er:	Number") for each er (i.e. the bank, brok grams Security, you uroclear Bank Elec	n Lehman Progi ker or other ent u may attach a	rams Security for which ity that holds such securi schedule with the Block	r, or other depository blocking reference you are filing a claim. You must acquire a ities on your behalf). If you are filing this claim ing Numbers for each Lehman Programs  r and or other depository blocking
See attached Ad	dendum to Proof of Cla	ıim.			
which you are fil from your account personal account	ing this claim. You must ntholder (i.e. the bank, bronumbers.	acquire the relevan oker or other entity t	t Clearstream E that holds such	Bank, Euroclear Bank or securities on your behalf	elated to your Lehman Programs Securities for other depository participant account number (). Beneficial holders should not provide their
Accountholders	Euroclear Bank, Clears	tream Bank or Otl	her Depository	Participant Account N	lumber:
See attached Ad	See attached Addendum to Proof of Claim.				
claim, you conse other depository	Euroclear Bank, Clears nt to, and are deemed to be to disclose your identity a urpose of reconciling cla	nave authorized, Eur and holdings of Leh	oclear Bank, C man Programs	learstream Bank or	FILED / RECEIVED
Date. October 15, 2009.	Signature:  Ms. María Segimón, a Claim for additional in			dum to Proof of	OCT 2 3 2009  EPIQ BANKRUPTCY SOLUTIONS, LLC

Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

#### INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

#### Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

#### Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim

#### DEFINITIONS

#### Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

#### Creditor

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

#### Claim

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable

#### **Proof of Claim**

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

#### Lehman Brothers Holdings Claims Processing

c/o Epiq Bankruptcy Solutions, LLC FDR Station, PO Box 5076 New York, NY 10150- 5076

#### Lehman Programs Security

Any security included on the list designated "Lehman Programs Securities" available on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009.

## \_INFORMATION\_

#### Acknowledgment of Filing of Claim

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (<a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a>) to view your filed proof of claim.

#### Offers to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 et seq.), and any applicable orders of the bankruptcy court.

## ADDENDUM TO PROOF OF CLAIM

This proof of claim is filed in the chapter 11 case of Lehman Brothers Holdings Inc., (the <u>Debtor</u>"), Case No. 08-13555 (JMP), by José Luis Navarro Iglesias ("<u>Claimant</u>").

Claimant's address is set forth on the first page of this proof of claim. Any notice relating to this proof of claim should be sent to such address with additional copies to be sent to the following addresses:

DLA Piper Spain S.L. Paseo de la Castellana, 35-2 28046 Madrid, Spain Attention: María Segimón, Esq.

With an additional copy to:

DLA Piper LLP (US)
1251 Avenue of the Americas
New York, New York 10020
Attention: William M. Goldman, Esq.

This proof of claim is filed in connection with a Lehman Program Security as that term is defined in that certain July 2, 2009 order establishing the deadline for filing proofs of claim (the "Bar Date Order"). As such, and pursuant to the express provisions of the Bar Date Order, no documentation supporting this proof of claim is attached.

Claimant is presently unable to calculate the amount due in connection with the Lehman Program Securities covered by this proof of claim and, therefore, this proof of claim is filed in an unliquidated amount for all ISINs listed below.

The following chart shows each Lehman Programs Security to which this claim relates, the depository blocking reference number, and the depository participant account number.

ISIN	Blocking Reference #	Depository participant account #
XS0258901759	6036176	EUROCLEAR # 22829

A copy of the power of attorney authorizing the execution of this proof of claim by María Segimón is attached hereto. Ms. Segimón's address is set forth above and her telephone number is +34 913191212.

## Reservation of Rights

No judgment has been entered on any portion of the proof of claim.

The amounts of all payments on the claim have been credited and deducted for the purpose of making this proof of claim.

Claimant hereby reserves the right to assert further, additional and amended claims.

By executing and filing this proof of claim, Claimant, is not (i) waiving or releasing Claimant's rights against any other entity or person or (ii) electing a remedy which waives or otherwise affects any other remedy of Claimant.

Claimant, in executing and filing this proof of claim, does not waive any right to any security, collateral or share thereof to which it may be entitled or any right to claim specific assets or any other right or rights that it has or may have against the Debtor or any other person or persons, and expressly reserves the right to amend or supplement this proof of claim in any respect and to file additional proofs of claim for additional claims.

#### POWER OF ATTORNEY

The undersigned,

Mr./Mrs. JOSE LUIS NAVARRO IGLESIAS, of legal age, of spanish nationality, and holder of DNI passport of his nationality number 00549435B, in force,

domiciled at PS SAN FRANCISCO DE SALES 31, 28003 MADRID, acting in his own name and interest (the Grantor).

Whereas, the Grantor grants a special power of attorney, but as broad as required by law, in favour of the following persons:

- (i) Mr. Juan Picón García de Leániz, of legal age, of Spanish nationality, domiciled in Madrid, Paseo de la Castellana, no. 35, 2nd floor, and holder of Spanish Identity Card number 50708807-V, in force;
- (ii) Ms. María Segimón de Manzanos, of legal age, of Spanish nationality, domiciled in Madrid, Paseo de la Castellana, no. 35, 2nd floor, and holder of Spanish Identity Card number 826346-W, in force;
- (iii) Mr. Javier Huerta Rebollo, of legal age, of Spanish nationality, domiciled in Madrid, Paseo de la Castellana, no. 35, 2nd floor, and holder of Spanish Identity Card number 11849665-L, in force,

in order that any of each of the aforementioned persons, jointly and severally, may, represent or designate another person to represent the Grantor in respect of Chapter 11 bankruptcy filed in the United States by Lehman Brothers Holdings Inc ("LBHI") and its affiliates as well as in any insolvency or bankruptcy proceeding of LBHI's non-U.S. Subsidiaries (the "Bankruptcy"), and in particular, and without limitation, to carry out the following acts in relation to the Bankruptcy:

El/Los abajo firmante/s,

D./Da. JOSE LUIS NAVARRO IGLESIAS, mayor de edad, de nacionalidad española, y con DNI pasaporte de su nacionalidad número 00549435B, en vigor,

con domicilio en PS SAN FRANCISCO DE SALES 31, 28003 MADRID, actuando en su propio nombre y derecho (el Poderdante).

El Poderdante otorga un poder especial, pero tan amplio como en Derecho sea necesario a favor de las siguientes personas:

- (i) D. Juan Picón García de Leániz, mayor de edad, de nacionalidad española, con domicilio a estos efectos en Madrid, Paseo de la Castellana, nº 35, 2º planta, y provisto de D.N.L. nº 50708807-V, en vigor;
- (ii) D<sup>a</sup>. María Segimón de Manzanos, mayor de edad, de nacionalidad española, con domicilio a estos efectos en Madrid, Paseo de la Castellana, nº 35, 2<sup>a</sup> planta, y provisto de D.N.L nº 826346-W, en vigor;
- (iii) D. Javier Huerta Rebollo, mayor de edad, de nacionalidad española, con domicilio a estos efectos en Madrid, Paseo de la Castellana, nº 35, 2ª planta, y provisto de D.N.I. nº 11849665-L, en vigor,

para que cada uno de ellos, indistintamente y solidariamente, pueda representar o designar a otra persona para representar al Poderdante en el procedimiento de quiebra bajo el Capítulo 11 en los Estados Unidos de América solicitado por Lehman Brothers Holdings Inc. ("LBHI") y determinadas sociedades filiales, así como en cualesquiera otros procedimientos de insolvencia o quiebra de las filiales de LBHI no domiciliadas en los Estados Unidos de América (la "Quiebra") y, en concreto, y sin que tenga carácter limitativo, para que pueda llevar a cabo las siguientes actuaciones en relación con la Quiebra:



(a) To appear before or designate another person to appear before any Courts, Hearings or other Tribunals, ordinary or special, of any level or jurisdiction, and before any authority, or other public bodies, and other entities created and to be created in any of its branches, dependencies and services; and to commence, continue or terminate, as creditor, author, third party, contributor, claimant or in any other capacity, all type of actions, files, trials and proceedings in relation to the Bankruptcy.

In all these cases, to file, reply to and continue through all the necessary steps and stages, until completion, all type of actions, claims, complaints, criminal complaints, allegations, exceptions and defences and to make any other whatsoever, request suspension of trials or proceedings, giving in these, where necessary, personal ratification; to draft and file written documents and take part in all types of proceedings; to request and receive notifications, summons and subpoenas in relation to the Bankruptcy.

- (b) To appear before or to designate another person to appear before all and any persons, firms, corporations, banks, institutions, commercial brokers, notaries, registrars, courts and tribunals, government agencies, authorities, being foreign, international, national, regional, provincial or municipal, with full powers of representation, being authorized to file petitions, requests and forms in relation to the Bankruptcy.
- (c) Execute any further public or private document of amendment, modification or ratification, including the signing of approvals or forms (online or in paper) required, and do any other act or action which the attorney may consider necessary or

(a) Comparecer o designar a otra persona para comparecer ante cualesquiera Juzgados, Audiencias y demás Tribunales, ordinario o especiales de cualquier grado o jurisdicción, ante cualquier otra autoridad y demás entes públicos, y demás Entidades creadas y por crear, en cualquiera de sus ramas, dependencias y servicios; y en ellos, instar, seguir y terminar, como acreedor, actor, tercero, coadyuvante, requirente o en cualquier otro concepto, toda clase de actuaciones, expedientes, juicios y procedimientos en relación con la Quiebra.

En todos estos casos, entablar, contestar y seguir por todos sus trámites e instancias, hasta su conclusión, toda clase de actuaciones. acciones. demandas, denuncias. querellas. acusaciones, excepciones y defensas y ejercitar otras cualesquiera pretensiones, suspensiones de juicios o procedimientos, ejercitándose en los mismos en cuantos casos fuera menester la ratificación personal; formar y presentar escritos y asistir a toda clase de actuaciones; solicitar y recibir notificaciones, citaciones y emplazamientos en relación con la Quiebra.

- (b) Comparecer o designar a otra persona para comparecer ante todas y cualesquiera personas, sociedades, corporaciones, bancos, instituciones, corredores de comercio, notarios, registradores, juzgados y tribunales, delegaciones de hacienda, delegaciones de gobierno y autoridades. ya sean extranjeras, internacionales, nacionales, autonómicas, provinciales o municipales, con poderes totales de representación, estando autorizadas para presentar instancias, formularios y requerimientos en relación con la Quiebra.
- (c) Firmar cualquier documento público o privado posterior de subsanación, rectificación o ratificación de los anteriores, pudiendo suscribir y firmar incluso cualquier formulario o impreso necesario (online o en papel), y realizar

expedient for the purpose or in connection with the above, including the execution, processing and submission of any form or document which may be convenient in relation to the Bankruptcy.

cualquier acto o actuación que el apoderado considere necesario o conveniente para cumplimentar la finalidad descrita en este apartado, incluyendo firmar, despachar o presentar cualquier otro documento que resulte conveniente en relación con la Quiebra.

Where necessary, the Grantor shall raise into public deed the granting of Power of Attorney under this document if requested by any of the attorneys.

So states and grants the Grantor, who reads and approves the present document, signing below.

En caso de que fuese necesario, el Poderdante se compromete a formalizar en escritura pública el apoderamiento conferido conforme al presente documento, una vez fuera requerido para ello por cualquiera de los apoderados.

Así declara y otorga el Poderdante, quien lee y aprueba el presente documento, firmándolo a continuación.

MADRID, on September 2009.

En MADRID, a de septiembre de 2009.

J. Kavano.

(firma)

(signature)

Mr./Mirs. JOSE LUIS NAVARRO IGLESIAS

THE GRANTOR

D./D" JOSE LUIS NAVARRO IGLESIAS EL OTORGANTE

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RECEIVED BY:

United States Bankruptcy Court/Southern	-	LEURAAN OE	DUDITIES DESCRIPTION
Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076			CURITIES PROGRAMS OF OF CLAIM
In Re:	Chapter 11		
Lehman Brothers Holdings Inc., et al.,	Case No. 08-13555 (JMP)	1	- Southern District of New York Brothers Holdings Inc., Et Al.
Debtors.	(Jointly Administered)		08-13555 (JMP) 0000045214
Note: This form may not be used to fil on Lehman Programs Securities as list docket.com as of July 17, 2009			
Name and address of Creditor: (and name Creditor)	and address where notices should be	sent if different from	☐ Check this box to indicate that this claim amends a previously filed claim.
Theodoor Gilissen Global Custody N.V. Keizersgracht 617			Court Claim Number: (If known)
1017 DS AMSTERDAM, The Netherland	nds		Filed on:
Telephone number: +31 20 5276000	Email Address: tgservices@giliss	en.nl	
Name and address where payment should			Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
Telephone number:	Email Address:		
and whether such claim matured or becam	008, whether you owned the Lehman e fixed or liquidated before or after S ble on September 15, 2008. If you ar	Programs Securities on Septer eptember 15, 2008. The claim of filing this claim with respect	mber 15, 2008 or acquired them thereafter, amount must be stated in United States to more than one Lehman Programs Security,
Amount of Claim: See attached	(Required)		
Check this box if the amount of claim in	actudes interest or other charges in ad	dition to the principal amount	due on the Lehman Programs Securities.
2. Provide the International Securities Ide claim with respect to more than one Lehm this claim relates.	ntification Number (ISIN) for each L an Programs Security, you may attacl	ehman Programs Security to which a schedule with the ISINs for	rhich this claim relates. If you are filing this the Lehman Programs Securities to which
International Securities Identification N	umber (ISIN): See attached	(Require	d)
3. Provide the Clearstream Bank Blocking appropriate (each, a "Blocking Number") is your accountholder (i.e. the bank, broker of Lehman Programs Security, you may attact Clearstream Bank Blocking Number, Enumber:	for each Lehman Programs Security for other entity that holds such securities a schedule with the Blocking Number 1	or which you are filing a claim es on your behalf). If you are f bers for each Lehman Program	a. You must acquire a Blocking Number from iling this claim with respect to more than one s Security to which this claim relates.
Sag attached (D.	equired)		
4. Provide the Clearstream Bank, Euroclea you are filing this claim. You must acquire	ar Bank or other depository participar e the relevant Clearstream Bank, Euro er entity that holds such securities on	oclear Bank or other depository your behalf). Beneficial holde	rs should not provide their personal account
See attached (R	equired)		T
October 21st, 2009 the creditor or other person number it different from th Mister 4st, v. G.C.D. Verso	ized, Euroclear Bank, Clearstream Ba man Programs Securities to the Debton on this claim must signit. Sign and pro- authorized to file this classified state e notice address above. A transfer of	rint name and title, if any, of e address and telephone of power of attorney, if any.	FILED / RECEIVED  OCT 2 3 7009  EPIO BANKRUPTET SHESTINGS LIC
renally for presenting fraudule	m ciaim. Fine of up to \$300,000 of it	inprisonment for up to 3 years,	or com. to 0.0.0. 88 132 and 33/1

SOUTHERN DISTRICT OF NEW YORK	
In re:	Chapter 11
Lehman Brothers Holdings Inc., et al.	Case No. 08-13555 (JMP)
Debtors.	(Jointly Administered)

INITED STATES DANIEDIDTOV COLDT

# ANNEX TO PROOF OF CLAIM OF THEODOOR GILISSEN GLOBAL CUSTODY N.V.

- 1. <u>Claimant</u>. Theodoor Gilissen Global Custody N.V., custodian and holder of account 51/267148/29 with KBL European Private Bankers S.A., Luxemburg, holder of account 13048 with Clearstream Luxemburg (the "Claimant"), hereby files the accompanying proof of claim (the "Proof of Claim") against Lehman Brothers Holdings Inc. (the "Debtor"), a debtor and debtor in possession in the above-referenced chapter 11 cases. The Claimant holds claims against the Debtor arising from certain transactions that occurred prior to September 15, 2008 (the "Petition Date"), as described more fully below.
- Transactions Between the Parties. The Claimant is authorized to act on behalf of the holders of certain securities issued by Lehman Brothers Treasury Co B.V. (the "Obligor") and guaranteed by the Debtor (each a "Program Security" and, together, the "Program Securities") identified on the list of Lehman Program Securities, which is available on the Debtors' website, <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> under the heading "Key Documents." The International Securities Identification Number ("ISIN") identifying each Program Security, along with the Clearstream blocking reference number and the Clearstream account number relating to the Program Securities, is detailed on <a href="https://www.lehman-docket.com">Exhibit 1</a> attached hereto. Evidence of the ownership of the securities described in <a href="https://www.lehman-docket.com">Exhibit 1</a> attached hereto. The Program Securities

have the benefit of an express, unconditional and irrevocable guarantee of the Debtor, and further, the Debtor guaranteed the payment of all liabilities, obligations and commitments of the Obligor pursuant to those certain board resolutions adopted by the Executive Committee of the Debtor's Board of Directors attached hereto as Exhibit 3, including but not limited to those certain resolutions adopted by unanimous written consent on June 9, 2005 and certain other guarantees extended to affiliates of the Debtor and/or Lehman related entities.

- 2. <u>Claim.</u> The Claimant is the holder of certain securities described more fully in <u>Exhibit 1</u> hereto and on account thereof and in accordance with the terms of the documentation relating thereto, hereby asserts a claim against the Debtor in the amount of \$ 114,469,619.48 <u>plus</u>, to the extent provided pursuant to the underlying documents associated with each Program Security all other interest, costs, fees and expenses allowed under applicable law (the "Claim"). An itemization of certain amounts comprising the Claim is set forth on <u>Exhibit 2</u> attached hereto.
- 4. <u>Security Interests and Priority Status</u>. The Claim is filed as a general unsecured claim, without any prejudice to any and all rights of the Claimant to assert that any portion of the Claim is entitled to administrative priority under Sections 503 and 507 of the Bankruptcy Code.
- 5. <u>Claims, Counterclaims, Setoffs and Defenses</u>. The Claim is not subject to any known claims, counterclaims, setoffs or defenses by the Debtor. The Claimant also reserves any and all rights of setoff and recoupment that the Claimant or any of its affiliates may have against the Debtor or its related entities.
- 6. Reservation of Rights. The execution and filing of this Proof of Claim is not and shall not be deemed: (a) a waiver or release of the Claimant's rights against any other entity or person liable for all or any part of the Claim asserted herein; (b) a consent by the Claimant to the

jurisdiction of this Court with respect to any proceeding commenced in this case against or otherwise involving the Claimant; (c) a waiver of the right to withdraw the reference with respect to the subject matter of the Claim, any objection or other proceedings commenced with respect thereto or any other proceedings commenced in this case against or otherwise involving the Claimant; (d) a waiver or release by the Claimant of its right to trial by jury, or a consent by the Claimant to a trial by jury, in this Court or any other court; (e) a waiver of any right to the subordination, in favor of the Claimant, of indebtedness or liens held by any creditors of the Debtor or any of its affiliates; (f) an election of remedies which waives or otherwise affects any other remedy; (g) waiver of the Claimant's rights to assert that no claims hereunder have been or may be discharged and to file other claims which are not covered by this Proof of Claim; or (h) a waiver of the Claimant's rights, if any, of arbitration, to the extent provided by any applicable agreements with the Debtor or its affiliates.

Amendments. The Claimant expressly reserves its right to file any separate or additional proofs of claim with respect to the Claim set forth herein or otherwise (which proofs of claim, if so filed, shall not be deemed to supersede this proof of claim unless expressly so stated therein), to amend or supplement this Proof of Claim in any respect, including with respect to the filing of an additional or amended claim for the purpose of fixing and liquidating any contingent or unliquidated claim set forth herein, or to file additional proofs of claim in respect of additional amounts or for any other reason.

## **EXHIBIT 1**

ISIN	Clearstream blocking	Clearstream	Nominal Amount	Nominal Amount
	reference number	account number	(EUR)	(USD)*
		Account in the name of		
		KBL European Private		
		Bankers S.A., Luxemburg.		
		Securities held on behalf of		:
		custodian Theodoor Gilissen		;
		Global Custody N.V.,		
		Amsterdam, Holland		
XS0346707903	CA69354	13048	641,000.00	910,284.10
XS0295760093	CA69339	13048	1,587,000.00	2,253,698.70
XS0355133454	CA69358	13048	3,162,000.00	4,490,356.20
XS0379217184	CA69336	13048	20,000.00	28,402.00
XS0273084656	CA69337	13048	554,000.00	786,735.40
XS0332049815	CA69340	13048	1,715,000.00	2,435,471.50
XS0285922133	CA69353	13048	3,836,000.00	5,447,503.60
XS0375356648	CA69345	13048	255,000.00	362,125.50
XS0305948860	CA69344	13048	4,825,000.00	6,851,982.50
XS0314067140	CA76209	13048	1,499,000.00	2,128,729.90
XS0317240157	CA69352	13048	2,325,000.00	3,301,732.50
XS0339810078	CA69343	13048	1,177,000.00	1,671,457.70
XS0287672694	CA69355	13048	5,467,000.00	7,763,686.70
XS0296281735	CA69359	13048	8,970,000.00	12,738,297.00
XS0332050078	CA69338	13048	1,357,000.00	1,927,075.70
XS0377288450	CA69360	13048	14,000.00	19,881.40
XS0368669007	CA69357	13048	3,543,000.00	5,031,414.30
XS0263715467	CA69346	13048	10,430,000.00	14,811,643.00
XS0276441044	CA69347	13048	11,284,000.00	16,024,408.40
XS0327236757	CA69350	13048	5,483,000.00	7,786,408.30
XS0296067142	CA69356	13048	996,000.00	1,414,419.60
XS0365839835	CA69349	13048	1,030,000.00	1,462,703.00
XS0332049229	CA69342	13048	1,597,000.00	2,267,899.70
XS0373219582	CA69348	13048	755,000.00	1,072,175.50
XS0349530823	CA69341	13048	200,000.00	284,020.00
XS0346080590	CA69351	13048	6,196,000.00	8,798,939.60

TOTALS 78,918,000.00 112,071,451.80

<sup>\*</sup> Amounts due in EURO have been converted to US Dollars using the EUR-USD X-RATE published by Bloomberg for September 15, 2008: 1 EURO = 1.4201 USD.

## **EXHIBIT 2**

ISIN	Nominal Amount	Accrued	Total	Nominal Amount	Accrued	Total
	(EUR)	Interest	(EUR)	(USD)*	Interest	(USD)*
		(EUR)			(USD)*	
XS0346707903	641,000.00	-	641,000.00	910,284.10	-	910,284.10
XS0295760093	1,587,000.00	-	1,587,000.00	2,253,698.70	-	2,253,698.70
XS0355133454	3,162,000.00	-	3,162,000.00	4,490,356.20	-	4,490,356.20
XS0379217184	20,000.00	-	20,000.00	28,402.00	-	28,402.00
XS0273084656	554,000.00	-	554,000.00	786,735.40	- ]	786,735.40
XS0332049815	1,715,000.00	-	1,715,000.00	2,435,471.50	-	2,435,471.50
XS0285922133	3,836,000.00	-	3,836,000.00	5,447,503.60	-	5,447,503.60
XS0375356648	255,000.00	-	255,000.00	362,125.50	-	362,125.50
XS0305948860	4,825,000.00	-	4,825,000.00	6,851,982.50	-	6,851,982.50
XS0314067140	1,499,000.00	-	1,499,000.00	2,128,729.90	-	2,128,729.90
XS0317240157	2,325,000.00	-	2,325,000.00	3,301,732.50	-	3,301,732.50
XS0339810078	1,177,000.00	-	1,177,000.00	1,671,457.70	-	1,671,457.70
XS0287672694	5,467,000.00	-	5,467,000.00	7,763,686.70	-	7,763,686.70
XS0296281735	8,970,000.00	-	8,970,000.00	12,738,297.00	-	12,738,297.00
XS0332050078	1,357,000.00	-	1,357,000.00	1,927,075.70	-	1,927,075.70
XS0377288450	14,000.00	-	14,000.00	19,881.40	-	19,881.40
XS0368669007	3,543,000.00	202,457.14	3,745,457.14	5,031,414.30	287,509.39	5,318,923.69
XS0263715467	10,430,000.00	763,533.15	11,193,533.15	14,811,643.00	1,084,293.43	15,895,936.43
XS0276441044	11,284,000.00	519,373.15	11,803,373.15	16,024,408.40	737,561.81	16,761,970.21
XS0327236757	5,483,000.00	-	5,483,000.00	7,786,408.30	-	7,786,408.30
XS0296067142	996,000.00	-	996,000.00	1,414,419.60	-	1,414,419.60
XS0365839835	1,030,000.00	-	1,030,000.00	1,462,703.00	-	1,462,703.00
XS0332049229	1,597,000.00	33,843.27	1,630,843.27	2,267,899.70	48,060.83	2,315,960.53
XS0373219582	755,000.00	15,999.79	770,999.79	1,072,175.50	22,721.31	1,094,896.81
XS0349530823	200,000.00	3,463.01	203,463.01	284,020.00	4,917.83	288,937.83
XS0346080590	6,196,000.00	150,062.03	6,346,062.03	8,798,939.60	213,103.09	9,012,042.69

TOTALS 78,918,000.00 1,688,731.55 80,606,731.55 112,071,451.80 2,398,167.68 114,469,619.48

<sup>\*</sup> Amounts due in EURO have been converted to US Dollars using the EUR-USD X-RATE published by Bloomberg for September 15, 2008: 1 EURO = 1.4201 USD.

06/08/2005

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#### EXHIBIT 3

## UNANIMOUS WRITTEN CONSENT OF THE

## **EXECUTIVE COMMITTEE OF THE**

#### **BOARD OF DIRECTORS OF**

## LEHMAN BROTHERS HOLDINGS INC.

The undersigned, being both members of the Executive Committee of the Board of Directors of Lehman Brothers Holdings Inc., a Delaware corporation (the "Corporation"), do hereby adopt the following resolutions by unanimous written consent in lieu of a meeting in accordance with Section 141(f) of the General Corporation Law of the State of Delaware:

WHEREAS, the Corporation has previously authorized by specific resolution, which authority has not been revoked (the "Outstanding Guarantee Resolutions"), the guarantee of all or specified obligations and liabilities of certain direct and indirect subsidiaries of the Corporation, each of which is a "Guaranteed Subsidiary" as such term is used in the Corporation's Code of Authorities as currently in effect (the "Code"),

WHEREAS, certain of the Guaranteed Subsidiaries presently enjoy full guarantees while others have only partial guarantees, and the Corporation now wishes to expand such partial guarantees to full guarantees,

WHEREAS, due to the passage of time the names of certain of the Guaranteed Subsidiaries have changed, rendering the Outstanding Guarantee Resolutions out of date to that extent,

WHEREAS, the Corporation wishes to clarify that its guarantee of any Guaranteed Subsidiary with respect to any given transaction is not contingent upon the issuance of a signed guarantee pertaining to such transaction,

WHEREAS, Management wishes to establish additional Guaranteed Subsidiaries,

WHEREAS, Management wishes to specify that to the extent lawful and allowable, guarantees issued by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, so as to secure certain tax and accounting benefits, and

WHEREAS, Management believes that it would facilitate the conduct of the business of the Corporation to supersede and replace the various Outstanding Guarantee Resolutions in their entirety with this single document,

NOW THEREFORE BE IT,

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RESOLVED, that the Corporation hereby fully guarantees the payment of all liabilities, obligations and commitments of the subsidiaries set forth on Schedule A hereto, each of which shall be a Guaranteed Subsidiary for purposes of the Code;

RESOLVED, that the Outstanding Guarantee Resolutions are hereby superseded and replaced in their entirety with this single document, provided that any guarantees provided pursuant to the Outstanding Guarantee Resolutions and ourstanding on the date bereof, whether in the form of a separately executed individual guarantee or otherwise, shall remain issued, ourstanding and valid for all purposes;

RESOLVED, that guarantees provided by the Corporation concerning certain of the Guzzanteed Subsidiaries should originate with the branch of the Corporation located in London, England, to the extent lawful and allowable, as specified on Schedule A hereto;

RESOLVED, that each of the persons listed in the Code (as it may be amended from time to time) as being authorized to approve individual guarantees issued by the Corporation with respect to Guaranteed Subsidiaries, or any proper delegee thereof (collectively, "Authorized Persons"), are hereby authorized, in the name and on behalf of the Corporation, to execute such guarantees in such form as is approved by an arrorney of the Corporation and such Authorized Person, subject to any limitations specified herein, his or her execution of each such guarantee to be conclusive evidence of approval thereof; and to do such other acts and things as may be advisable or necessary in order to effect the purposes and intent of these resolutions; and

FURTHER RESOLVED, that any and all actions contemplated by the foregoing resolutions and taken by such Amhorized Persons prior to the date hereof are hereby ratified, confirmed and approved in all respects.

John D. Macomber

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# Schedule A to LBHI Unanimous Written Consent dated June 9, 2005

	Name of Subsidiary	Issue Corporation guarantee from branch located in London, England, to the extent lawful and allowable?
1.	Lehman Brothers Asia Holdings Limited	No
2.	Lehman Brothers Bankhaus A.G.	Yes (London branch of such subsidiary only)
3.	Lehman Brothers Commercial Bank	No
4.	Lehman Brothers Commercial Corporation	No
5.	Lehman Brothers Commercial Corporation Asia Limited	No
6.	Lehman Brothers Equity Finance (Cayman) Limited	No
7.	Lehman Brothers Finance S.A.	No
8.	Lehman Brothers Holdings Plc	Yes
9.	Lehman Brothers International (Europe)	Yes
10.	Lehman Brothers Japan Inc.	No
11.	Lehman Brothers (Luxembourg) Equity Finance S.A.	No
12.	Lehman Brothers (Luxembourg) S.A.	No
13.	Lehman Brothers OTC Derivatives Inc.	No
14.	Lehman Brothers Securities Asia Limited	No
15.	Lehman Brothers Securities N.V.	No
16.	Lehman Brothers Special Financing Inc.	No
17.	Lehman Brothers Treasury Co. B.V.	No
1 <b>8.</b>	Lehman Re Limited	No

#### **EXHIBIT 4**



TO WHOM IT MAY CONCERN:

Kefzersgracht 617 1017 DS Amsterdinn Postbus 3325 1003 AC Amsterdam 1+31 (0)20 527 60 00 r +31 (0) 20 527 60 60 info@gilissen.nl www.gilissen.nl

DECLARATION

Theodoor Gilissen Global Custody N.V. ('TGGC') is custodian and accountholder (accountnumber 51/267148/29) with KBL European Private Bankers S.A., Luxemburg ('KBL'). KBL is holder of account 13048 with Clearstream Luxemburg. At present, all securities under the Lehman Brothers Securities Program held by TGGC, for which a blocking number is applied for, are registered on their account (51/267148/29) with KBL. These securitles are being held in the name of KBL with Clearstream on account 13048.

AMSTERDAM/LUXEMBOURG, 15th of October 2009,

Theodoor Gilissen Global Custody N.V.,

drs. ir. G.C.D. Versteeg

drs. C.A. Heijne RA CPC

director Theodoor Gilissen Global Custody N.V. director Theodoor Gilissen Global Custody N.V.

KBL European Private Bankers S.A.,

Járomá Gendarme

Head of Custody

Philippe VAN DOOREN HEAD OF OPERATIONS

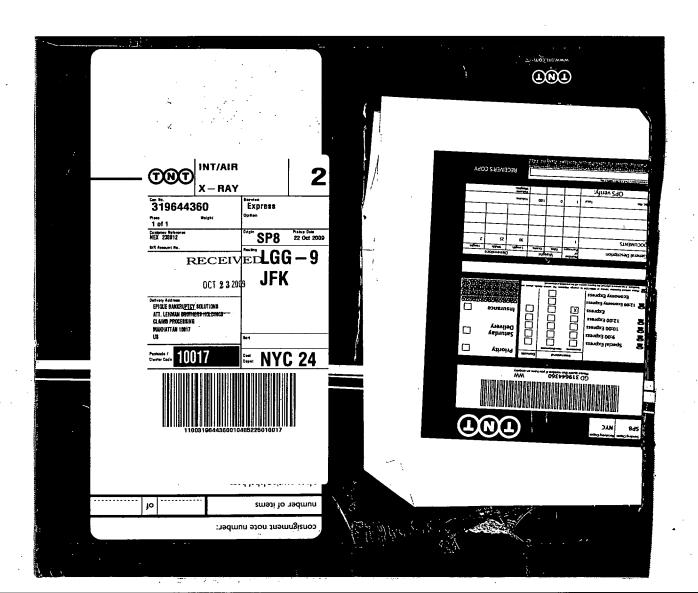
Harven Hijn

EUROPEAN PRIVATE BANKERS

THEODOGR GILLSSEN BANKIERS H.Y.

Statulate gevestigd to Amsterdara Amsterdam Handelsteglaler pr. 13004157

till van ERL European Pilvate Sankers



08-13555-mg Doc 52614-1 Filed 04/27/16 Entered 04/27/16 19:04:56 Attachment Pa 70 of 198 United States Bankruptcy Court/Southern District of New York **LEHMAN SECURITIES PROGRAMS** Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC **PROOF OF CLAIM** FDR Station, P.O. Box 5076 New York, NY 10150-5076 In Re: Chapter 11 Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. Lehman Brothers Holdings Inc., et al., Case No. 08-13555 (JMP) 08-13555 (JMP) 0000048734 Debtors. (Jointly Administered) Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on http://www.lehman-LY docket.com as of July 17, 2009 Name and address of Creditor: (and name and address where notices should be sent if different from ☐ Check this box to indicate that this Creditor) claim amends a previously filed claim. KAS BANK N.V. Court Claim Number: Spuistraat 172 (*If known*) 1012 VT AMSTERDAM, The Netherlands Filed on: Telephone number: +31 20 5575911 Email Address: KASBANK@lehmanclaim.nl Name and address where payment should be sent (if different from above) ☐ Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars. Telephone number: Email Address: 1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates. Amount of Claim: See attached \_ (Required) Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities. 2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates. International Securities Identification Number (ISIN): See attached (Required) 3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates. Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: See attached (Required) 4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:

you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.

See attached (Required)

5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.

Date.

October 26st, 2009 Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state autress and telephone number if different from the notice address above. Attach control of actorney, if any.

Name: Mr N.E. Blom

Mr R.J. Kodingan

FILED / RECEIVED OCT 27 2009

FOR COUR

EPIQ BANKRUPTCY SOLUTIONS, LLC

Penalty for presenting fraudule lightarm: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

SOUTHERN DISTRICT OF NEW YORK	
In re:	-X Chapter 11
Lehman Brothers Holdings Inc., et al.	Case No. 08-13555 (JMP)
Debtors.	(Jointly Administered)

# ANNEX TO PROOF OF CLAIM OF KAS BANK N.V.

- 1. <u>Claimant</u>. KAS BANK N.V. (the "Claimant"), hereby files the accompanying proof of claim (the "Proof of Claim") against Lehman Brothers Holdings Inc. (the "Debtor"), a debtor and debtor in possession in the above-referenced chapter 11 cases. The Claimant holds claims against the Debtor arising from certain transactions that occurred prior to September 15, 2008 (the "Petition Date"), as described more fully below.
- 2. <u>Transactions Between the Parties.</u> The Claimant is authorized to act on behalf of the holders of certain securities issued by Lehman Brothers Treasury Co B.V. (the "Obligor") and guaranteed by the Debtor (each a "Program Security" and, together, the "Program Securities") identified on the list of Lehman Program Securities, which is available on the Debtors' website, <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> under the heading "Key Documents." The International Securities Identification Number ("ISIN") identifying each Program Security, along with the Euroclear blocking reference number and the Euroclear account number relating to the Program Securities, is detailed on <a href="https://www.lehman-docket.com">Exhibit 1</a> attached hereto. Evidence of the ownership of the securities described in <a href="https://www.lehman-docket.com">Exhibit 1</a> attached hereto. Evidence of the ownership of the Securities have the benefit of an express, unconditional and irrevocable guarantee of the Debtor, and further, the Debtor guaranteed the payment of all liabilities, obligations and commitments of

the Obligor pursuant to those certain board resolutions adopted by the Executive Committee of the Debtor's Board of Directors attached hereto as Exhibit 3, including but not limited to those certain resolutions adopted by unanimous written consent on June 9, 2005 and certain other guarantees extended to affiliates of the Debtor and/or Lehman related entities.

- 3. <u>Claim</u>. The Claimant is the holder of certain securities described more fully in <u>Exhibit 1</u> hereto and on account thereof and in accordance with the terms of the documentation relating thereto, hereby asserts a claim against the Debtor in the amount of \$82,684,969.72 <u>plus</u>, to the extent provided pursuant to the underlying documents associated with each Program Security all other interest, costs, fees and expenses allowed under applicable law (the "Claim"). An itemization of certain amounts compromising the Claim is set forth on <u>Exhibit 2</u> attached hereto.
- 4. <u>Security Interests and Priority Status</u>. The Claim is filed as a general unsecured claim, without any prejudice to any and all rights of the Claimant to assert that any portion of the Claim is entitled to administrative priority under Sections 503 and 507 of the Bankruptcy Code.
- 5. <u>Claims, Counterclaims, Setoffs and Defenses</u>. The Claim is not subject to any known claims, counterclaims, setoffs or defenses by the Debtor. The Claimant also reserves any and all rights of setoff and recoupment that the Claimant or any of its affiliates may have against the Debtor or its related entities.
- 6. Reservation of Rights. The execution and filing of this Proof of Claim is not and shall not be deemed: (a) a waiver or release of the Claimant's rights against any other entity or person liable for all or any part of the Claim asserted herein; (b) a consent by the Claimant to the jurisdiction of this Court with respect to any proceeding commenced in this case against or otherwise involving the Claimant; (c) a waiver of the right to withdraw the reference with respect

to the subject matter of the Claim, any objection or other proceedings commenced with respect thereto or any other proceedings commenced in this case against or otherwise involving the Claimant; (d) a waiver or release by the Claimant of its right to trial by jury, or a consent by the Claimant to a trial by jury, in this Court or any other court; (e) a waiver of any right to the subordination, in favor of the Claimant, of indebtedness or liens held by any creditors of the Debtor or any of its affiliates; (f) an election of remedies which waives or otherwise affects any other remedy; (g) waiver of the Claimant's rights to assert that no claims hereunder have been or may be discharged and to file other claims which are not covered by this Proof of Claim; or (h) a waiver of the Claimant's rights, if any, of arbitration, to the extent provided by any applicable agreements with the Debtor or its affiliates.

Amendments. The Claimant expressly reserves its right to file any separate or additional proofs of claim with respect to the Claim set forth herein or otherwise (which proofs of claim, if so filed, shall not be deemed to supersede this proof of claim unless expressly so stated therein), to amend or supplement this Proof of Claim in any respect, including with respect to the filing of an additional or amended claim for the purpose of fixing and liquidating any contingent or unliquidated claim set forth herein, or to file additional proofs of claim in respect of additional amounts or for any other reason.

# **EXHIBIT 1**

ISIN	Euroclear blocking reference number	Euroclear account number Account in the name of KAS BANK Effectenbewaarbedrijf N.V., Amsterdam, The Netherlands (also trading under the name of KAS Depository Trust Company)	Nominal Amount (EUR)	(USD)*
XS0263715467	6035720	90023	3,924,000.00	5,572,472.40
XS0273084656	6033589	90023	1,133,000.00	1,608,973.30
XS0276441044	6033755	90023	13,463,000.00	19,118,806.30
XS0285922133	6034019	90023	759,000.00	1,077,855.90
XS0287672694	6034022	90023	6,070,000.00	8,620,007.00
XS0295760093	6034023	90023	811,000.00	1,151,701.10
XS0296067142	6034025	90023	2,096,000.00	2,976,529.60
XS0296281735	6034028	90023	4,431,000.00	6,292,463.10
XS0305948860	6034029	90023	8,100,000.00	11,502,810.00
XS0314067140	6034030	90023	1,808,000.00	2,567,540.80
XS0317240157	6034032	90023	4,026,000.00	5,717,322.60
XS0327236757	6034033	90023	2,053,000.00	2,915,465.30
XS0332049229	6034042	90023	2,326,000.00	3,303,152.60
XS0332049815	6034043	90023	2,180,000.00	3,095,818.00
XS0332050078	6034044	90023	58,000.00	82,365.80
XS0339810078	6034047	90023	321,000.00	455,852.10
XS0346080590	6034048	90023	25,000.00	35,502.50
XS0346707903	6034061	90023	476,000.00	675,967.60
XS0349530823	6034062	90023	798,000.00	1,133,239.80
XS0368669007	6034063	90023	2,255,000.00	3,202,325.50
XS0373219582	6034065	90023	12,000.00	17,041.20

Total 57,125,000.00 81,123,212.50

<sup>\*</sup> Amounts due in EUR have been converted to US Dollars using the EUR-USD X-RATE published by Bloomberg for September 15, 2008: 1 EUR = 1.4201 USD.

# **EXHIBIT 2**

ISIN	Nominal Amount	Accrued	Total	Nominal Amount	Accrued	Total
	(EUR)	Interest	(EUR)	(USD)*	Interest	(USD)*
		(EUR)			(USD)*	
XS0263715467	3,924,000.00	287,258.30	4,211,258.30	5,572,472.40	407,935.51	5,980,407.91
XS0273084656	1,133,000.00	-	1,133,000.00	1,608,973.30	<u>-</u>	1,608,973.30
XS0276441044	13,463,000.00	619,666.85	14,082,666.85	19,118,806.30	879,988.89	19,998,795.19
XS0285922133	759,000.00	-	759,000.00	1,077,855.90	-	1,077,855.90
XS0287672694	6,070,000.00	-	6,070,000.00	8,620,007.00	-	8,620,007.00
XS0295760093	811,000.00	-	811,000.00	1,151,701.10	<u>-</u>	1,151,701.10
XS0296067142	2,096,000.00	-	2,096,000.00	2,976,529.60	-	2,976,529.60
XS0296281735	4,431,000.00	-	4,431,000.00	6,292,463.10	_	6,292,463.10
XS0305948860	8,100,000.00	-	8,100,000.00	11,502,810.00	-	11,502,810.00
XS0314067140	1,808,000.00	-	1,808,000.00	2,567,540.80	<del>-</del>	2,567,540.80
XS0317240157	4,026,000.00	-	4,026,000.00	5,717,322.60	-	5,717,322.60
XS0327236757	2,053,000.00	-	2,053,000.00	2,915,465.30	_	2,915,465.30
XS0332049229	2,326,000.00	49,292.08	2,375,292.08	3,303,152.60	69,999.69	3,373,152.29
XS0332049815	2,180,000.00	-	2,180,000.00	3,095,818.00	<u>-</u>	3,095,818.00
XS0332050078	58,000.00	-	58,000.00	82,365.80	<del>-</del>	82,365.80
XS0339810078	321,000.00	-	321,000.00	455,852.10	<del>-</del>	455,852.10
XS0346080590	25,000.00	605.48	25,605.48	35,502.50	859.84	36,362.34
XS0346707903	476,000.00	-	476,000.00	675,967.60	-	675,967.60
XS0349530823	798,000.00	13,817.42	811,817.42	1,133,239.80	19,622.12	1,152,861.92
XS0368669007	2,255,000.00	128,857.14	2,383,857.14	3,202,325.50	182,990.03	3,385,315.53
XS0373219582	12,000.00	254.30	12,254.30	17,041.20	361.13	17,402.33

Total

57,125,000.00

1,099,751.58

58,224,751.58

81,123,212.50

1,561,757.22

82,684,969.72

<sup>\*</sup> Amounts due in EUR have been converted to US Dollars using the EUR-USD X-RATE published by Bloomberg for September 15, 2008: 1 EUR = 1.4201 USD.

D01

### **EXHIBIT 3**

#### UNANIMOUS WRITTEN CONSENT OF THE

#### EXECUTIVE COMMITTEE OF THE

#### **BOARD OF DIRECTORS OF**

### LEHMAN BROTHERS HOLDINGS INC.

The undersigned, being both members of the Executive Committee of the Board of Directors of Lehman Brothers Holdings Inc., a Delaware corporation (the "Corporation"), do hereby adopt the following resolutions by unanimous written consent in lieu of a meeting in accordance with Section 141(f) of the General Corporation Law of the State of Delaware:

WHEREAS, the Corporation has previously authorized by specific resolution, which authority has not been revoked (the "Outstanding Guarantee Resolutions"), the guarantee of all or specified obligations and liabilities of certain direct and indirect subsidiaries of the Corporation, each of which is a "Guaranteed Subsidiary" as such term is used in the Corporation's Code of Authorities as currently in effect (the "Code"),

WHEREAS, certain of the Guaranteed Subsidiaries presently enjoy full guarantees while others have only partial guarantees, and the Corporation now wishes to expand such partial guarantees to full guarantees,

WHEREAS, due to the passage of time the names of certain of the Guaranteed Subsidiaries have changed, rendering the Outstanding Guarantee Resolutions out of date to that extent,

WHEREAS, the Corporation wishes to clarify that its guarantee of any Guaranteed Subsidiary with respect to any given transaction is not contingent upon the issuance of a signed guarantee pertaining to such transaction,

WHEREAS, Management wishes to establish additional Guaranteed Subsidiaries,

WHEREAS, Management wishes to specify that to the extent lawful and allowable, guarantees issued by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, so as to secure certain tax and accounting benefits, and

WHEREAS, Management believes that it would facilitate the conduct of the business of the Corporation to supersede and replace the various Outstanding Guarantee Resolutions in their entirety with this single document,

NOW THEREFORE BE IT.

96-09-05 11:31 JDM INVESTMENTS

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P.02

NO.290 094 NO.504 092

06. 89. 2005 29:11 <u>13-414. - 9-12(2378805</u>94 **26./86/2005** 16:44 <u>13-414. + 9164875<del>8</del>2655</u>

RESOLVED, that the Corporation hereby fully guarantees the payment of all liabilities, obligations and commitments of the subsidiaries act forth on Schedule A hereto, each of which shall be a Guaranteed Subsidiary for purposes of the Code;

RESOLVED, that the Outstanding Guarantee Resolutions are hereby superseded and replaced in their entirety with this single document, provided that any guarantees provided pursuant to the Outstanding Guarantee Resolutions and outstanding on the date bereof, whether in the form of a separately executed individual guarantee or otherwise, shall remain issued, outstanding and valid for all purposes;

RESOLVED, that guarantees provided by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, to the extent lawful and allowable, as specified on Schedule A hereto;

RESOLVED, that each of the persons listed in the Code (as it may be amended from time to time) as being authorized to approve individual guarantees issued by the Corporation with respect to Gueranteed Subsidiaries, or any proper delegee thereof (collectively, "Authorized Persons"), are hereby authorized, in the name and on behalf of the Corporation, to execute such guarantees in such form as is approved by an attorney of the Corporation and such Authorized Person, subject to any limitations specified herein, his or her execution of each such guarantee to be conclusive evidence of approval thereof; and to do such other acts and things as may be advisable or necessary in order to effect the purposes and intent of these resolutions; and

FURTHER RESOLVED, that any and all actions contemplated by the foregoing resolutions and taken by such Authorized Persons prior to the date hereof are hereby ratified, confirmed and approved in all respects.

Dated: June 9, 2005

Richard S Fuld Jr.

John D. Macomber

NO.504 **D**03

Schedule A to LBHI Unanimous Written Consent dated June 9, 2005

	Name of Subsidiary	Issue Corporation guarantee from branch located in London, England, to the extent lawful and allowable?
1.	Lehman Brothers Asia Holdings Limited	No
2.	Lehman Brothers Bankhaus A.G.	Yes (London branch of such subsidiary only)
3.	Lehman Brothers Commercial Bank	No
4.	Lehman Brothers Commercial Corporation	No
5.	Lehman Brothers Commercial Corporation Asia Limited	No
6.	Lehman Brothers Equity Finance (Cayman) Limited	No
7.	Lehman Brothers Finance S.A.	No
8.	Lehman Brothers Holdings Plc	Yes
9.	Lehman Brothers International (Europe)	Yes
10.	Lehman Brothers Japan Inc.	No
11.	Lehman Brothers (Luxembourg) Equity Finance S.A.	No
12.	Lehman Brothers (Luxembourg) S.A.	No
13.	Lehman Brothers OTC Derivatives Inc.	No.
14.	Lehman Brothers Securities Asia Limited	No
15.	Lehman Brothers Securities N.V.	No
16.	Lehman Brothers Special Financing Inc.	No
17.	Lehman Brothers Treasury Co. B.V.	No
18.	Lehman Re Limited	No

#### **EXHIBIT 4**

To whom it may concern:

#### **DECLARATION**

KAS BANK Effectenbewaarbedrijf N.V. (also trading under the name KAS Depository Trust Company and hereinafter referred to as KDTC) is custodian and holder of account 90023 with Euroclear. KAS BANK N.V. (the Claimant) owns 100% of the shares in KDTC.

The Claimant is authorised to act on behalf of KDTC pursuant to the Rules Concerning Custody of Securities held by KDTC (the KDTC Rules).

The Claimant uses the services of KDTC for the custody of certain types of securities, in fulfilment of the obligation imposed on the Claimant by Netherlands law and regulations to protect the proprietary interests of its clients in respect of securities placed in custody with it. Since the Anglo-American legal concept of ownership of property by way of trust (or any other similar equitable interest) is not known under Netherlands law, the general position is that securities held by the Claimant for its clients but in its name will form a part of the assets of the Claimant and will therefore fall into its bankruptcy estate unless specific measures to protect the proprietary interests of its clients are taken. This is why such securities are held by KDTC. KDTC's sole object is the custody of securities on behalf of the Claimant's clients and it is prohibited by its Articles of Association from incurring any commercial risk in the conduct of its business. Custody of securities that are held by KDTC for the client's protection is subject to the KDTC Rules. According to Clause 2 of the KDTC Rules the Claimant shall act with respect to the securities held by KDTC on behalf of the clients in accordance with the instructions given by those clients. Under the KDTC Rules, the client has a direct claim on KDTC in respect of the securities held by KDTC on the client's behalf. Since this direct claim would not be affected by bankruptcy of the Claimant under Dutch bankruptcy law, the client's position with regard to securities held by KDTC is safeguarded.

Amsterdam, 26 October 2009

Name: drs. N.E. Blom

KAS BANK N.V.

le: R.J. Kooijman RA

AS BANK N.V.

e de versigen de generale de la persona de l	Date: (Day/Month/Year) Date: Time:
Receiver's VAT / TVA / BTW / MWST No.	Signature Sender Received by TNT
OPS verify: Weights:	CARMAGE OF THIS CONSIGNMENT IS SUBJECT TO THE TRAWS AND CONDITIONS ON THE REVERSE (ALWAYS THE LATEST VERSION, SEE <u>WAW_INTEXPRESS_NL</u> ) WHICH LIMITS TINTS LIABILITY IN ACCORDANCE WITH THE WARSAW CONVENTION AND THE CMR
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General Description of Packages Kilos Grams Length Width Height	
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Please contact Customer Service at 0800-1234 to arrange shipment. For service details, please see the brochure. If no service is selected, the Express service will be provided and invoiced.	Province/Region: NY Country: US
Economy Express	City: MANHATTAN Postal / Zip Code: 10017
12:00 Economy Express	757 3RD AV , 3RD FLOOR
Express X Insurance	CLAIMS PROCESSING
12:00 Express	Address: ATT. LEHMAN BROTHERS HOLDINGS
	Name: EPIQUE BANKRUPTCY SOLUTIONS
þ	0 6388226
Documents Non-Documents	
International Domestic Priority	City: AMSTERDAM Postal / Zip Code: 1017 BT
GD <b>319826544</b> WW  Please quote this number if you have an enquiry.	Address: HERENGRACHT 493
	Name: WIJS ? VAN OOSTVEEN
	3. Customer Reference
	Receiver's account number
SP8 NYC	FOR UNPAID CHARGES
Sending Depot Receiving Depot	1. Sender's Account Number 000062508

United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076	LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM				
In Re: Lehman Brothers Holdings Inc., et al., Debtors.  Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000048817				
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009					
Name and address of Creditor: (and name and address where notices should be Creditor)  ZNU NV  VLuchten burgstraggt  B - 2630 ARRTSELAGR	sent if different from  Check this box to indicate that this claim amends a previously filed claim.  Court Claim Number:  (If known)  Filed on:				
Telephone number: 003238704400Email Address: mac. Stoams					
Name and address where payment should be sent (if different from above)	Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.				
Telephone number: Email Address:					
1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.  Amount of Claim: \$ 1.424.400 00 (Required)  Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.					
2. Provide the International Securities Identification Number (ISIN) for each this claim with respect to more than one Lehman Programs Security, you may a which this claim relates.  International Securities Identification Number (ISIN): X503485	attach a schedule with the ISINs for the Lehman Programs Securities to				
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electroni appropriate (each, a "Blocking Number") for each Lehman Programs Security from your accountholder (i.e. the bank, broker or other entity that holds such se than one Lehman Programs Security, you may attach a schedule with the Block relates.	for which you are filing a claim. You must acquire a Blocking Number curities on your behalf). If you are filing this claim with respect to more				
Clearstream Bank Blocking Number, Euroclear Bank Electronic Instructionumber:	on Reference Number and or other depository blocking reference				
CA 65733 (Require	ed)				
4. Provide the Clearstream Bank, Euroclear Bank or other depository participan you are filing this claim. You must acquire the relevant Clearstream Bank, Eur accountholder (i.e. the bank, broker or other entity that holds such securities on numbers.	oclear Bank or other depository participant account number from your				
Accountholders Euroclear Bank, Clearstream Bank or Other Depository P	articipant Account Number:				
16624 (Required	·				
5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Ba disclose your identity and holdings of Lehman Programs Securities to the Debte reconciling claims and distributions.	ank or other depository to ors for the purpose of				
Date.  Signature: The person filing this claim must sign it. Sign are of the creditor or other person authorized to file this claim are number if different from the notice address above. Attach co any.	nd state address and telephone py of power of attorney, if EPIQ BANKRUPTCY SOLUTIONS, LLC				
Penalty for presenting fraudulent claim. Fine of up to \$500,000 or in	nprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571				

08-13555-mg Doc 52614-1 Filed 04/27/16 Entered 04/27/16 19:04:56 A Pg 82 of 198

Attachment

**KBC Bank Head Office** 



Clientsupport / Custody

VBN - Havenlaan 2 - 1080 Brussels

# AFFIDAVIT OF OWNERSHIP **LEHMAN BROTHERS**

September 11th 2009

KBC BANK NV, Havenlaan 2, 1080 BRUSSELS, declares that our client

ZNU **VLUCHTENBURGSTRAAT 7** B 2630 AARTSELAAR

had a position of 1,000,000 EUR with isin-code XS0348560524

on his accountnumber 727-9197736-61 on 11 September 2009.

Bart Bal **VBN / Clientsupport**  Paul Hendrix Head of VBN / Clientsupport

Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076 USA



Zuidnederlandse Uitgeverij N.V. Vluchtenburgstraat 7, B-2630 Aartselaar (Belgium)

2630 22.10.09-10

AARTOELAAR

€ 8,95 PRIOR INTL

DELTAS CHANTECLER





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OCT 2 7 2009





# 08-13555-mg Doc 52614-1 Filed 04/27/16 Entered 04/27/16 19:04:56 Attachment A Pg 85 of 198

United States Bankruptcy Court/Southern District of New York		LEHMAN	SECURITIES PROGRAMS
Lehman Brothers Holdings Claims Processing Center			DROOF OF CLASS
c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076		Filed: USBC - S	outhern District of New York
New York, NY 10150-5076		Lahman Bro	thers Holdings Inc., Et Al. -13555 (JMP) 0000049740
In Re:	Chapter 11 et al	80	-13555 (JWF)
Lehman Brothers Holdings Inc., Debtors.	(Jointly Administered)	10 11 11111111	
Note: This form may not be used	I to file claims other than those based on Lehman http://www.lehman-docket.com as of July 17, 2009		
	and name and address where notices should be sent it	different from C. Canton	Check this box to indicate that this claim amends a previously filed claim.
Banca Aletti & C. S.p.A.			Court Claim Number:
Via Santo Spirito, 14			(If known)
20121 Milano Italy			
Attention: Mr. Massimo Zerbino			
			Filed on:
Telephone number: +39 024335		alettibank.it	
Name and address where payme	nt should be sent (if different from above)		Check this box if you are aware that anyone
			else has filed a proof of claim relating to your claim. Attach copy of statement giving
			particulars.
Telephone number:	Email Address:		
Centember 15, 2008, whether yo	our claim based on Lehman Programs Securities. You owned the Lehman Programs Securities on Septem	ber 15, 2008 or acquired them thereaf	ter, and whether such claim matured or became
Gyad or liquidated before or afte	er Sentember 15, 2008. The claim amount must be sta	ated in United States dollars, using the	e exchange rate as applicable on September 15,
2008. If you are filing this claim Security to which this claim rela	n with respect to more than one Lehman Programs Se	curity, you may attach a schedule wit	if the claim amounts for each Lemman Frograms
'			
Amount of Claim: See attached			l B
	t of claim includes interest or other charges in additio		
2. Provide the International Sec	surities Identification Number (ISIN) for each Lehmans Security, you may attach a schedule with the ISINs	n Programs Security to which this clai	im relates. If you are filing this claim with respect to
more than one Lehman Program	s Security, you may attach a schedule with the islins	to the Lemman Flograms Securities	to which this claim relates.
International Securities Identi	fication Number (ISIN): See attached	(Required)	
2 Provide the Clearstream Bank	Blocking Number a Euroclear Bank Electronic Ref	erence Number, or other depository bl	locking reference number, as appropriate (each, a
"Planking Number") for each I a	shman Programs Security for which you are filing a c	laim. You must acquire a Blocking N	umber from your accountholder (i.e. the bank,
broker or other entity that holds	such securities on your behalf). If you are filing this nbers for each Lehman Programs Security to which the	claim with respect to more than one L	ehman Programs Security, you may attach a
Clearstream Bank Blocking N	umber, Euroclear Bank Electronic Instruction Re	ference Number and or other depos	sitory blocking reference number:
	(D. 1.1)		
See attached	(Required)		Programs Securities for which you are filing this
4. Provide the Clearstream Bank	k, Euroclear Bank or other depository participant acco	ount number related to your Lennian roository participant account number from	om your accountholder (i.e. the bank, broker or other
entity that holds such securities	on your behalf). Beneficial holders should not provide	e their personal account numbers.	•
1	nk, Clearstream Bank or Other Depository Partic		
See attached	(Required)		
5 Consent to Euroclear Bank	, Clearstream Bank or Other Depository: By filir	g this claim, you consent to, and are	FOR COURT USE ONLY
deemed to have authorized, Eur	oclear Bank, Clearstream Bank or other depository to	disclose your identity and holdings	FILED / RECEIVED
	to the Debtors for the purpose of reconciling claims		↓ <b>  [ </b>
Date Signature: The	e person filing this claim must sign it. Sign and print authorized to file this claim and state address and tel	name and title, if any, of the creditor enhance number if different from the	007.07.000
October or other person notice address	above. Attach copy of power of attorney, if any.	-p	OCT <b>27 2009</b>
	io Zancanaro Title: General Manager		
			EPIQ BANKRUPTCY SOLUTIONS, LLC
	5		EFIN DATATION TO SOLUTIONS, LLC
Penalty for	presenting fraudulent claim: Fine of up to \$500,000	or imprisonment for up to 5 years, or	both, 18 U.S.C. §§ 152 and 3571

SOUTHERN DISTRICT OF NEW YORK	
In re:	Chapter 11
Lehman Brothers Holdings Inc., et al.	Case No. 08-13555 (JMP)
Debtors.	(Jointly Administered)

# ANNEX TO PROOF OF CLAIM OF BANCA ALETTI & C. S.P.A.

- 1. <u>Claimant</u>. Banca Aletti & C. S.p.A. (the "Claimant"), hereby files the accompanying proof of claim (the "Proof of Claim") against Lehman Brothers Holdings Inc. (the "Debtor"), a debtor and debtor in possession in the above-referenced chapter 11 cases. The Claimant holds claims against the Debtor arising from certain transactions that occurred prior to September 15, 2008 (the "Petition Date"), as described more fully below.
- Transactions Between the Parties. The Claimant is authorized to act on behalf of the holders of certain securities issued by Lehman Brothers Treasury Co. B.V. and Lehman Brothers UK Capital Funding II LP (the "Obligors") and guaranteed by the Debtor (each a "Program Security" and, together, the "Program Securities") identified on the list of Lehman Program Securities, which is available on the Debtors' website, <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> under the heading "Key Documents." The International Securities Identification Number ("ISIN") identifying each Program Security, along with the respective Clearstream Bank blocking reference number and the Clearstream Bank account number relating to the Program Securities, is detailed on <a href="https://example.com/Exhibit 1">Exhibit 1</a> attached hereto. Evidence of the ownership of the securities described in <a href="https://example.com/Exhibit 1">Exhibit 1</a> attached hereto. The Program Securities issued by

Lehman Brothers Treasury Co. B.V. have also the benefit of an express, unconditional and irrevocable guarantee of the Debtor, and further, the Debtor guaranteed the payment of all liabilities, obligations and commitments of Lehman Brothers Treasury Co. B.V. pursuant to those certain board resolutions adopted by the Executive Committee of the Debtor's Board of Directors attached hereto as Exhibit 4, including but not limited to those certain resolutions adopted by unanimous written consent on June 9, 2005 and certain other guarantees extended to affiliates of the Debtor and/or Lehman related entities.

- 3. <u>Claim</u>. The Claimant is authorized to act on behalf of the holders of certain securities described more fully in <u>Exhibit 1</u> hereto and on account thereof and in accordance with the terms of the documentation relating thereto, hereby asserts a claim against the Debtor in the amount of \$ 68,411,014.91 <u>plus</u>, to the extent provided pursuant to the underlying documents associated with each Program Security all other interest, costs, fees and expenses allowed under applicable law (the "Claim"). An itemization of certain amounts comprising the Claim is set forth on Exhibit 2 attached hereto.
- 4. <u>Security Interests and Priority Status</u>. The Claim is filed as a general unsecured claim, without any prejudice to any and all rights of the Claimant to assert that any portion of the Claim is entitled to administrative priority under Sections 503 and 507 of the Bankruptcy Code.
- 5. <u>Claims, Counterclaims, Setoffs and Defenses</u>. The Claim is not subject to any known claims, counterclaims, setoffs or defenses by the Debtor. The Claimant also reserves any and all rights of setoff and recoupment that the Claimant or any of its affiliates may have against the Debtor or its related entities.
- 6. Reservation of Rights. The execution and filing of this Proof of Claim is not and shall not be deemed: (a) a waiver or release of the Claimant's rights against any other entity or

person liable for all or any part of the Claim asserted herein; (b) a consent by the Claimant to the jurisdiction of this Court with respect to any proceeding commenced in this case against or otherwise involving the Claimant; (c) a waiver of the right to withdraw the reference with respect to the subject matter of the Claim, any objection or other proceedings commenced with respect thereto or any other proceedings commenced in this case against or otherwise involving the Claimant; (d) a waiver or release by the Claimant of its right to trial by jury, or a consent by the Claimant to a trial by jury, in this Court or any other court; (e) a waiver of any right to the subordination, in favor of the Claimant, of indebtedness or liens held by any creditors of the Debtor or any of its affiliates; (f) an election of remedies which waives or otherwise affects any other remedy; (g) waiver of the Claimant's rights to assert that no claims hereunder have been or may be discharged and to file other claims which are not covered by this Proof of Claim; or (h) a waiver of the Claimant's rights, if any, of arbitration, to the extent provided by any applicable agreements with the Debtor or its affiliates.

Amendments. The Claimant expressly reserves its right to file any separate or additional proofs of claim with respect to the Claim set forth herein or otherwise (which proofs of claim, if so filed, shall not be deemed to supersede this proof of claim unless expressly so stated therein), to amend or supplement this Proof of Claim in any respect, including with respect to the filing of an additional or amended claim for the purpose of fixing and liquidating any contingent or unliquidated claim set forth herein, or to file additional proofs of claim in respect of additional amounts or for any other reason.

# **EXHIBIT 1**

ISIN Code	Clearstream blocking reference #	Clearstream account #	Issuer	Nominal Amount
			LEHMAN BROTHERS	
XS0163559841	CA18387	83611	TREASURY CO. BV	€ 797,000.00
			LEHMAN BROTHERS	
XS0176153350	CA18388	83611	TREASURY CO. BV	€ 7,939,000.00
	0.110000	00044	LEHMAN BROTHERS	£ 0.241.000.00
XS0181945972	CA18389	83611	TREASURY CO. BV	€ 9,241,000.00
V00405055445	CA49395	83611	TREASURY CO. BV	€ 934,000.00
XS0185655445	CA18385	03011	LEHMAN BROTHERS	C 004,000.00
XS0302315386	CA18386	83603	TREASURY CO. BV	€ 625,000.00
A30302313300	CA10300	00000	LEHMAN BROTHERS	1.000,000
XS0307348234	CA18384	83603	TREASURY CO. BV	€ 8,000.00
7.00001040204	G/11000 Y		LEHMAN BROTHERS	
XS0162289663	CA18379	83611	TREASURY CO. BV	€ 542,000.00
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			LEHMAN BROTHERS	
XS0178969209	CA18375	83611	TREASURY CO. BV	€ 7,029,000.00
			LEHMAN BROTHERS	
XS0189294225	CA18370	83611	TREASURY CO. BV	€ 336,000.00
			LEHMAN BROTHERS	
XS0195431613	CA18377	83611	TREASURY CO. BV	€ 488,000.00
			LEHMAN BROTHERS	5 40 400 000 00
XS0200284247	CA18373	83611	TREASURY CO. BV	€ 10,499,000.00
	0.440070	02044	LEHMAN BROTHERS TREASURY CO. BV	€ 170,000.00
XS0202417050	CA18372	83611	LEHMAN BROTHERS	€ 170,000.00
VC0407472642	CA18368	83611	TREASURY CO. BV	€ 20,000.00
XS0197173643	CA18308	00011	LEHMAN BROTHERS	320,000:00
XS0208459023	CA18371	83611	TREASURY CO. BV	€ 1,845,000.00
700200-100020	5,1105.		LEHMAN BROTHERS	
XS0210782552	CA18363	83611	TREASURY CO. BV	€ 1,289,000.00
		<del>,</del>	LEHMAN BROTHERS	
XS0211814123	CA18364	83611	TREASURY CO. BV	€ 1,319,000.00
			LEHMAN BROTHERS	
XS0211093041	CA18367	83611	TREASURY CO. BV	€ 2,027,000.00
			LEHMAN BROTHERS	
XS0213971210	CA18365	83611	TREASURY CO. BV	€ 733,000.00

			LEHMAN BROTHERS	€ 5,000.00
XS0213416141	CA18358	83611	TREASURY CO. BV	€ 5,000.00
			LEHMAN BROTHERS	
			UK CAPITAL FUNDING	
XS0229269856	CA18359	83611	II LP	€ 15,000.00
			LEHMAN BROTHERS	
XS0220704109	CA18357	83611	TREASURY CO. BV	€ 65,000.00
X00220101100			LEHMAN BROTHERS	
IT0006578600	CA18361	83611	TREASURY CO. BV	€ 140,000.00
			LEHMAN BROTHERS	
XS0276162327	CA18349	83611	TREASURY CO. BV	€ 102,000.00
7,002,0,0202.			LEHMAN BROTHERS	
XS0229584296	CA18348	83611	TREASURY CO. BV	€ 25,000.00
			LEHMAN BROTHERS	
XS0210433206	CA18351	83611	TREASURY CO. BV	€ 8,000.00
7.002.07.00.00			LEHMAN BROTHERS	
XS0232364868	CA18347	83611	TREASURY CO. BV	€ 2,000.00
			LEHMAN BROTHERS	
XS0301086475	CA18343	83611	TREASURY CO. BV	€ 651.00

#### **EXHIBIT 2**

[ISIN Code]	Nominal Amount	Interest **	Total	Nominal Amount	Interest	Total
listiv Codel	(FOREIGN CURRENCY)	(FOREIGN CURRENCY)	(FOREIGN CURRENCY)	(USD) *	(USD) *	(USD) *
XS0163559841	EUR 797,000.00	15,379.09	812,379.09	1,127,834.70	21,762.95	1,149,597.65
XS0176153350	EUR 7,939,000.00	225,680.26	8,164,680.26	11,234,478.90	319,360.14	11,553,839.04
XS0181945972	EUR 9,241,000.00	221,061.35	9,462,061.35	13,076,939.10	312,823.92	13,389,763.02
XS0185655445	EUR 934,000.00	23,283.24	957,283.24	1,321,703.40	32,948.12	1,354,651.52
XS0302315386	EUR 625,000.00	-	625,000.00	884,437.50		884,437.50
XS0307348234	EUR 8,000.00		8,000.00	11,320.80	-	11,320.80
XS0162289663	EUR 542,000.00	5,871.67	547,871.67	766,984.20	8,309.00	775,293.20
XS0178969209	EUR 7,029,000.00	193,483.55	7,222,483.55	9,946,737.90	273,798.58	10,220,536.48
XS0189294225	EUR 336,000.00	5,709.77	341,709.77	475,473.60	8,079.90	483,553.50
XS0195431613	EUR 488,000.00	4,111.04	492,111.04	690,568.80	5,817.53	696,386.33
XS0200284247	EUR 10,499,000.00	313,224.27	10,812,224.27	14,857,134.90	443,243.67	15,300,378.57
XS0202417050	EUR 170,000.00	4,506.04	174,506.04	240,567.00	6,376.50	246,943.50
XS0197173643	EUR 20,000.00	82.50	20,082.50	28,302.00	116.75	28,418.75
XS0208459023	EUR 1,845,000.00	41,243.59	1,886,243.59	2,610,859.50	58,363.80	2,669,223.30
XS0210782552	GBP 1,289,000.00	28,176.27	1,317,176.27	2,297,454.37	50,220.09	2,347,674.47
XS0211814123	EUR 1,319,000.00	31,702.51	1,350,702.51	1,866,516.90	44,862.23	1,911,379.13
XS0211093041	EUR 2,027,000.00	23,371.42	2,050,371.42	2,868,407.70	33,072.90	2,901,480.60
XS0213971210	EUR 733,000.00	7,209.84	740,209.84	1,037,268.30	10,202.64	1,047,470.94
XS0213416141	EUR 5,000.00	49.73	5,049.73	7,075.50	70.37	7,145.87
XS0229269856	EUR 15,000.00	754.05	15,754.05	21,226.50	1,067.05	22,293.55
XS0220704109	EUR 65,000.00	759.43	65,759.43	91,981.50	1,074.67	93,056.17
IT0006578600	EUR 140,000.00		140,000.00	198,114.00	-	198,114.00
XS0276162327	EUR 102,000.00	-	102,000.00	144,340.20	•	144,340.20
XS0229584296	EUR 25,000.00	1,708.50	26,708.50	35,377.50	2,417.70	37,795.20
XS0210433206	EUR 8,000.00	278.03	8,278.03	11,320.80	393.44	11,714.24
XS0232364868	EUR 2,000.00	103.93	2,103.93	2,830.20	147.08	2,977.28
XS0301086475	UNIT 651.00	_	651,000.00	921,230.10	-	921,230.10

# Total Claim Amount USD 68,411,014.91

<sup>\*</sup> Amounts due in EUR have been converted to US Dollars using the exchange reference rate published by the European Central Bank for September 15, 2008: 1 EUR = 1.4151 USD

Amounts due in GBP have been converted to US Dollars using the exchange reference rate GBP/EUR and USD/EUR published by the European Central Bank for September 15, 2008: 1 GBP = 1.259525 EUR = 1.782354 USD

Each 1 UNIT of ISIN Code XS0301086475 represents EUR 1.000 of Nominal Amount

\*\* Interest accrued until September 14, 2008 (included)

# **EXHIBIT 3**

Evidence of Ownership

16.40 CET **BSMauro** Clearstream Banking - CreationOnline **Security Post Release** Trade Details Trade Details
Type of Settlement Trasaction
Rool Reference
Function of Message
Instruction Type
Activity Status
ISIN Code
Common Code
Financial Instrument Descriptio Securities Blocking New Message Receive Free Settlement-Settled XS0163559841 016355984 EUR 6 LEHMAN BROS TREAS CO.BV (1-2)03-2011 Place of Safekeeping IRVTGB2XGPT Common Code Type Reason Narrative Sender's Reference Single NONREE Last Update Previous Reference 19/10/09 16 06 Deal Reference Deal Reference
Common Reference.
Safekeeping Account
Quantity of Enancial Instrument
Quantity Type.
Processing Indicator
Requested Settlement Date.
Trade Date/Dime
Closing Date.
Priority
Settlement Amount
Currency'
Guaranteed Delivery 83611 - BANCA ALETTI + C SPA-CLIENT A/C Face Amount 19/10/09 19/10/09 0:00 Normal Priority Currency Guaranteed Delivery No Deal Price Deal Price Currency
Deal Price Format
Taxable Income Per Share
Taxable Income Per Share Currency
Taxable Income Per Share Format
Taxable Income Per Share Format Delivery Without Matching Yes Delivery, Without Matching
Deal
Trans
Common
Transaction Indicator
Actual Settled Amount
Exp./Eff. Settlement Date
Exp./Eff. Value Date
Dest Matching Instruction CA18387 Settlement Activity 19/10/09 tanggangganggang panggan beringganggangganggangganggangganggan Settlement Parties Clearstream, Depository BIC Address Place of Settlement 16B) BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX - Clearstream Physical Transaction. in mediate Release No No Delivering Agent Rarty Description Settlement Processing I Clearstream 83611 BANCA ALETTI + C. SPA-CLIENT A/C CONSBLOK XS0163559841 OCE CONSENT YOUR INX **Settlement Parties** Type Party Account CLEARSTREAM 83611 Receiving Agent Additional Information Input Media Internal

19/10/09

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16.40 CET Clearstream Banking - CreationOnline BSMauro References Type Reference 02MB9A0005BU Clearstream 0000000065486204 CA Notification History ČÉT-Tim estam p Settlement 19/10/09 16:06 19/10/09 16.06 Processing Accepted Receipt 19/10/09 16 06 Processing **Current Activity-Status** 

CET-Timestamp User Activity Status Reason Narrative Settled 19/10/09 16:06 Settlement

Clearstream Banking - CreationOnline

16.40 CET

**BSMauro Security Post Release** 化交换性 医电子性性性性 经收益 有一致的 医中枢动物 医麻醉性病 医电子性病 Trade Details Trade Details
Type of Settlement Trasaction (12)
Pool Reference
Function of Massage
Jinstruction Type:
Activity Status
JSIN Code
Common Code
Financial Instrument Description
Place of Sefekeeping
Common Code Type Securities Blocking New Message Receive Free Settlement-Settled XS0176153350 017615335 EUR 7,00 LEHMAN BROS.HOLD.INC 03-2013 IRVTGB2XGPT Common Code Type Reason Narrative Sender's Reference Last Update Single NONREF Last Update
Previous Reference
Common Reference
Safekeeping Accounts
Quantity of Financial Instrument
Quantity Type
Processing Indicator
Requested Sattlement Date
Trade Date(Time 19/10/09 16 06 83611 - BANCA ALETTI + C. SPA-CLIENT A/C 7 939.000 Face Amount 19/10/09 Trade Date(Time
Closing Date
Priority/
Settlement Amount:
Currency/
Guaranteed Delivery/
Deal Price
Deal Price Currency/
Deal Price Format
Taxable Income Per Share Currency/
Taxable Income Per Share Format
FX Currency/
Delivery Without Matching
Deal
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Common:
Transaction Indicator 19/10/09 0:00 Normal Priority No Yes CA18388 Common? Transaction indicator Actual Settled Amount Exp./Eff. Settlement Date Exp./Eff. Value Date Bost Matching Instruction? Settlement Activity 19/10/09 医环状腺素 医克勒克氏 医克里耳氏 医克克特氏 医二甲基乙基甲基甲基乙基甲基甲基乙基甲基 Settlement Parties Clearstream Depository BIC Address [6B] BKNY MELLON, LDN RVTGB2XGPT Place of Settlement .... CEDELULLXXX - Clearstream Physical Transaction Immediate Release No No Delivering Agent Clearstream Party Description Settlement Processing Nar 83611 BANCA ALETTI + C. SPA-CLIENT A/C CONSBLOK XS0176153350 OCE CONSENT YOUR INX **Settlement Parties** Partiv

Type			
Receiving Agent	CLEARSTREAM	83611	
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16.40 CET Clearstream Banking - CreationOnline **BSMauro** References Reference Type 02M89A0005BW Clearstream CA Notification 0000000065486207 History Reason Narrative CEI/Timestemp 19/10/09 16:06 19/10/09 16 06 **Processing** Accepted Receipt 19/10/09 16:06 Processing **Current Activity-Status** User Activity Status Reason Narrative CET Timestamp Settled

Settlement

19/10/09 16 06

19/10/09 Page 72/80 Clearstream Banking - CreationOnline

BSMauro

16.40 CET

Security Post Release 文品等的 2018年2月2日 2月2日 - 1918年2月2日 - 1918日 -**Trade Details** Trade Dotalls
Type of Settlement Trasaction:
Pool/Reference
Function of Message
Instruction Type
Activity, Status
ISIN Code.
Common Code Securities Blocking New Message Receive Free Settlement-Settled XS0181945972 018194597 EUR 7 LEHMAN BROS TREAS: 04-2014 Financial instrument Description einanciai instrument de Place of Safekeeping Common Code Type Roason Narrative Sender 5. Reference Last Update Previous Reference IRVTGB2XGPT Single NONREF 19/10/09 16:06 Doal Reference Comin on Reference Safekeeping Account Quantity of Financial Instrument 83611 - BANCA ALETTI + C. SPA-CLIENT A/C 9 241 000 Quantity of Financial list on Quantity Type; Processing Indicator Requested Settlement Date Trade Date/Time Closing Date Priority Face Amount 19/10/09 19/10/09 0 00 Closing Priority Settlement Amount Normal Priority Currency
Guaranteed Delivery
Deal Price
Deal Price
Deal Price Currency
Deal Price Format
Jaxable Income Per Share
Taxable Income Per Share Currency,
Taxable Income Per Share Format
FX Currency
Delivery Without Matching:
Deal
Trano
Common
Transaction Indicator
Actual Settled Amount
Exp JET Settlement Date
Exp JET Value Date
Best Matching Instruction:
Settlement Parties No Yes CA 18389 Settlement Activity 19/10/09 Settlement Parties Clearstream Depository BIC Address [68] BKNY MELLON, LDN IRVTGB2XGPT Place of Settlement CEDELULLXXX - Clearstream Physical Transaction No jmmedlate Rejease Delivering Agent No Clearstream 83611 Party BANCA ALETTI + C. SPA-CLIENT A/C Description: Settlement Processing:Narrative CONSBLOK XS0181945972 OCE CONSENT YOUR INX Settlement Parties

Type	Format	Party
Receiving Agent	CLEARSTREAM	83611
Additional Information	THE RESERVE OF A SECURITY	3. 14. 15. 15. 15. 15. 15. 15. 15. 15. 15. 15
Additional information		
Input Media	nternal	
Input Media	nternal	

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BSMauro Clearstream Banking - CreationOnline 16.40 CET References Type: Reference : Clearstream 02M89A0005BY 0000000065486205 CA Notification History CET Timestam; 19/10/09 16:06 Processing 19/10/09 16.06 Accepted 19/10/09 16:06 Processing Receipt **Current Activity-Status** CET Timestamp-Activity Status Reason Narrative 19/10/09 16.06 Settled

Clearstream Banking - CreationOnline

BSMauro

16.40 CET

Security Post Release · 10.5 在最高的中国企業的表面。中国企业中国的企业中国的企业中的企业中,但是中国的企业的 **Trade Details** Type of Settlement Tras Securities Blocking Pool Raference Function of Message New Message Function of messa Instruction Type 'Activity's latius ISIN Code Common Code Receive Free Settlement-Settled XS0185655445 018565544 Financial Instrument Description EUR 7 LEHMAN BROS.TREAS. 04-2014 Place of Safekeeping IRVTGB2XGPT Common Code Type Reason Narrative Single Réason Narrative
Sender's Reference
Last Update
Previous Reference
Deal Reference
Common Reference
Safékeeping Account
Quantity of Financial Instrument NONREF 19/10/09 16 06 83611 - BANCA ALETTI + C SPA-CLIENT A/C 934 000 Quantity Type Processing Indicator Requested Settlement Date. Face Amount 19/10/09 Trade Daté/Time 19/10/09 0.00 Jrade co. Closing Date ∵ Normal Priority Settlement Amount Currency Guaranteed Delivery No Deal Price
Deal Price
Deal Price Currency
Deal Price Format
Taxable Income Per Share
Taxable Income Per Share Currency Taxable Income Per Share I FX Currency Delivery Without Matching Deal : Trans Common Trans action Indicator Actual Settled Amount Exp./Eff. Settlement Date Exp./Eff. Value Date CA18385 Settlement Activity 19/10/09 xp:/Ēf:Value Date Best Matching Instruction 官员,就是是自己的公司的是自己的人。但是自己是是特殊的。这个是是不是一种 Settlement Parties Clearstream Depository BIC Address [6B] BKNY MELLON, LDN RVTGB2XGPT Place of Settlement CEDELULLXXX - Clearstream Physical Transaction In mediate Release Delivering Agent No No Clearstream Party Description 83611 BANCA ALETTI + C. SPA-CLIENT A/C CONSBLOK XS0185655445 OCE CONSENT YOUR INX Settlement Processing Narrati **Settlement Parties** 

Pagairing Agent	CI FARSTREAM	Ranty Account 83611
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Input Media	Internal	
19/10/09		Page 61/80

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16.40 CET Clearstream Banking - CreationOnline BSMauro References Reference Type 02M89A0005BQ Clearstream CA Notification 0000000065486202 History Activity Status Reason Marrative CETTIMES LATED IN 19/10/09 16:06 Settlement 19/10/09 16:06 Processing Accepted Receipt 19/10/09 16 06 Processing **Current Activity-Status** CET Timestamp Üğei Açılvityi Status Reason/Narretive

Settlement

19/10/09.16.06

Settled

19/10/09 Page 62/80

Clearstream Banking - CreationOnline 16.36 CET **BSMauro** Security Post Release Trade Details Jype of Settlement Trasaction
Pool Reference Securities Blocking Poolikeference
Eunction of Message
Instruction Type
Activity Status
ISIN Code
Common Code
Financial Instrument Description
Place of Safekeeping
Common Code Type
Reason Narrative
Sender's Reference
Last Update
Previous Reference
Common Reference
Common Reference
Safekeeping Account
Quantity Type New Message Receive Free Settlement-Settled XS0302315386 030231538 EUR 0,00 LEHMAN BROS TREAS 07-2013 IRVTGB2XGPT Single NONREF 19/10/09 16.06 83603 - BANCA ALETTI + C SPA Quantity of Financial Instrument
Quantity Type
Processing Indicator
Requested Settlement Date.
Trade DateFilme
Closing Date
Priority
Settlement Amount
Currency
Guaranteed Delivery
Deal Frice 625 000 Face Amount 19/10/09 19/10/09 0.00 Normal Priority Guaranteed Delivery

Deal Price:
Deal Price Currency

Deal Price Currency

Deal Price Format:

Taxable Income Per Share

Taxable Income Per Share Currency

Taxable Income Per Share Format

FX Currency

Delivery Without Matching

Trans

Common:

Transaction Indicator

Actual Settled Amount

Exp /Eff: Settlement Date No Yes CA 18386 Settlement Activity Etp /Elf: Settlement Date Exp:/Elf:Value: Date Best:Matching Instruction 19/10/09 Settlement Parties Clearstream Depository BIC Audress Place of Settlement Physical Transaction (6B) BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX - Clearstream linimediate Release No Delivering Agent Party Clearstream Party Description Settlement Processing N 83603 BANÇA ALETTI + C SPA CONSBLOK XS0302315386 OCE CONSENT YOUR INX **Settlement Parties** Type Party Account

Receiving Agent	CLEARSTREAM	83603	
Additional Information	(1975年 × 1976年) 医医皮肤皮肤 医皮肤皮肤	调用的过程的影響有關語句 其於文	"这么是是不是是是一种,我们是不是不是
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BSMauro Clearstream Banking - CreationOnline 16.36 CET

References

 Type
 Reference

 Clearstream
 02M89A0005BS

 CA Notification
 000000065489174

History

 CETTIMEStamp
 User
 Activity
 Status
 Reason Narrative

 19/10/09 16:06
 Settlement
 Settled

 19/10/09 16:06
 Processing
 Accepted

 19/10/09 16:06
 Processing
 Receipt

**Current Activity-Status** 

16.36 CET BSMauro Clearstream Banking - CreationOnline **Security Post Release** Trade Details Type of Settlement Trasaction
Pool Reference
Function of Message
Instruction Type
Activity Status
ISIN Code Securities Blocking New Message Receive Free Settlement-Settled ISIN Code Common Code Financial Instrument Description Place of Salek XS0307348234 030734823 EUR 0,00 LEHMAN BROS TREAS 07-2013 Race of Safekeeping Confinion Code Type IRVTGB2XGPT Common Code Type Reason Narrative Single Sender's Reference Last Update NONREF 19/10/09 16 06 Cast opene Previous Referênce Deal Reference Deal Reference Common Reference Safèkeeping Account Safekeeping Account Quantity of Financial Instrument Quantity Type<sup>n</sup> 83603 - BANCA ALETTI + C SPA 8 000 Face Amount quantity type
Processing Indicator
Requested Settlement Date
Trade Date/Time
Closing Date
Priority 19/10/09 19/10/09 0 00 Priority Settlement-Amount Currency: Guaranteed Delivery Normal Priority Deal Price Ceal Price Currency
Deal Price Format
(Taxable Income Per Share
Taxable Income Per Share Currency
Taxable Income Per Share Format
EX Currency Deal Price Currency elivery Without Matching Yes Deal Trano Common Transaction Indicator CA 18384 Settlement Activity Actual Settled Amount Exp./Eff. Settlement Date Exp./Eff. Value Date Best Matching Instruction 19/10/09 Settlement Parties Settlement Parties
Clears fream Depository
BIC Address
Place of Settlement
Physical Transaction
Immodiate Release
Delivering Agent
Party [6B] BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX - Clearstream No Nh Clearstream Party Description Settlement Processing Narrativ 83603 BANCA ALETTI + C. SPA CONSBLOK XS0307348234 OCE CONSENT YOUR INX **Settlement Parties** Type Formal Party Account 83603 Receiving Agent CLEARSTREAM ता प्राप्त मा करिने हैं के परिष्ठ पर साथ अवस्था है है अधिक से सम्बद्ध Additional Information

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BSMauro Clearstream Banking - CreationOnline 16.36 CET

References

 Type
 Reference

 Clearstream
 02M39A0005BO

 CA Notification
 000000065489253

History

 CET Time Stamp
 User
 Activity
 Status
 Reason Narrative

 19/10/09 16:06
 Settlement
 Settled

 19/10/09 16:06
 Processing
 Accepted

19/10/09 16:06 Processing Accepted 19/10/09 16:06 Processing Receipt

**Current Activity-Status** 

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 User
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 Status
 Reason/Narrative

 19/10/09 16 08
 Settlement
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16.40 CET Clearstream Banking - CreationOnline **BSMauro Security Post Release** 图16. Committee (2011) 特別的特別。Committee (2015) 对原则的数据的数据等等的编辑或 Trade Details Trade Details

Type of Settlement Trasaction

Pool Reference

Function of Message
Instruction Type

Activity Status

ISIN Code

Common Code Securities Blocking New Message Receive Free Settlement-Settled XS0162289663 016228966 Financial Instrument Description EUR 5 LEHMAN BROS TREAS CO.BV 03-2010 Financial Instrument Description
Place of Safekeeping
Common Code Type
Reas on Narrative.
Sender's Reference.
Last Update
Previous Reference
Common Reference
Safekeeping Account.
Output Vol Financial Instrument IRVTGB2XGPT Single NONREF 19/10/09 16:06 Safekeeping Account,
Quantity of Financial Instrument,
Quantity Type.
Processing indicator
Requested Settlement, Date
Trade Date/Time
Closing Date/
Priority.
Settlement Amount
Currency
Güaranteed Delivery
Deal Price 83611 - BANCA ALETTI + C. SPA-CLIENT A/C 542 000 Face Amount 19/10/09 19/10/09 0.00 Normal Priority No Charlego Eyne.

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Taxable income Per Share

Taxable income Per Share Currency.

Taxable income Per Share Format Delivery Without Matching

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Transaction Indicator

Actual Settled Amount

Exp. IEU Settlement Date

Exp. IEU Value Date

Best Matching Instructor Yes CA 18379 Settlement Activity 19/10/09 Best Matching Instruction. or colored to regard the experience of the exper Settlement Parties
Clearstream Depository
BIC Address
Place of Settlement
Physical Transaction
Immediate Release
Delivering Agent
Party
Settlement Process
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Settlement Parties Settlement Parties [6B] BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX - Clearstream No No Clearstream 83611 BANCA ALETTI + C. SPA-CLIENT A/C Settlement Processing N CONSBLOK XS0162289663 OCE CONSENT YOUR INX **Settlement Parties** 

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dditional information	"喜戏","我们的一种养养","这种"的	more than the supplication of the contraction of th	化二氯异丙烯酚 拉拉牌 医硫磺基磺酸
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16.40 CET Clearstream Banking - CreationOnline **BSMauro** References 02MB9A0005BZ Clearstream CA Notification 0000000065486201 History Status Reason Narrative CET Timestamp Settlement 19/10/09 16.06 19/10/09 16.06 Processing Accepted Receipt 19/10/09 16.06 Processing **Current Activity-Status** 

Activity Status Reason Narrative

Settled

CET Timestamp User

19/10/09 16 06

19/10/09 Page 64/80

16.40 CET Clearstream Banking - CreationOnline **BSMauro** Security Post Release ·中国的自己,其时的自然的中央发展的数据重要的电影,是对于1997年的自己的专用的自然的基础数据的基 Trade Details Trade Details
Type of Settlement Trasaction
Pool Reference
Function of Message
Instruction Type
Activity Status
ISIN Code
Common Code Securities Blocking New Message Receive Free Settlement-Settled XS0178969209 017896920 inancial Instrument Description: EUR 7 LEHMAN BROS TREAS CO BV (1-2) 03-2013 Rlace of Safekeeping Common Code Type Reason Narrative RVTGB2XGPT Single Reason Narrange Sender's Raference L'ast Undate Previous Reference Deal Reference NONREF 19/10/09 16:06 Common Reference Safekeeping Account 83611 - BANCA ALETTI + C SPA-CLIENT A/C . Quantity of Financial Instrument 7.029.000 Quantity Type Processing Indicator Requested Settlement Date Trade Date/Time Face Amount 19/10/09 19/10/09 0.00 Closing Date Priority Normal Priority Settlement Amount Currency Guarante ed De liverý No Guaranteed Delivery
Deal Price
Deal Price Currency
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Taxable Income Per Share
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FX Currency
Delivery Without Matching Yes Deal Trano Trano
Common:
Transaction indicator
Actual Settled Amount
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Best Matching Instruction CA 18375 Settlement Activity 19/10/09 以是这个企业。1915年代的特别的特别的特别的特别的特别的特别的特别的特别的特别的特别的特别的 Settlement Parties Clcarstream Depository BIC Address [6B] BKNY MELLON, LDN IRVTGB2XGPT Place of Settlement Physical Transaction Immediate Release CEDELULLXXX - Clearstream No No Delivering Agent Clearstream Party Description 83611 BANCA ALETTI + C. SPA-CLIENT A/C Settlement Processing CONSBLOK XS0178969209 OCE CONSENT YOUR INX **Settlement Parties** Type Party Account Format 83611 CLEARSTREAM Receiving Agent ব্যালেক সমূদ্র বিশ্বরাধিক প্রত্যাস্থার বা কর্মনার বর্ম হার্মান কর্মনার বিশ্বরাধিক প্রত্যাস্থান প্ Additional Information Input Media Internal

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 References
 References

 Type
 02M89A0005BR

 Clearstream
 02M89A0005BR

 CA Notification
 0000000065486203

History

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 User
 Activity
 Status
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 19/10/09 16:06
 Settlement
 Settled

 19/10/09 16:06
 Processing
 Accepted

19/10/09 16.06 Processing Receipt

**Current Activity-Status** 

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	Clearstream Banking - CreationOnline	16.40 CE
Security Post Release		
Trade Details	the control of the control of the state of the state of the second of th	
hypelor Settlement Trasaction:	Securities Blocking	
Pool Reference		
Function of Message	New Message	
nstruction Type Activity Status SIN Gode	Receive Free	
Activity Status	Settlement-Settled XS0189294225	
	330 018929422	
Common Code Financial Instrument Description	EUR 7,00 LEHMAN BROS.04-2014	
Place of Safekeeping	IRVTGB2XGPT	
ommon Code Type	Single	
Reason(Narrative		
Sender's Reference	NONREF	
ast Update	19/10/09 16 06	
Previous Reference		
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afeKeeping Account	83611 - BANCA ALETTI + C. SPA-CLIENT A/C	
Quantity of Financial instrument	336 000	
Quantity Type	Face Amount	
Processing Indicator		
Requested Settlement Date	19/10/09	
rade Date/Time	19/10/09 0 00	
closing Date	A Negrol Priority	
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orrency Suaranteed Delivery	No.	
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rano.	CA18370	
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Settlement Parties		A Parity Artes as the
clearstream Depository	[6B] BKNY MELLON, LDN	
BC:Address	IRVTGB2XGPT	
lace of Settlement	CEDELULLXXX - Clearstream	
Physical Transaction	No.	
mmedlate Release	No.	
Delivering Agent	Clearstream	
arty	83611	
Description	BANCA ALETTI + C SPA-CLIENT A/C  CONSBLOK XS0189294225 OCE CONSENT YOUR INX	
Settlement Processing Narrative 🤭	AMERICAN CONSELLOR ESTERNIZACIONE EL TRISTERIO DE LA CONTRACTORIO DE L	

19/10/09 Page 39/80

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CLEARSTREAM

Receiving Agent

Additional Information

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16.40 CET Clearstream Banking - CreationOnline BSMauro References Type Reference: 77 02M89A0005B2 Clearstream 0000000065486206 CA Notification History Status Reason Narrative CET Timestam Settlement 19/10/09 16.06 Processing Accepted 19/10/09 16:06 Receipt Processing 19/10/09 16 06 **Current Activity-Status** 

GERNIN estempt User Activity Statifs Reason Natrative 19/10/09 16.06 Settlement Settled

19/10/09 Page 40/80

16.40 CET Clearstream Banking - CreationOnline **BSMauro Security Post Release Trade Details** Type or Settle ment Tresection i Poblikaterence Function of Message Instruction Type Activity Status Securities Blocking New Message struction. Type
ctivity: Status
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lace of Safekeepings
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common Reference
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strantity Type
frecessing Indicator Receive Free Settlement-Settled XS0195431613 019543161 EUR 7,00 LEHMAN BROS.(W8 S)/TREAS 04-2014 IRVTGB2XGPT Single NONREE 19/10/09 16 06 83611 - BANCA ALETTI + C SPA-CLIENT A/C 488 000 Face Amount ocessing indicator gcuested Settlement Date ade Date/Time 19/10/09 19/10/09 0 00 osingDate ionity ionity stilement(Amount irrency Normal Priority No panting

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es tMatching instruction. Yes CA 18377 Settlement Activity 19/10/09 全国的企业,在1960年,1960年,1960年,1960年,1960年,1960年,1960年,1960年,1960年,1960年,1960年,1960年,1960年,1960年,1960年,1960年,1960年,1 Settlement Parties Clears tream Depository,
BIC:Address,
Place of Settlement
Physical:Transaction
Immediate Ralease
Delivering Agent [6B] BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX - Clearstream No No Clearstream BANCA ALETTI + C SPA-CLIENT A/C CONSBLOK XS0195431613 OCE CONSENT YOUR INX

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Type	Format	Party	Account	
Receiving Agent	CLEARSTREAM	83611		and the second second second second second
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Input Media	Internal			

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16.40 CET Clearstream Banking - CreationOnline **BSMauro** References Reference Typer 02M89A0005BV Clearstream 0000000065486263 **CA Notification** History Reason:Narrative CET Timestan Settled 19/10/09 16.06 Settlement Processing Accepted 19/10/09 16:06 Processing Receipt 19/10/09 16.06 **Current Activity-Status** : Slatus Reason Narrative

CET/Timestamp User Activity

Settled Settlement 19/10/09 16.06

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16.40 CET Clearstream Banking - CreationOnline **BSMauro Security Post Release** Trade Details Trade Details

Invite of Settlement Trasaction

Pool Reference

Function of Message

Instruction Type

Activity Status

ISIN Gode

Common Code Securities Blocking New Message Receive Free Settlement-Settled XS0200284247 020028424 commonicore Financial/Instrument.Description Placerof/Safekceping Common Code Type ReasonNarrative Sender's Refetence Cast/Update EUR 7,00 LEHMAN BROS.TREAS.04-2014 IRVTGB2XGPT Single NONREF 19/10/09 16.06 evious Reference al Reference immon Reference Safe Keeping Account

Quantity of Financial Instrument

Quantity Type:

Processing Indicator, 83611 - BANCA ALETTI + C SPA-CLIENT A/C 10 499.000 Face Amount aquested Settlement Date ade Date (Times Osing Date Josins 19/10/09 19/10/09 0 00 Closing Date
Priority
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Actual Settlement Date
Exp /Er Value Date
Best Matching Instruction
Settlement Parties Normal Priority No CA 18373 Settlement Activity 19/10/09 Settlement Parties Clearstream Depository
BIC Address
Place of Settlemente
Physical Transaction
Immodiate Release [6B] BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX - Clearstream No No elivering Agent Clearstream 83611 BANCA ALETTI + C. SPA-CLIENT A/C

### Settlement Parties

Type	Format	Party Accounts
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Additional Information	n gasag Risk trisk olin birilling obj	
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16.40 CET Clearstream Banking - CreationOnline **BSMauro** References Type: 02M89A0005BN Clearstream 0000000065486266 CA Notification History Status Reason Narrative: CELTImestamp User Activity, Settlement Settled 19/10/09 16 06 Accepted 19/10/09 16:06 Processing Receipt Processing 19/10/09 16:06

**Current Activity-Status** 

CHP Illine Stamp User Activity Status Reason Naturally 19/10/09 16 06 Settlement Settled

19/10/09 Page 54/80

16.40 CET Clearstream Banking - CreationOnline **BSMauro Security Post Release** 

Trade Details Type of Settlement Tresection

Roof Reference

Function of Message

Instruction Type

Activity Status ctivity Status

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Securities Blocking

New Message Receive Free Settlement-Settled XS0202417050 020241705

EUR 7,50 LEHMAN BROS HOLD PLC 04-2014

83611 - BANCA ALETTI + C SPA-CLIENT A/C

IRVTGB2XGPT Single

NONREE 19/10/09 16:06

170 000

19/10/09 19/10/09 0.00

Face Amount

Normal Priority

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19/10/09

Settlement Activity

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Closing Date
Priority
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Settlement Parties

Settlement Parties
Clearstream, Depository
BIC:Address
Place of Settlement
Physical Transaction
Immediate Release
Delivering Agent

2012年中国大学工作设置中国中国国家的基础基础基础。中国中国中国国家的 [6B] BKNY MELLON, LDN IRVTGB2XGPT

CEDELULLXXX - Clearstream

Мо No Clearstream

BANCA ALETTI + C SPA-CLIENT A/C

CONSBLOK XS0202417050 OCE CONSENT YOUR INX

Settlement Parties

TVPP Party Account CLEARSTREAM Receiving Agent Additional Information Input Media: Internal

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16.40 CET Clearstream Banking - CreationOnline **BSMauro** References DVPC - 1 Pelevence - Preference 02M89A0005BL Clearstream 0000000065486265 CA Notification History ReasoniNarrative CIPTINE stamp Settlement 19/10/09 16.06 Processing Accepted 19/10/09 16.06 Processing Receipt 19/10/09 16:06 **Current Activity-Status** Activity Status Reason:Narrative since CET Timestamp

Settlement

Settled

19/10/09

19/10/09 16.06

BSMauro	Clearstream Banking - CreationOnline	16.40 CET
Security Post Release		. Julius Juda et Julius
Trade Details		
Type of Settlement Trasaction Pool Reference	Securities Blocking	
Function of Message	New Message	
Instruction Type	Receive Free	
Instruction Type Activity Status ISIN Code	Settlement-Settled	
ISINGode	XS0197173643	
Common Code	019717364	
Financial Instrument Description	EUR FL R LEHMAN BROS.TREAS.CO BV(W8 S)04-2011	
Place of Safekeeping	IRVTGB2XGPT	
Common Code Type Reason/Narrative	Single	
Reason Narrative		
Sender/s Reference	NONREF	
Last Updale	19/10/09 16 06	
Previous Reference		
Deal:Reference:		
Common Reference		
Safekeeping Account	83611 - BANCA ALETTI + C SPA-CLIENT A/C	
Quantity of Financial Institution ent	20,000	
Quantity Type	Face Amount	
Processingindicator		
Requested Settlement Date	19/10/09	
Trade Date/Time:	33 19/10/09 0.00	
ClosingiDate		
Priority	Normal Priority	
Sattlement/Amount Currency		
Currency		
Guaranteed Delivery	No	
Deal Price		
Deal Price Currency		
Deal Frice Format Taxable Income Fer Share		
Taxable Income Per Share Currency		
Taxable Incometer Share Format:		
FX Currency		
Delivery. Without Matching	Yes	
Deal		
Trano	CA18368	
Irano: Common		
Transaction Indicator	Settlement Activity	
Actual Settled Amount		
Exp./Ef. Settlement Date	19/10/09	
Exp /Eff: Value Date		
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Settlement Parties	<b>建筑</b> 。1944年12月1日日,北京中国第四大海岸中海河南部市中国市区。	
Clearstream Depository	[6B] BKNY MELLON, LDN	
BIC Address	IRVTGB2XGPT	
Place of Settlement	CEDELULLXXX - Clearstream	
Physical Transaction:	No 	
lm mediate Release	No Classification	
Delivering Agent	Clearstream	
Party	83611	
Description	BANCA ALETTI + C. SPA-CLIENT A/C CONSBLOK XS0197173643 OCE CONSENT YOUR INX	
Settlement Processing Narrative	CONSDICT ASCIST ITSUAS OCE CONSTALL TOOLINA	

#### Settlement Parties

TVP9	Format	Party / Account
Receiving Agent	CLEARSTREAM	83611 The Committee of the Committee of
Input Media	Internal	

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BSMauro	Clearstream Banking - CreationOnline		16.40 CET
References			
Wype	Refe	rence	
Clearstream	02M	9A0005AW	
CA Notification	0000	000065486277	the second second
History			
CET/Timestamp User	Activity	Status B	eason Narrative
19/10/09 16:06	Settlement	Settled	
19/10/09 16.06	Processing	Accepted	
19/10/09 16.06	Processing	Receipt	
Current Activity-Status			
CEI-Timestamp User	Activity	Siatus Ri	ason(Narrative
19/10/09 16 06	Settlement	Settled	

16.40 CET Clearstream Banking - CreationOnline **BSMauro** Security Post Release Trade Details Type of Settlement-Trasaction Securities Blocking unction of Message
istrüction Type
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CLEARSTREAM

Internal

Receiving Agent

Additional Information

Input Media

#### Doc 52614-1 Filed 04/27/16 Entered 04/27/16 19:04:56 08-13555-mg Attachment A Pg 121 of 198

16.40 CET Clearstream Banking - CreationOnline BSMauro References Reference Typet 02M89A0005BJ Clearstream 0000000065486264 CA Notification History Status V Reason Narrative **CELLIMES**tam Settled 19/10/09 16:06 Settlement Processing Accepted 19/10/09 16.06 Receipt 19/10/09 16:06 Processing **Current Activity-Status** Status Reason/Narrative

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Page 78/80 19/10/09

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Settlement	Parties
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Type	Format 2	Party	Accounts
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16.40 CET Clearstream Banking - CreationOnline **BSMauro** References Reference: Type 02M89A0005B8 Clearstream 0000000065486563 **CA Notification** History CET/Timestam Settlement 19/10/09 16.06 Processing Accepted 19/10/09 16.06 Receipt 19/10/09 16:06 Processing

**Current Activity-Status** 

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Security Post Rolease   Trade Details	BSMauro	Clearstream Banking - CreationOnline	16.40 CET
Securities Stocking	Security Post Release		
New Message   Receive Fired		· · · · · · · · · · · · · · · · · · ·	
Receive Free   Settement-Setted	Type of/Settlement-Trasaction	Securities Blocking	
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	Settlement Processing Narrative	CONSBLOK XS0211814123 OCE CONSENT YOUR INX	

#### Settlement Parties

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Peceiving Agent	CLEARSTREAM	83611	
Additional information			
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BSMauro Clearstream Banking - CreationOnline 16.40 CET

References

 Clearstream
 02M89A0005AN

 CA Notification
 000000065486547

History

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 19/10/09 16.06
 Settlement
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**Current Activity-Status** 

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19/10/09 16 06 Settlement Settled

16.40 CET Clearstream Banking - CreationOnline **BSMauro** 

#### **Security Post Release**

Trade Details

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Securities Blocking

New Message Receive Free Settlement-Settled XS0211093041 021109304

EUR 7,50 LEHMAN BROS TREAS CO.BV. 05-2015

IRVTGB2XGPT Single

NONREF 19/10/09 16 06

83611 - BANCA ALETTI + C SPA-CLIENT A/C

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Normal Priority

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19/10/09

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Place or Settlement
Physical Transaction
Immediate Release
Delivering Agent

[6B] BKNY MELLON, LDN IRVTGB2XGPT

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No Clearstream 83611

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#### Settlement Parties

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16.40 CET Clearstream Banking - CreationOnline **BSMauro** 

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History

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Processing Accepted 19/10/09 16.06 Receipt Processing 19/10/09 16 06

**Current Activity-Status** 

Status Reason Narrative: CEI Timestamp. User Settled

Settlement 19/10/09 16:06

19/10/09

Page 14/80

**BSMauro** Clearstream Banking - CreationOnline 16.40 CET **Security Post Release** Trade Details

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FUNDATION OF WAS ASSESSED.

ACTIVITY STATUS.

ISIN Code.

Common Code.

FUNDATION OF COMMON ASSESSED.

EMPRES OF SALE RECORDS.

Common Code Type.

Reas on Narrative.

Sender's Reference.

Last Update.

Freylous Reference.

Dealizerance.

Common Reference.

Safe keeping Account.

Quantity of Financial Instrument.

Quantity Type.

Process inglindicator. metallige in Algebrane alternation teat was paying that the page Algebrane in the county for the light **Trade Details** Securities Blocking New Message Receive Free Settlement-Settled XS0213971210 021397121 EUR 7,625 LEHMAN BROS.TREAS CO.BV (W8 S)05-15 IRVTGB2XGPT Single NONREF 19/10/09 16.06 83611 - BANCA ALETTI + C SPA-CLIENT A/C 733 000 Face Amount Requested Settlement Dale Trade Date Time Glosing Date 19/10/09 0:00 Normal Priority ttlement Amount uaranteed Delivery eal:Price No aliPrice Currenc aliPrice Format eal Price Format
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comm Yes CA 18365 Settlement Activity Exp/Eff.Settlement/Date Exp/Eff.Value.Date Best Matching instruction 19/10/09 paragraphical and record transfer and the second of the se Settlement Parties Clears ream Depository BIC/Address: Place of Settlement Physical Transaction Immediate Release [6B] BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX - Clearstream No No Delivering Agent Clearstream 83611 BANCA ALETTI + C. SPA-CLIENT A/C ettlement/Processing/N CONSBLOK XS0213971210 OCE CONSENT YOUR INX

#### Settlement Parties

Receiving Agent CLEARSTREAM 83611  Additional Information	
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16.40 CET Clearstream Banking - CreationOnline BSMauro References Type Reference 02M89A0005AK Clearstream 0000000065486555 CA Notification History Status Reason(Narrative) CET Timestamp User Activity 19/10/09 16:06 Settled 19/10/09 16:06 Processing Accepted 19/10/09 16 06 Processing Receipt

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19/10/09 16.06 Settlement Settled

BSMauro	Clearstream Banking - CreationOnline	16.40 CET
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#### Security Post Release

Security Post Release	
Trade Details	
Type of Settlement Trasaction	Securities Blocking
Pool/Reference	
Function of Message	New Message
Instruction Type	Receive Free
Activity Status	Settlement-Settled
ISIN Gode	XS0213416141
Common Code	021341614
Financial instrument Description	EUR 8,25 LEHMAN BROS.TREAS.CO.BV(W8 S)05-2035
Place of Safekeeping	RVTGB2XGPT
Common Code Type	Single
Reason Narrative	
Sender/s.Reference	NONREF
Last Update	19/10/09 16.06
Previous Reference	
Deal Reference	
Common Reference	
Safekeeping Account	83611 - BANCA ALETTI + C SPA-CLIENT A/C
Quantity of Financial Instrument	5 000
Quantity Type	Face Amount
Processing Indicator	
Processing Indicator Requested Settlement Date	19/10/09
Trade Date/Time	19/10/09 0 00
Closing Date	
Priority	Normal Priority
SettlementAmount	
Currency	
Guaranteed Delivery	No No
DealiPrice	
Deal Price Currency	
Deal Rrice Format	
Taxable Income Per Share	
Taxable income Per Share Currency	
Taxable Income Per Share Format	
FX/Currency	
Delivery Without Matching	Yes
Deal	
Trano	CA 18358
Common	
Transaction indicator.	Settlement Activity
Actual Settled Amount	
Exp./Eff. Settlement Date	19/10/09
Exp./Eff: Value Date	
Best Matching instruction	
Settlement Parties	

Clearstream Depository	[6B] BKNY MELLON, LDN
BIC Address	IRVTGB2XGPT
Place of Settlement	CEDELULLXXX · Clearstream
Physical Transaction	No
lmin ediate Release	No
Delivering Agent	Clearstream
Party	83611
Description:	BANCA ALETTI + C. SPA-CLIENT A/C
Settlement Processing Narrative.	CONSBLOK XS0213416141 OCE CONSENT YOUR INX

#### **Settlement Parties**

Receiving Agent CLEARSTREAM 83611	
Additional Information  Input Modifies  Internal	

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16.40 CET Clearstream Banking - CreationOnline BSMauro

References

Type 02M89A0005AS

Clearstream CA Notification

0000000065486558

History

Status Reason Narrative CET Timestamp Settled Settlement 19/10/09 16 06 Accepted

Processing 19/10/09 16.06 Receipt 19/10/09 16:06 Processing

**Current Activity-Status** 

Activity Status Reason Narratives CET.Timestamp User Settled 19/10/09 16:06 Settlement

19/10/09

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Clearstream Banking - CreationOnline 16.40 CET **BSMauro** 

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#### **Security Post Release**

**Trade Details** Type of Settlement:Trasaction
Pool:Reference
Function of Message
Instruction Type,
Activity Status:
ISIN Code. Common Code Financial Instrument Description Place of Safe keeping Common Code Type Reason Narrative Sender's Reference Last Update

Previous Reference Deal Reference

Deal Reference
Common Reference
Safekeeping Account
Quantity of Financial Instrument
Quantity Type
Processing Indicator
Requested Settlement Date
Trade Date/Time
Closing Date
Process

Settlement Amount Currency Guaranteed Delivery

Guaranteed De livery
Deal Price
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Taxable Income Per Share Format
FX Gurrency
Delivery Without Matching:
Deal:
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Common

Transaction Indicator. Actual Settled Amount Exp./Eff: Settlement Date

Exp./Eff. Value Date

Settlement Parties

Clearstream Depository BIC Address Place of Settlement.... Physical Transaction : linmediate Release Delivering Agent

Party

Securities Blocking

New Message Receive Free Settlement-Settled XS0229269856 022926985

EUR 5,125 LEHMAN BROS. UK CAP.FUND.II(1)05-XX **IRVTGB2XGPT** 

NONREF 19/10/09 16:06

Single

83611 - BANCA ALETTI + C SPA-CLIENT A/C

Face Amount 19/10/09

15 000

19/10/09 0.00

Normal Priority

Nο

Yes

CA18359

Settlement Activity

19/10/09

[6B] BKNY MELLON, LDN

IRVTGB2XGPT

CEDELULLXXX - Clearstream

No Clearstream 83611

No

BANCA ALETTI + C. SPA-CLIENT A/C

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#### **Settlement Parties**

Type	Format	Partij Account
Receiving Agent	CLEARSTREAM	83611
Additional Information		的复数形式 化二氯甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基
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16.40 CET Clearstream Banking - CreationOnline BSMauro References Type : Reference 02M89A0005AV Clearstream 0000000065486638 CA Notification History Status Reason Narrative CET Time stamp Settled 19/10/09 16:06 Settlement Accepted Processing 19/10/09 16:06 Receipt 19/10/09 16:06 Processing

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16.40 CET Clearstream Banking - CreationOnline **BSMauro** 

#### **Security Post Release**

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83611 - BANCA ALETTI + C SPA-CLIENT A/C

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Settlement Parties

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CEDELULLXXX - Clearstream No

No Clearstream 83611

BANCA ALETTI + C. SPA-CLIENT A/C

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#### **Settlement Parties**

Party: Account Type Format CLEARSTREAM 83611 Receiving Agent Additional Information Internal Input Media

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## 08-13555-mg Doc 52614-1 Filed 04/27/16 Entered 04/27/16 19:04:56 Attachment A Pg 135 of 198

BSMauro Clearstream Banking - CreationOnline 16.40 CET

References

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Closing Date 19/10/09 19/10/09 0.00 Priority
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#### Settlement Parties

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16.40 CET Clearstream Banking - CreationOnline **BSMauro** References Type Reference 02M89A0005B4 Clearstream 0000000065487145 **CA Notification** History Status Reason Narrative Settled 19/10/09 16 06 Settlement Accepted 19/10/09 16.06 Processing Receipt 19/10/09 16.06 Processing

**Current Activity-Status** 

16.40 CET Clearstream Banking - CreationOnline **BSMauro** 

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#### **Security Post Release**

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Settlement Activity

19/10/09

Settlement Parties

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Settlement Processing Narrat

[6B] BKNY MELLON, LDN

IRVTGB2XGPT

CEDELULLXXX - Clearstream No

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#### **Settlement Parties**

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BSMauro Clearstream Banking - CreationOnline 16.40 CET

References

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16.40 CET Clearstream Banking - CreationOnline **BSMauro** Security Post Release Trade Details 4. 4. 6. Type of Settlement Trasaction Pool Reference Securities Blocking Function of Message New Message Instruction Type Activity Status ISIN Code Receive Free Settlement-Settled XS0229584296 Common Code Financia!\instrument\Description 022958429 EUR 7,25 LEHMAN BROS TREAS (3171) 05-2035 Place of Safekeeping IRVTGB2XGPT Common Gode Type Reason Narrallye Sender's Relejence Last Update Single NONREF 19/10/09 16:06 Previous Reference Deal Reference Common Reference CommoniReference
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16.40 CET Clearstream Banking - CreationOnline **BSMauro** References Type 02M89A0005B0 Clearstream CA Notification 0000000065486643 History CET Timestamp Settled Settlement 19/10/09 16:06 Accepted Processing 19/10/09 16.06 Receipt 19/10/09 16.06 Processing **Current Activity-Status** Status Reason Narrative . CET Timestamp

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#### **Settlement Parties**

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Clearstream Banking - CreationOnline 16.40 CET **BSMauro** Security Post Release Trade Details Trade Details
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Financial Instrument Description Securities Blocking New Message Receive Free Settlement-Settled XS0232364868 023236486 EUR 6,00 LEHMAN BROS.TREAS.CO.BV 05-2035 Place of Safekeeping IRVTGB2XGPT Common Code Type Reason Narrative Sender's Reference Single NONREF Last Update
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#### Settlement Parties

Settlement Processing Narration

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BSMauro Clearstream Banking - CreationOnline 16.40 CET

References

 Type
 Reference

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 Clearstream
 02M89A0005AX

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 000000065486642

History

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 Activity
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 Reason Narrative

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16.40 CET Clearstream Banking - CreationOnline **BSMauro Security Post Release Trade Details** Securities Blocking

Type of Sattlement Tresaction Pool Reference Function of Message New Message Instruction Type Activity Status Receive Free Settlement-Settled SIN Code Common Code Financial Instrument Description XS0301086475 030108647 UNT LEHMAN BROS.TREAS 040711 Place of Safekeeping Common Code Type Reason Narrative IRVTGB2XGPT Single Sender's Reference NONREF Last Update 19/10/09 16 06 Previous Reference Deal Reference Common Reference Salekeeping Accounts
Quantity of Financial Instrument
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Settlement Parties

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BANCA ALETTI + C. SPA-CLIENT A/C Description Settlement Processing CONSBLOK XS0301086475 OCE CONSENT YOUR INX

**Settlement Parties** 

Party Account Type Format CLEARSTREAM 83611 Receiving Agent Additional Information Input Media

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BSMauro : Clearstream Banking - CreationOnline 16.40 CET

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### **EXHIBIT 4**

Written Consent June 9, 2005

06/0B/2005

16:41

LEHMAN + 916467582653

NO.504

**PØ1** 

### UNANIMOUS WRITTEN CONSENT OF THE

#### EXECUTIVE COMMITTEE OF THE

### **BOARD OF DIRECTORS OF**

### LEHMAN BROTHERS HOLDINGS INC.

The undersigned, being both members of the Executive Committee of the Board of Directors of Lehman Brothers Holdings Inc., a Delaware corporation (the "Corporation"), do hereby adopt the following resolutions by unanimous written consent in lieu of a meeting in accordance with Section 141(f) of the General Corporation Law of the State of Delaware:

WHEREAS, the Corporation has previously authorized by specific resolution, which authority has not been revoked (the "Outstanding Guarantee Resolutions"), the guarantee of all or specified obligations and liabilities of certain direct and indirect subsidiaries of the Corporation, each of which is a "Guaranteed Subsidiary" as such term is used in the Corporation's Code of Authorities as currently in effect (the "Code"),

WHEREAS, certain of the Guaranteed Subsidiaries presently enjoy full guarantees while others have only partial guarantees, and the Corporation now wishes to expand such partial guarantees to full guarantees,

WHEREAS, due to the passage of time the names of certain of the Guaranteed Subsidiaries have changed, rendering the Outstanding Guarantee Resolutions out of date to that extent,

WHEREAS, the Corporation wishes to clarify that its guarantee of any Guaranteed Subsidiary with respect to any given transaction is not contingent upon the issuance of a signed guarantee pertaining to such transaction,

WHEREAS, Management wishes to establish additional Guaranteed Subsidiaries,

WHEREAS, Management wishes to specify that to the extent lawful and allowable, guarantees issued by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, so as to secure certain tax and accounting benefits, and

WHEREAS, Management believes that it would facilitate the conduct of the business of the Corporation to supersede and replace the various Outstanding Guarantee Resolutions in their entirety with this single document,

NOW THEREFORE BE IT.

06-09-05 11:31 JDM INVESTMENTS

ID=2023380294

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RESOLVED, that the Corporation hereby fully guarantees the payment of all liabilities, obligations and commitments of the subsidiaries set forth on Schedule A hereto, each of which shall be a Guaranteed Subsidiary for purposes of the Code;

RESOLVED, that the Outstanding Guarantee Resolutions are hereby superseded and replaced in their entirety with this single document, provided that any guarantees provided pursuant to the Outstanding Guarantee Resolutions and ourstanding on the determined, whether in the form of a separately executed individual guarantee or otherwise, shall remain issued, ourstanding and valid for all purposes;

RESOLVED, that guarantees provided by the Corporation concerning certain of the Grananteed Subsidiaries should originate with the branch of the Corporation located in London, England, to the extent lawful and allowable, as specified on Schedule A hereto;

RESOLVED, that each of the persons listed in the Code (as it may be amended from time to time) as being sutherized to approve individual guarantees issued by the Corporation with respect to Guaranteed Subsidiaries, or any proper delegae thereof (collectively, "Authorized Persons"), are hereby sutherized, in the name and on behalf of the Corporation, to execute such guarantees in such form as is approved by an attorney of the Corporation and such Authorized Person, subject to any limitations specified herein, his or her execution of each such guarantee to be conclusive evidence of approval thereof; and to do such other acts and things as may be advisable or necessary in order to effect the purposes and intern of these resolutions; and

FURTHER RESOLVED, that any and all actions contemplated by the foregoing resolutions and taken by such Authorized Persons prior to the date hereof are hereby ratified, confirmed and approved in all respects.

Dated: June 7, 2005

Richard S. Puld, Jr.

John D. Macomber

06/08/2005

16:41

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# Schedule A to LBHI Unanimous Written Consent dated June 9, 2005

	Name of Subsidiary	issue Corporation guarantee from branch located in London, England, to the extent lawful and allowable?
1.	Lehman Brothers Asia Holdings Limited	No
2.	Lehman Brothers Bankhaus A.G.	Yes (London branch of such subsidiary only)
3.	Lehman Brothers Commercial Bank	No
4.	Lehman Brothers Commercial Corporation	No
5.	Lehman Brothers Commercial Corporation Asia Limited	No
6.	Lehman Brothers Equity Finance (Cayman) Limited	No
7.	Lehman Brothers Finance S.A.	No
8.	Lehman Brothers Holdings Plc	Yes
9.	Lehman Brothers International (Europe)	Yes
10.	Lehman Brothers Japan Inc.	No
11.	Lehman Brothers (Luxembourg) Equity Finance S.A.	No
12.	Lehman Brothers (Luxembourg) S.A.	No
13.	Lehman Brothers OTC Derivatives Inc.	No
14.	Lehman Brothers Securities Asia Limited	No
15.	Lehman Brothers Securities N.V.	No
16.	Lehman Brothers Special Financing Inc.	No
17.	Lehman Brothers Treasury Co. B.V.	No
<b>18</b> .	Lehman Re Limited	No

## **MBANCA ALETTI**

United States Bankruptcy Court/Southern District of New York

Epiq Bankruptcy Solutions, LLC Attn: Lehman Brothers Holdings Claims Processing 757 Third Avenue, 3rd Floor New York, NY 10017

> Banca Aletti & C. S.p.a. Tel. +390243358107 Fax +390243358364 E-mail: massimo.zerbino@alettibank.it

Ocotber 23, 2009

## BANCA ALETTI & C. S.P.A. - PROOF OF CLAIM AGAINST LEHMAN BROTHERS HOLDINGS INC.

To: United States Bankruptcy Court/Southern District of New York

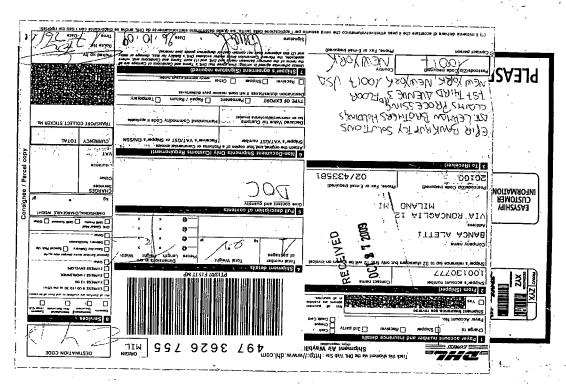
Enclosed please find two original copies of the proofs of claim hereby filed by Banca Aletti & C. S.p.a. against Lehman Brothers Holdings Inc., together with photocopies of the original proofs of claims. Please retain and time-stamp the original proofs of claim and please time-stamp and return the photocopies (time-stamped as "RECEIVED") by using the enclosed prepaid envelop.

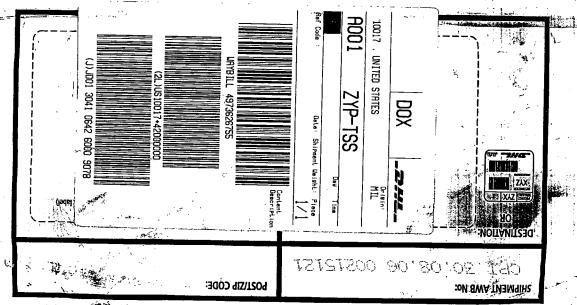
Kind regards,

Banca Aletti & C. S.p.A.

General Manager

Mr. Maurizio Zarcanaro





# 08-13555-mg Doc 52614-1 Filed 04/27/16 Entered 04/27/16 19:04:56 Attachment A Pg 154 of 198

	ankruptcy Court/Southern Di	-	LEHMAN	SECURITIES PROGRAMS
Lehman Brothe	rs Holdings Claims Processing aptcy Solutions, LLC	Center		Southern District of New York
FDR Station, P.	O. Box 5076		i ehman B	rothers Holdings IIIc., Et / III
New York, NY In Re:	10150-5076	Chapter 11		<sub>08-13555</sub> (JMP) 0000049792
Lehman Brothe	rs Holdings Inc., et al.,	Case No. 08-13555 (JMP)	10 1 1 <b>10 10 10</b>	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
Debtors.		(Jointly Administered)		
Note: This form Programs Secur	may not be used to file claims ities as listed on <a href="http://www.le">http://www.le</a>	other than those based on Lehman hman-docket.com as of July 17, 2009	111118861	
Name and addre	ess of Creditor: (and name and	address where notices should be sent if diff	ferent from Creditor)	☐ Check this box to indicate that this claim amends a previously filed claim.
-	Commerciale della Repubblic	a di San Marino S.p.A.		Court Claim Number:
Via Oddone Sca 47893 Borgo M				(If known)
Repubblica di S	an Marino			
Attention: Mr. S	Sterano Cataldo			Filed on:
Talauhana aum	ber: +378 871286	Email Address: legale@bac.sm		The on.
	ess where payment should be s			☐ Check this box if you are aware that anyone
Name and addit	ess where payment should be s	ent (II different from accre)		else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
Telephone num	her <sup>,</sup>	Email Address:		
1. Provide the September 15, 2 fixed or liquida 2008. If you ar	otal amount of your claim base 2008, whether you owned the I	chman Programs Securities on September 5, 2008. The claim amount must be stated	15, 2008 or acquired them thereaft in United States dollars, using the	owed under your Lehman Programs Securities as of er, and whether such claim matured or became exchange rate as applicable on September 15, the claim amounts for each Lehman Programs
	im: See attached	(Required)		•
		ides interest or other charges in addition to		
2. Provide the more than one	International Securities Identificheman Programs Security, yo	cation Number (ISIN) for each Lehman Pr u may attach a schedule with the ISINs for	ograms Security to which this clair the Lehman Programs Securities to	n relates. If you are filing this claim with respect to o which this claim relates.
	Securities Identification Num		(Required)	
"Blocking Numbroker or other schedule with t	aber") for each Lehman Programentity that holds such securitie the Blocking Numbers for each	ns Security for which you are filing a clain s on your behalf). If you are filing this clain Lehman Programs Security to which this c	n. You must acquire a Blocking Nome to with respect to more than one Low laim relates.	ocking reference number, as appropriate (each, a unber from your accountholder (i.e. the bank, chman Programs Security, you may attach a
Clearstream B	ank Blocking Number, Euro	clear Bank Electronic Instruction Refero	ence Number and or other depos	itory blocking reference number:
See attached	(Requ			
claim. You mus	at acquire the relevant Clearstro	ank or other depository participant account cam Bank, Euroclear Bank or other deposit f). Beneficial holders should not provide th	ory participant account number fro	rograms Securities for which you are filing this m your accountholder (i.e. the bank, broker or other
Accountholder	rs Euroclear Bank, Clearstre	am Bank or Other Depository Participat	nt Account Number:	
See attached	(Requ	ired)		
deemed to have	authorized, Euroclear Bank, C	n Bank or Other Depository: By filing the Clearstream Bank or other depository to dis for the purpose of reconciling claims and	close your identity and holdings	FILED RECEIVED
Date. October 23, 2009	or other person authorized to	this claim must sign it. Sign and print nam file this claim and state address and teleph copy of power of attorney if any.	one number if different from the	OCT 27 2009
	Name: Luigi Lonfernini Titl	buil her		EPIQ BANKRUPTCY SOLUTIONS, LLC
	Panalty for presenting fre	rudulent claim: Fine of up to \$500,000 of in	morisonment for up to 5 years, or b	oth, 18 U.S.C. §§ 152 and 3571

	X
Debtors.	(Jointly Administered)
Lehman Brothers Holdings Inc., et al.	Case No. 08-13555 (JMP)
In re:	Chapter 11
UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK	X

# ANNEX TO PROOF OF CLAIM OF <u>BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO</u> S.P.A.

- 1. <u>Claimant</u>. Banca Agricola Commerciale della Repubblica di San Marino S.p.A. (the "Claimant"), hereby files the accompanying proof of claim (the "Proof of Claim") against Lehman Brothers Holdings Inc. (the "Debtor"), a debtor and debtor in possession in the above-referenced chapter 11 cases. The Claimant holds claims against the Debtor arising from certain transactions that occurred prior to September 15, 2008 (the "Petition Date"), as described more fully below.
- Transactions Between the Parties. The Claimant is authorized to act on behalf of the holders of certain securities issued by Lehman Brothers Treasury Co. B.V. (the "Obligor") and guaranteed by the Debtor (each a "Program Security" and, together, the "Program Securities") identified on the list of Lehman Program Securities, which is available on the Debtors' website, <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> under the heading "Key Documents." The International Securities Identification Number ("ISIN") identifying each Program Security, along with the respective Euroclear Bank electronic instruction reference number and the Euroclear Bank account number relating to the Program Securities, is detailed on <a href="https://www.lehman-docket.com">Exhibit 1</a> attached hereto. Evidence of the ownership of the securities described in <a href="https://www.lehman-docket.com">Exhibit 1</a> is provided in <a h

attached hereto. The Program Securities have the benefit of an express, unconditional and irrevocable guarantee of the Debtor, and further, the Debtor guaranteed the payment of all liabilities, obligations and commitments of the Obligor pursuant to those certain board resolutions adopted by the Executive Committee of the Debtor's Board of Directors attached hereto as Exhibit 4, including but not limited to those certain resolutions adopted by unanimous written consent on June 9, 2005 and certain other guarantees extended to affiliates of the Debtor and/or Lehman related entities.

- 3. <u>Claim.</u> The Claimant is authorized to act on behalf of the holders of certain securities described more fully in <u>Exhibit 1</u> hereto and on account thereof and in accordance with the terms of the documentation relating thereto, hereby asserts a claim against the Debtor in the amount of \$ 1,931,973.42, <u>plus</u>, to the extent provided pursuant to the underlying documents associated with each Program Security all other interest, costs, fees and expenses allowed under applicable law (the "Claim"). An itemization of certain amounts comprising the Claim is set forth on Exhibit 2 attached hereto.
- 4. <u>Security Interests and Priority Status</u>. The Claim is filed as a general unsecured claim, without any prejudice to any and all rights of the Claimant to assert that any portion of the Claim is entitled to administrative priority under Sections 503 and 507 of the Bankruptcy Code.
- 5. <u>Claims, Counterclaims, Setoffs and Defenses</u>. The Claim is not subject to any known claims, counterclaims, setoffs or defenses by the Debtor. The Claimant also reserves any and all rights of setoff and recoupment that the Claimant or any of its affiliates may have against the Debtor or its related entities.
- 6. Reservation of Rights. The execution and filing of this Proof of Claim is not and shall not be deemed: (a) a waiver or release of the Claimant's rights against any other entity or

person liable for all or any part of the Claim asserted herein; (b) a consent by the Claimant to the jurisdiction of this Court with respect to any proceeding commenced in this case against or otherwise involving the Claimant; (c) a waiver of the right to withdraw the reference with respect to the subject matter of the Claim, any objection or other proceedings commenced with respect thereto or any other proceedings commenced in this case against or otherwise involving the Claimant; (d) a waiver or release by the Claimant of its right to trial by jury, or a consent by the Claimant to a trial by jury, in this Court or any other court; (e) a waiver of any right to the subordination, in favor of the Claimant, of indebtedness or liens held by any creditors of the Debtor or any of its affiliates; (f) an election of remedies which waives or otherwise affects any other remedy; (g) waiver of the Claimant's rights to assert that no claims hereunder have been or may be discharged and to file other claims which are not covered by this Proof of Claim; or (h) a waiver of the Claimant's rights, if any, of arbitration, to the extent provided by any applicable agreements with the Debtor or its affiliates.

Amendments. The Claimant expressly reserves its right to file any separate or additional proofs of claim with respect to the Claim set forth herein or otherwise (which proofs of claim, if so filed, shall not be deemed to supersede this proof of claim unless expressly so stated therein), to amend or supplement this Proof of Claim in any respect, including with respect to the filing of an additional or amended claim for the purpose of fixing and liquidating any contingent or unliquidated claim set forth herein, or to file additional proofs of claim in respect of additional amounts or for any other reason.

### **EXHIBIT 1**

ISIN Code	Euroclear electronic instruction reference #	Euroclear account #	Sub- custodian account #	Issuer	Nominal Amount
XS0176153350	6034125	Euroclear 12462	200301	Lehman Brothers Treasury Co. B.V.	€ 40,000.00
XS0181945972	6034129	Euroclear 12462	200301	Lehman Brothers Treasury Co. B.V.	€ 300,000.00
XS0208459023	6034137	Euroclear 12462	200301	Lehman Brothers Treasury Co. B.V.	€ 500,000.00
XS0211093041	6034139	Euroclear 12462	200301	Lehman Brothers Treasury Co. B.V.	€ 500,000.00

### **EXHIBIT 2**

ISIN Code	Nominal Amount (EUR)	Interest** (EUR)	Total (EUR)	Nominal Amount (USD) *	Interest (USD) *	Total (USD) *
XS0176153350	40,000.00	1,137.07	41,137.07	56,604.00	1,609.07	58,213.07
XS0181945972	300,000.00	7,176.54	307,176.54	424,530.00	10,155.52	434,685.52
XS0208459023	500,000.00	11,177.10	511,177.10	707,550.00	15,816.71	723,366.71
XS0211093041	500,000.00	5,765.05	505,765.05	707,550.00	8,158.12	715,708.12
Total Claim Am	ount				USD 1,93	1,973.42

<sup>\*</sup>Amounts due in EUR have been converted to US Dollars using the exchange reference rate published on Web Site of Banca D'Italia, section "Euro Foreign Exchange references rates as of 15 Sep 2008" (www.bancaditalia.it/banca\_ centrale/cambi/rif/2008/09/cambi\_rif\_1509\_en.htm) for September 15, 2008: 1 EUR = 1.4151 USD

<sup>\*\*</sup> Interest accrued until September 14, 2008 (included).

08-13555-mg Doc 52614-1 Filed 04/27/16 Entered 04/27/16 19:04:56 Attachment A Pg 160 of 198

### **EXHIBIT 3**

Evidence of Ownership



BANCA AGRICOLA COMMERCIALE Della Repubblica di San Marino Via Oddone Scarito 13 Borgo Maggiore **RSM** 

Lugano, 08.10.2009

### LEHMAN BROTHERS BONDS

Dear Sirs,

we hereby confirm you that, at present date, we have deposited on your behalf, on our 12462 account with Euroclear Bank, the securities of the attached list.

Best regards.

UNICREDIT (SUISSE) BANK SA Vado h

MAILEUNICREDITSUISSE.CH

UNICREDIT (SUISSE) BANK S.A.

XS0208459023

XS0254171191

LEHMAN BROS 2011 FLR LEHMAN BROS 2016 FLR LEHMAN BROS 2016 FLR

XS0252835110

XS0211093041 XS0181945972 XS0268648952 XS0183944643

XS0189741001 XS0252834576 XS0179304869 XS0176153350

XS0128857413

6 3/8 LEHMAN BROS 2011

LEHMAN BROS 2008 FLR LEHMAN BROS 2013 FLR

4 LEHMAN BROS 2011

**4.75 LEHMAN BROS 2014** 

LEHAMAN BROS 2016 FLR

300'000 250'000 220'000 220'000 170'000 56'000 40'000 20'000

12462 12462 12462 12462 12462 12462 12462 12462 12462 12462

200301 200301 200301 200301 200301 200301 200301 200301 200301 200301 200301

LEHMAN BROS 2011 FLR

LEHMAN BROS 2015 FLR LEHMAN BROS 2014 FLR ISIN CODE

CURR

**AMOUNT** 

ACC. AT EUROCLEAR BAC ACC. WITH UNICREDIT SUISSE

950'000 500'000 500'000

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UniCredit

Lugano, 12.10.2009

### Custody: Valid Instructions - 08/10/09 13:22:32

VALIDATED INSTRUCTIONS ACCOUNT 12462 08/10/09 06:1B EUCLID VALIDATION DATE: 06/10/2009 CUSTODY SERVICE 79:TYP-SUBT-CPN 35A:NOMINAL...AMOUNT 30:SET.DAT 72:P-N.DAT (E/C REF) 20:YOUR...REFERENCE USER....NBR TIMESTAMP SECURITY..... DESCRIPTION CUR EUCLID.STAT PARTSTAT 35B: 77D: REGISTRATION (RG) 87F: CORRESPONDENT (CO) 88D: BENEFICIARY (BE) 77R:CERTIFICATION (CE) 80B:PROXY (PR) 79: REC: REMARKS TO RECIPIENT (RR) 72:EOC:REMARKS TO EOC MESSAGES FROM EOC 35A: 20000 30:051009 72: (6030507) 79: 37-

79: 37- - 35A: 20000 30:051009 72: (6030507 20:LEHMAN 1 17:18:18 35B:XS0128857413 LEHMAN BROTHERS HOL 6.37500 10/05/11 EUR IPRO (FUT ) 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA CONTACT SIMONA PACI 00378 871254 AMM.TITOLI AT BAC.SM 72:EOC:P. ALESSANDRINI 0041 91 9109559

### Custody: Valid Instructions - 08/10/09 13:21:44

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ACCOUNT 12462
VALIDATED INSTRUCTIONS
                                                     08/10/09
                                                     06:18
          EUCLID VALIDATION DATE: 08/10/2009
CUSTODY SERVICE
79:TYP-SUBT-CPN 35A:NOMINAL...AMOUNT 30:SET.DAT 72:P-N.DAT (E/C REF)
  20:YOUR...REFERENCE USER....NBR TIMESTAMP
                SECURITY..... DESCRIPTION CUR EUCLID.STAT PARTSTAT
 77D: REGISTRATION (RG)
 87F: CORRESPONDENT (CO)
 88D:BENEFICIARY (BE)
 77R:CERTIFICATION (CE)
 80B:PROXY (PR)
 79: REC: REMARKS TO RECIPIENT (RR)
 72:EOC:REMARKS TO EOC
MESSAGES FROM EOC
                          250000 30:071009 72:
                35A:
  20:LEHMAN 12
                                 16:15:18
35B:XS0268648952 LEHMAN BROTHERS HOL 4.25000 00/09/16 EUR IPRO (FUT )
 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
                                    STEFANO CATALDO 00378 871286
     EMAIL LEGALE AT BAC.SM
                                          0041 91 910 9536
 72:EOC:WEBER SACHA
                35A:
                          950000
                                   30:071009 72:
                                                            (6034146)
79: 37-
  20:LEHMAN 11
                                 16:14:45
                                            00/05/16 EUR IPRO (FUT )
35B:XS0254171191 LEHMAN BROTHERS HOL VAR
 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
                                       STEFANO CATALDO 00378 871286
     EMAIL LEGALE AT BAC.SM
                                          0041 91 910 9536
 72:EOC:WEBER SACHA
                                                            (6034144)
                                   30:071009 72:
                         5250000
                35A:
79: 37-
  20:LEHMAN 10
                                 16:14:15
                                            04/05/11 EUR IPRO (FUT )
35B:XS0252835110 LEHMAN BROTHERS HOL VAR
 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
                                      STEFANO CATALDO 00378 871286
     EMAIL LEGALE AT BAC.SM
                                          0041 91 910 9536
 72:EOC:WEBER SACHA
79: 37-
                                  30:071009 72:
                                                            (6034141)
                35A:
                            70000
  20:LEHMAN 9
                                 16:13:47
35B:XS0252834576 LEHMAN BROTHERS HOL 4.00000 04/05/11 EUR IPRO (FUT )
 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
     EMAIL LEGALE AT BAC.SM STEFANO CATALDO 00378 871286
                                          0041 91 910 9536
 72:EOC:WEBER SACHA
                                   30:071009 72:
                                                            (6034139)
                           500000
79: 37-
  20:LEHMAN 8
                                 16:13:25
35B:XS0211093041 LEHMAN BROTHERS TRE 7.50000 16/02/15 EUR IPRO (FUT )
 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
                                      STEFANO CATALDO 00378 871286
     EMAIL LEGALE AT BAC.SM
 72:EOC:WEBER SACHA
                                          0041 91 910 9536
                35A:
                           500000
                                    30:071009 72:
                                                            (6034137)
79: 37-
  20:LEHMAN 7
                                 16:12:51
 35B:XS0208459023 LEHMAN BROTHERS TRE 4.00000 30/12/16 EUR IPRO (FUT )
 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
                                       STEFANO CATALDO 00378 871286
     EMAIL LEGALE AT BAC.SM
 72:EOC:WEBER SACHA
                                           0041 91 910 9536
                                   30:071009 72:
                                                            (6034133)
79: 37-
                           200000
                                 16:12:28
  20: LEHMAN 6
                                             00/04/11 EUR IPRO (FUT )
 35B:XS0189741001 LEHMAN BROTHERS HOL VAR
 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
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### Custody: Valid Instructions - 08/10/09 13:21:44

EMAIL LEGALE AT BAC.SM STEFANO CATALDO 00378 871286 72:EOC:WEBER SACHA 0041 91 910 9536 30:071009 72: 79: 37-35A: 220000 (6034131) 20:LEHMAN 5 16:11:59 35B:XS0183944643 LEHMAN BROTHERS HOL 4.75000 16/01/14 EUR IPRO (FUT ) 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA EMAIL LEGALE AT BAC.SM STEFANO CATALDO 00378 871286 0041 91 910 9536 72:EOC:WEBER SACHA 300000 30:071009 72: 79: 37-35A: (6034129)20: LEHMAN 4 16:11:33 35B:XS0181945972 LEHMAN BROTHERS TRE 7.00000 00/01/14 EUR IPRO (FUT ) 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA EMAIL LEGALE AT BAC.SM STEFANO CATALDO 00378 871286 72:EOC:WEBER SACHA 0041 91 910 9536 56000 79: 37-35A: 30:071009 72: (6034127) 20: LEHMAN 3 16:11:06 35B:XS0179304869 LEHMAN BROTHERS HOL VAR 03/11/08 EUR IPRO (FUT ) 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA EMAIL LEGALE AT BAC.SM STEFANO CATALDO 00378 871286 0041 91 910 9536 72:EOC:WEBER SACHA 79: 37-35A: 40000 30:071009 72: (6034125)20:LEHMAN 2 16:10:28 35B:XS0176153350 LEHMAN BROTHERS TRE 7.00000 10/10/13 EUR IPRO (FUT ) 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA EMAIL LEGALE AT BAC.SM STEFANO CATALDO 00378 871286

0041 91 910 9536

72:EOC:WEBER SACHA

### **EXHIBIT 4**

Written Consent June 9, 2005

06/08/2005

16:41

LEHMAN → 916467582653

NO.504 P01

#### UNANIMOUS WRITTEN CONSENT OF THE

### **EXECUTIVE COMMITTEE OF THE**

### **BOARD OF DIRECTORS OF**

### LEHMAN BROTHERS HOLDINGS INC.

The undersigned, being both members of the Executive Committee of the Board of Directors of Lehman Brothers Holdings Inc., a Delaware corporation (the "Corporation"), do hereby adopt the following resolutions by unanimous written consent in lieu of a meeting in accordance with Section 141(f) of the General Corporation Law of the State of Delaware:

WHEREAS, the Corporation has previously authorized by specific resolution, which authority has not been revoked (the "Outstanding Guarantee Resolutions"), the guarantee of all or specified obligations and liabilities of certain direct and indirect subsidiaries of the Corporation, each of which is a "Guaranteed Subsidiary" as such term is used in the Corporation's Code of Authorities as currently in effect (the "Code"),

WHEREAS, certain of the Guaranteed Subsidiaries presently enjoy full guarantees while others have only partial guarantees, and the Corporation now wishes to expand such partial guarantees to full guarantees.

WHEREAS, due to the passage of time the names of certain of the Guaranteed Subsidiaries have changed, rendering the Outstanding Guarantee Resolutions out of date to that extent.

WHEREAS, the Corporation wishes to clarify that its guarantee of any Guaranteed Subsidiary with respect to any given transaction is not contingent upon the issuance of a signed guarantee pertaining to such transaction,

WHEREAS, Management wishes to establish additional Guaranteed Subsidiaries.

WHEREAS, Management wishes to specify that to the extent lawful and allowable, guarantees issued by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, so as to secure certain tax and accounting benefits, and

WHEREAS, Management believes that it would facilitate the conduct of the business of the Corporation to supersede and replace the various Outstanding Guarantee Resolutions in their entirety with this single document,

NOW THEREFORE BE IT,

06-09-05 11:31 JDM INVESTMENTS

ID=2023380294

P.02

DE. 85. 2005 **XE/XE/2005**  25:01 <u>--</u>4:34 - 9:12(21:22)254 16:41 <u>--</u>2:490 + 316467522653 NO.290 004 NO.504 082

RESOLVED, that the Corporation hereby fully guarantees the payment of all liabilities, obligations and commitments of the subsidiaries set forth on Schedule A hereto, each of which shall be a Guaranteed Subsidiary for purposes of the Code;

RESOLVED, that the Outstanding Guarantee Resolutions are hereby superseded and replaced in their entirety with this single document, provided that any guarantees provided pursuant to the Outstanding Guarantee Resolutions and outstanding on the date bereof, whether in the form of a separately executed individual guarantee or otherwise, shall remain issued, outstanding and valid for all purposes;

RESOLVED, that guarantees provided by the Corporation concerning cartain of the Grammteed Subsidiaries should originate with the branch of the Corporation located in London, England, to the extent lawful and allowable, as specified on Schedule A hereto:

RESOLVED, that each of the persons listed in the Code (as it may be amended from time to time) as being authorized to approve individual guarantees issued by the Corporation with respect to Guaranteed Subsidiaries, or any proper delegae thereof (collectively, "Authorized Persons"), are hereby authorized, in the name and on behalf of the Corporation, to execute such guarantees in such form as is approved by an antorney of the Corporation and such Authorized Person, subject to any limitations specified herein, his or her execution of each such guarantee to be conclusive evidence of approval thereof; and to do such other acts and things as may be advisable or necessary in order to affect the purposes and intent of these resolutions; and

FURTHER RESOLVED, that any and all actions contemplated by the foregoing resolutions and taken by such Authorized Persons prior to the date hereof are hereby ratified, confirmed and approved in all respects.

Dated: June 9 2005

Richard S. Puld, Jr.

John D. Macomber

06/08/2005

16:41

LEHMAN → 916467582653

ND.504

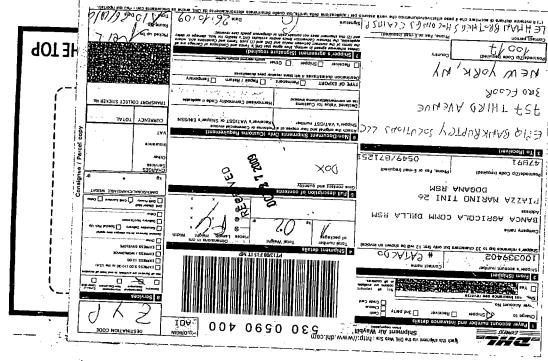
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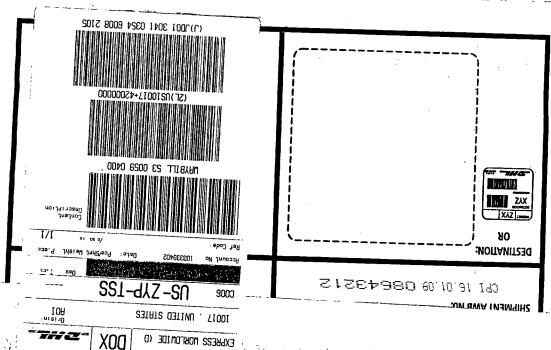
# Schedule A to LBHI Unanimous Written Consent dated June 9, 2005

	Name of Subsidiary	Issue Corporation guarantee from branch located in London, England, to the extent lawful and allowable?	
1.	Lehman Brothers Asia Holdings Limited	No	
2.	Lehman Brothers Bankhaus A.G.	Yes (London branch of such subsidiary only)	
3.	Lehman Brothers Commercial Bank	No	
4.	Lehman Brothers Commercial Corporation	No	
5.	Lehman Brothers Commercial Corporation Asia Limited	No	
6.	Lehman Brothers Equity Finance (Cayman)	No	
7.	Lehman Brothers Finance S.A.	No	
8.	Lehman Brothers Holdings Plc	Yes	
9.	Lehman Brothers International (Europe)	Yes	
10.	Lehman Brothers Japan Inc.	No	
11.	Lehman Brothers (Luxembourg) Equity Finance S.A.	No	
12.	Lehman Brothers (Luxembourg) S.A.	No	
13.	Lehman Brothers OTC Derivatives Inc.	No	
14.	Lehman Brothers Securities Asia Limited	No	
15.	Lehman Brothers Securities N.V.	No	
16.	Lehman Brothers Special Financing Inc.	No	
17.	Lehman Brothers Treasury Co. B.V.	No	
18.	Lehman Re Limited	No	

EXTREMELY URGENT DO NOT SEND CASH, CASH EQUIVALENT OR JEWELLERY.

DHL's liability shall not exceed US\$ 100 for any shipment. See conditions of carriage on the airbill.





# 08-13555-mg Doc 52614-1 Filed 04/27/16 Entered 04/27/16 19:04:56 Attachment A Pg 171 of 198

	5076		LEHMAN SECURITIES PROGRAMS  Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al.
In Re: Lehman Brothers Ho Debtors. Note: This form may		Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered) ms other than those based on Lehman	08-13555 (JMP) 0000050309
		ehman-docket.com as of July 17, 2009 dress where notices should be sent if different from C	Creditor)
UBS AG 677 Washington Blvd Stamford, CT 06901 Att'n: Bert Fuqua, F	. 3 . 3 N	vith copy to: ingham McCutchen LLP 99 Park Avenue Iew York, NY 10022 Att'n: Joshua Dorchak, Esq.	Court Claim Number:  (if known)  Filed on:
Tel.: 203.719.4038 Email:bert.fuqua@u Name and address whe		212.705.7784 joshua.dorchak@bingham.com (if different from above)	Check this box if you are aware that anyone else has filed a proof of claim
			relating to your claim. Attach copy of statement giving particulars.
Securities as of Septem claim matured or becan as applicable on Septem claim amounts for each Amount of Claim: \$	ber 15, 2008, whether you ne fixed or liquidated befor 15, 2008. If you are f Lehman Programs Securit	owned the Lehman Programs Securities on September or after September 15, 2008. The claim amount multing this claim with respect to more than one Lehman y to which this claim relates.  ttached Appendix and Schedule	must be the amount owed under your Lehman Programs er 15, 2008 or acquired them thereafter, and whether such ust be stated in United States dollars, using the exchange rate in Programs Security, you may attach a schedule with the
with respect to more the relates.	an one Lehman Programs S	Security, you may attach a schedule with the ISINs fo	rity to which this claim relates. If you are filing this claim or the Lehman Programs Securities to which this claim
3. Provide the Clearstre (each, a "Blocking Nun (i.e., the bank, broker o Security, you may attached Clearstream Bank Blocker attached Appendial. Provide the Clearstre	eam Bank Blocking Numb nber") for each Lehman Pr r other entity that holds such h a schedule with the Bloc ocking Number, Euroclea x and Schedule eam Bank, Euroclear Bank	ograms Security for which you are filing a claim. You securities on your behalf). If you are filing this claiking Numbers for each Lehman Programs Security to r Bank Electronic Instruction Reference Number or other depository participant account number related	and or other depository blocking reference number:
bank, broker or other er	ntity that holds such securions.	iearstream Bank, Eurociear Bank or other depository ies on your behalf). Beneficial holders should not pr  Bank or Other Depository Participant Account Nu	
5. Consent to Eurocle and are deemed to have	ar Bank, Clearstream Ba authorized, Euroclear Bar Programs Securities to the Signature: The person to of the creditor or other per-	nk or Other Depository: By filing this claim, you ck, Clearstream Bank or other depository to disclose ye Debtors for the purpose of reconciling claims and diling this claim must sign it. Sign and print name and erson authorized to file this claim and state address are the notice address above. Attach copy of power of at	your identity distributions. d title, if any, nd telephone

Penalty for presenting fraudulent claim: Fine of up to \$500,000 or payisble to up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

James B. Fuqua
Managing Director and Counsel
Region Americas Legal

Region Americas Legal

### INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

#### Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

### Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim.

### DEFINITIONS

### INFORMATION

### Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

#### Creditor

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

#### Claim

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable.

### **Proof of Claim**

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

Lehman Brothers Holdings Claims Processing c/o Epiq Bankruptcy Solutions, LLC FDR Station, PO Box 5076 New York, NY 10150-5076

### **Lehman Programs Security**

Any security included on the list designated "Lehman Programs Securities" available on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009.

### Acknowledgment of Filing of Claim

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (http://www.lehman-docket.com) to view your filed proof of claim.

### Claims to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 et seq.), and any applicable orders of the bankruptcy court.

### **Appendix**

This Proof of Claim (this "Claim") is filed by UBS AG ("Claimant") against Lehman Brothers Holdings Inc. ("Debtor").

This Claim is based on Debtor's issuance or guarantee, as applicable, of the Program Securities listed on the attached Schedule.

The precise amount of this Claim cannot be determined at this time, as it may depend on factors outside Claimant's knowledge and beyond Claimant's control. In each case, the Claim includes principal, accrued interest, any enhanced return on principal, and expenses to the extent permitted by the governing documents and applicable law.

Accordingly, the aggregate amount of this Claim is to be determined.

Because these securities are Lehman Program Securities, as defined in the Court's July 2, 2009 order, Claimant is not required to complete a Guarantee Questionnaire or to provide any information other that that provided herein in support of this Claim.

### **Reservation of Rights**

This Claim is filed under the compulsion of the bar date established in these chapter 11 proceedings and is filed to protect Claimant from forfeiture of claims by reason of said bar date. Claimant reserves its right to amend and/or supplement this Claim for the purposes and to the extent permitted by applicable law.

Claimant reserves all of its rights and defenses, whether under title 11 of the United States Code or other applicable law, as to any claims that may be asserted against Claimant by Debtor, including, without limitation, any rights of setoff and/or recoupment not expressly asserted above. Claimant further reserves all of its rights as against the other debtors in these chapter 11 proceedings.

Claimant further reserves all rights accruing to it, and the filing of this Claim is not and shall not be deemed or construed as (i) a waiver, release, or limitation of Claimant's rights against any person, entity, or property (including, without limitation, Debtor or any other person or entity that is or may become a debtor in a case pending in this Court); (ii) a consent by Claimant to the jurisdiction or venue of this Court or any other court with respect to proceedings, if any, commenced in any case against or otherwise involving Claimant; (iii) a waiver, release, or limitation of Claimant's right to trial by jury in this Court or any other court in any proceeding as to any and all matters so triable herein, whether or not the same be designated legal or private rights or in any case, controversy, or proceeding related hereto, notwithstanding the designation or not of such matters as "core proceedings" pursuant to 28 U.S.C. § 157(b)(2), and whether such jury trial right is pursuant to statute or the U.S. Constitution; (iv) a consent by Claimant to a jury trial in this Court or any other court in any proceeding as to any and all matters so triable herein or in any case, controversy, or proceeding related hereto, pursuant to 28 U.S.C. § 157(e) or otherwise; (v) a waiver, release, or limitation of Claimant's right to have any and all final orders in any and all non-core matters or proceedings entered only after de novo review by a U.S. District Court Judge; (vi) a waiver of the right to move to withdraw the reference with respect to the subject matter of this Claim, any objection thereto or other proceeding which may be commenced in this case against or otherwise involving Claimant; (vii) an election of remedies; or (viii) a consent to the final determination or adjudication of any claim or right pursuant to 28 U.S.C. § 157(c).

### **Schedule**

### **Program Securities held by Claimant:**

ISIN Number	Face Amount (Orig Currency)	Orig Currency	Face Amount (USD)	Euroclear Bank Electronic Instruction Reference Number	Euroclear Bank Account Number
CH0026915527	6755000	CHF	\$6,019,259.82	6056973	91672
CH0026985082	255000	CHF	\$227,225.94	6056972	91672
XS0128857413	1233000	EUR	\$1,740,910.89	6056971	91672
XS0176153350	110000	EUR	\$155,312.41	6056970	91672
XS0179304869	545000	EUR	\$769,502.38	6056969	91672
XS0183944643	6052000	EUR	\$8,545,006.25	6056968	91672
XS0205185456	1079000	EUR	\$1,523,473.52	6054005	91672
XS0213416141	2634000	EUR	\$3,719,026.18	6056967	91672
XS0213899510	258000	EUR	\$364,278.19	6056966	91672
XS0213971210	100000	EUR	\$141,193.10	6056965	91672
XS0215349357	9000	EUR	\$12,707.38	6056964	91672
XS0216921741	1000000	EUR	\$1,411,930.97	6056963	91672
XS0218304458	1000000	EUR	\$1,411,930.97	6056962	91672
XS0220152069	35000	EUR	\$49,417.58	6056961	91672
XS0224346592	460000	EUR	\$649,488.25	6056960	91672
XS0232364868	1000000	EUR	\$1,411,930.97	6056959	91672
XS0243852562	1500000	EUR	\$2,117,896.46	6056958	91672
XS0257022714	2000000	EUR	\$2,823,861.95	6056957	91672
XS0268648952	13050000	EUR	\$18,425,699.21	6056956	91672
XS0272543900	2000000	EUR	\$2,823,861.95	6056955	91672
XS0282937985	100000	EUR	\$141,193.10	6056954	91672
XS0282978666	270000	EUR	\$381,221.36	6056953	91672
XS0283497005	5256000	EUR	\$7,421,109.20	6056952	91672
XS0287044969	50000	EUR	\$70,596.55	6056951	91672
XS0293166517	3000000	EUR	\$4,235,792.92	6056950	91672
XS0307745744	300000	EUR	\$423,579.29	6056948	91672
XS0326006540	5550000	EUR	\$7,836,216.90	6056947	91672
XS0360242910	1500000	EUR	\$2,117,896.46	6056946	91672
XS0361644098	500000	EUR	\$705,965.49	6056945	91672
XS0252834576	200000	EUR	\$282,386.19	6056944	91672
XS0281520352	2754000	EUR	\$3,888,457.90	6056943	91672
XS0210414750	152000	GBP	\$269,648.15	6056942	91672
XS0251195847	5000000	GBP	\$8,870,004.80	6056941	91672
XS0259010022	500000	USD	\$500,000.00	6056908	91672
XS0342095881	1900000	USD	\$1,900,000.00	6056498	91672
XS0346438657	1000000	USD	\$1,000,000.00	6054490	91672

Amount of Claim: <u>TO BE DETERMINED</u>, including principal, accrued interest, any enhanced returns on principal, and expenses to the extent permitted by the governing documents and applicable law. For securities denominated in currency other than U.S. dollars, the amount of the claim, once determined, will be converted to U.S. dollars at the applicable exchange rate.

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RECEIVED BY:

10/28/07 DATE

TIME

# 08-13555-mg Doc 52614-1 Filed 04/27/16 Entered 04/27/16 19:04:56 Attachment A Pg 176 of 198

United States I	ankruptcy Court/Southern Dist	rict of New York		
	rs Holdings Claims Processing C	Center		SECURITIES PROGRAMS PROOF OF CLAIM
FDR Station, P	aptcy Solutions, LLC O. Box 5076			
New York, NY In Re:	10150-5076	Chantan II		- Southern District of New York Brothers Holdings Inc., Et Al.
	rs Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)		08-13555 (JMP) 0000050827
Note: This form		other than those based on Lehman nan-docket.com as of July 17, 2009		
Name and addr	ess of Creditor: (and name and a	ddress where notices should be sent if diff	Ferent from Cicunoi)	u cneck this box to indicate that this claim amends a previously filed claim.
Banca Popolare Via Ottavio Se	e di Puglia e Basilicata S.C.p.A.			Court Claim Number:
70022 Altamur	•			(If known)
Italy Attention: Mr.	Renato Quaranta			
				Filed on:
Telephone num	ber: +39 080 8710342	Email Address: tesoreria.integrata@ban	capopolaredipugliaebasilicata.it	
Name and addr	ess where payment should be sen	t (if different from above)		☐ Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
Telephone num	ber:	Email Address:]		
September 15, fixed or liquida 2008. If you ar	2008, whether you owned the Le ted before or after September 15	hman Programs Securities on September, 2008. The claim amount must be stated	15, 2008 or acquired them thereaft in United States dollars, using the	owed under your Lehman Programs Securities as of ter, and whether such claim matured or became exchange rate as applicable on September 15, in the claim amounts for each Lehman Programs
Amount of Cla	im: See attached	(Required)		
Check this	oox if the amount of claim includ	les interest or other charges in addition to	the principal amount due on the L	ehman Programs Securities.
		ation Number (ISIN) for each Lehman Promay attach a schedule with the ISINs for		nn relates. If you are filing this claim with respect to o which this claim relates.
International	Securities Identification Numbe	er (ISIN): See attached	(Required)	
"Blocking Nun broker or other schedule with t	ber") for each Lehman Programs entity that holds such securities of the Blocking Numbers for each L	s Security for which you are filing a claim on your behalf). If you are filing this clair ehman Programs Security to which this c	<ul> <li>You must acquire a Blocking None with respect to more than one Localization</li> </ul>	ocking reference number, as appropriate (each, a umber from your accountholder (i.e. the bank, ehman Programs Security, you may attach a
Clearstream I	ank Blocking Number, Eurocl	ear Bank Electronic Instruction Refere	nce Number and or other depos	itory blocking reference number:
See attached	(Requir			
claim. You mu	st acquire the relevant Clearstrea	ik or other depository participant account m Bank, Euroclear Bank or other deposite Beneficial holders should not provide th	ory participant account number fro	rograms Securities for which you are filing this om your accountholder (i.e. the bank, broker or other
Accountholde	rs Euroclear Bank, Clearstrear	n Bank or Other Depository Participan	t Account Number:	
See attached	(Requir	ed)		
deemed to have	e authorized, Euroclear Bank, Clo grams Securities to the Debtors f	Bank or Other Depository: By filing the carstream Bank or other depository to discort the purpose of reconciling claims and of the purpose of	close your identity and holdings distributions.	FRED / RECEIVED
Date. October 27 th, 2009	or other person authorized to fi	nis claim must sign it. Sign and print nam le this claim and state address and telepho opy of power of attorney, if any	one number if different from the	OCT 2 8 2009
! 	Name: Raffaele D'Ecclesiis	Title: President W Au	,	EPIO BANKRUPTCY SOLUTIONS, LLC
	Penalty for presenting frau	dulent claim: Fine of up to \$500,000 or in	nonsonment for up to 5 years, or b	ootn. 18 U.S.C. §§ 152 and 3571

UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK	
In re:	-X Chapter 11
Lehman Brothers Holdings Inc., et al.	Case No. 08-13555 (JMP
Debtors.	(Jointly Administered)

## ANNEX TO PROOF OF CLAIM OF BANCA POPOLARE DI PUGLIA E BASILICATA S.C.P.A.

- 1. <u>Claimant</u>. Banca Popolare di Puglia e Basilicata S.C.p.A. (the "Claimant"), hereby files the accompanying proof of claim (the "Proof of Claim") against Lehman Brothers Holdings Inc. (the "Debtor"), a debtor and debtor in possession in the above-referenced chapter 11 cases. The Claimant holds claims against the Debtor arising from certain transactions that occurred prior to September 15, 2008 (the "Petition Date"), as described more fully below.
- 2. <u>Transactions Between the Parties.</u> The Claimant is the holder and is authorized to act on behalf of the holders of certain securities issued by Lehman Brothers Treasury Co. B.V. (the "Obligor") and guaranteed by the Debtor (each a "Program Security" and, together, the "Program Securities") identified on the list of Lehman Program Securities, which is available on the Debtors' website, <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> under the heading "Key Documents." The International Securities Identification Number ("ISIN") identifying each Program Security, along with the respective Clearstream Bank blocking reference number and the Clearstream Bank, account number relating to the Program Securities, is detailed on <a href="https://example.com/Exhibit 1">Exhibit 1</a> attached hereto. Evidence of the ownership of the securities described in <a href="https://exhibit 1">Exhibit 1</a> is provided in <a href="https://exhibit 1">Exhibit 1</a> attached hereto. The Program Securities have the benefit of an express, unconditional and

irrevocable guarantee of the Debtor, and further, the Debtor guaranteed the payment of all liabilities, obligations and commitments of the Obligor pursuant to those certain board resolutions adopted by the Executive Committee of the Debtor's Board of Directors attached hereto as Exhibit 4, including but not limited to those certain resolutions adopted by unanimous written consent on June 9, 2005 and certain other guarantees extended to affiliates of the Debtor and/or Lehman related entities.

- 3. <u>Claim</u>. The Claimant is the holder and is authorized to act on behalf of the holders of certain securities described more fully in <u>Exhibit 1</u> hereto and on account thereof and in accordance with the terms of the documentation relating thereto, hereby asserts a claim against the Debtor in the amount of \$ 1,228,803.16, <u>plus</u>, to the extent provided pursuant to the underlying documents associated with each Program Security all other interest, costs, fees and expenses allowed under applicable law (the "Claim"). An itemization of certain amounts comprising the Claim is set forth on Exhibit 2 attached hereto.
- 4. <u>Security Interests and Priority Status</u>. The Claim is filed as a general unsecured claim, without any prejudice to any and all rights of the Claimant to assert that any portion of the Claim is entitled to administrative priority under Sections 503 and 507 of the Bankruptcy Code.
- 5. <u>Claims, Counterclaims, Setoffs and Defenses</u>. The Claim is not subject to any known claims, counterclaims, setoffs or defenses by the Debtor. The Claimant also reserves any and all rights of setoff and recoupment that the Claimant or any of its affiliates may have against the Debtor or its related entities.
- 6. Reservation of Rights. The execution and filing of this Proof of Claim is not and shall not be deemed: (a) a waiver or release of the Claimant's rights against any other entity or person liable for all or any part of the Claim asserted herein; (b) a consent by the Claimant to the

jurisdiction of this Court with respect to any proceeding commenced in this case against or otherwise involving the Claimant; (c) a waiver of the right to withdraw the reference with respect to the subject matter of the Claim, any objection or other proceedings commenced with respect thereto or any other proceedings commenced in this case against or otherwise involving the Claimant; (d) a waiver or release by the Claimant of its right to trial by jury, or a consent by the Claimant to a trial by jury, in this Court or any other court; (e) a waiver of any right to the subordination, in favor of the Claimant, of indebtedness or liens held by any creditors of the Debtor or any of its affiliates; (f) an election of remedies which waives or otherwise affects any other remedy; (g) waiver of the Claimant's rights to assert that no claims hereunder have been or may be discharged and to file other claims which are not covered by this Proof of Claim; or (h) a waiver of the Claimant's rights, if any, of arbitration, to the extent provided by any applicable agreements with the Debtor or its affiliates.

Amendments. The Claimant expressly reserves its right to file any separate or additional proofs of claim with respect to the Claim set forth herein or otherwise (which proofs of claim, if so filed, shall not be deemed to supersede this proof of claim unless expressly so stated therein), to amend or supplement this Proof of Claim in any respect, including with respect to the filing of an additional or amended claim for the purpose of fixing and liquidating any contingent or unliquidated claim set forth herein, or to file additional proofs of claim in respect of additional amounts or for any other reason.

### **EXHIBIT 1**

ISIN Code	Clearstream blocking reference #	Clearstream Account #	Sub-custodian account #	Iusser	Nominal Amount
XS0176153350	CA81609	81999	99/1/5385/23	Lehman Brothers Treasury Co. B.V	€ 60,000.00
XS0195431613	CA81618	81999	99/1/5385/23	Lehman Brothers Treasury Co. B.V	€ 25,000.00
XS0211093041	CA81648	81999	99/1/5385/22	Lehman Brothers Treasury Co. B.V	€ 400,000.00
XS0213971210	CA81657	81999	99/1/5385/22	Lehman Brothers Treasury Co. B.V	€ 360,000.00

ISIN Code	Monte Titoli blocking reference #	Monte Titoli Account #	Iusser	Nominal Amount
IT0006578600	82032999	5385.00	Lehman Brothers Treasury Co. B.V	€ 10,000.00

# **EXHIBIT 2**

ISIN CODE	Nominal Amount (FOREIGN CURRENCY)	Interest ** (FOREIGN CURRENCY)	Total (FOREIGN CURRENCY)	Nominal Amount (USD) *	Interest (USD) *	Total (USD) *
IT0006578600	€ 10,000	€ 196.63	€ 10,196.63	14,201.00	279.23	14,480.23
XS0176153350	€ 60,000	€ 1,710.25	€ 61,710.25	85,206.00	2428.73	87,634.73
XS0195431613	€ 25,000	€211.18	€ 25,211.18	35,502.50	299.90	35,802.40
XS0211093041	€ 400,000	€ 4,624.66	€ 404,624.66	568,040.00	6,567.48	574,607.48
XS0213971210	€ 360,000	€ 3,550.68	€ 363,550.68	511,236.00	5,042.32	516,278.32
Total Claim A	nount				USD \$	1,228,803.16

<sup>\*</sup> Amounts due in EUR have been converted to US Dollars using the exchange reference rate published by the Bloomberg for September 15, 2008:  $1 \, \text{Eur} = 1.4201 \, \text{USD}$ 

<sup>\*\*</sup> Interest accrued until September 14, 2008 (included)

# **EXHIBIT 3**

Evidence of Ownership

23. Ott. 2009 16:00

Nr. 2947 P. 1



Lehman Brothers Holdings Inc. Chapter 11, Case No. 08-13555 (JMP) (Jointly Administered)

#### **STATEMENT**

Istituto Centrale delle Bauche Popolari S.p.A., represented by Mr. LUCIANO MEROSO, in his capacity as Deputy Manager, having its registered office in Milan, Corso Europa 18, in order to allow its depositor holding Lehman Programs Securities, as defined by the order entered on July 2, 2009 by the U.S. Bankruptcy Court for Southern District of New York, to file a proof of claim against Lehman Brothers Holdings Inc.

#### **CERTIFIES**

That, at the date of October 23, 2009, Banca Popolare di Puglia e Basilicata s.c.p.a., having its registered office in Altamura (BA), via Ottavio Serena 13 is the depositor of the Lehman Programs Securities listed below and that Clearstream Bank, at our request, assigned to each Lehman Programs Security a blocking reference number, as stated below.

Issuer	ISIN Code	Currency	Nominal Amount	Clearstrc	Clearstream Account #	ICBPI Account #
				Blocking #		
LEHMAN 10/10/13 LKD	XS0176153350	EUR	60,000.00	CA81609	81999	99/1/5385/23
LEHMAN 03/11/08 TV	XS0179304869	EUR	80,000.00	CA81611	81999	99/1/5385/23
LEHMAN 5/4/11	XS0189741001	EUR	25,000.00	CA81613	81999	99/1/5385/23
LEHMAN 21/05/09 TV	XS0193035358	EUR	45,000.00	CA81614	81999	99/1/5385/23
LEHMAN 14/7/14 7%	XS0195431613	EUR	25,000.00	CA81618	81999	99/1/5385/23
LEHMAN 09/11/09 TV	XS0205185456	EUR	20,000.00	CA81642	81999	99/1/5385/23
LEHMAN 09/03/15 4%	XS0213899510	EUR	60,000.00	CA81655	81999	99/1/5385/23
LEHMAN 20/07/12 TV	XS0224346592	BUR	660,000.00	CA81663	81999	99/1/5385/23
LEHMAN 10/12 5.375%	XS0326006540	EUR	50,000.00	CA81669	81999	99/1/5385/23
LEHM TSY 2/15 S/D TM	XS0211093041	EUR	400,000.00	CA81648	81999	99/1/5385/22
LEHMAN 18/03/15 TV	XS0213971210	EUR	360,000.00	CA81657	81999	99/1/5385/22

## ISTITUTO CENTRALE DELLE BANCHE POPOLARI 5pA...

Capogruppo del Gruppo ICBPI

08-13555-mg Doc 52614-1 Filed 04/27/16 Entered 04/27/16 19:04:56 Attachment A Pg 184 of 198

23. Ott. 2009 16:01

Nr. 2947 P. 2

# -IX ICBPI

Yours faithfully, Istituto Centrale delle Banche Popolari S.p.A.

Luciano Meroso Deputy Manager

Milan, 23 October 2009

08-13555-mg Doc 52614-1 Filed 04/27/16 Entered 04/27/16 19:04:56 Attachment A Pg 185 of 198

Messaggio/i 717 Pagina 1 di 1



MONTE TITOLI S.p.A. CENTRO ELETTRONICO

[Disclaimer] [Copyright]

# **EXHIBIT 4**

Written Consent June 9, 2005

06/08/2005

16:41

LEHMAN → 916467582653

NO.504 P01

## UNANIMOUS WRITTEN CONSENT OF THE

# **EXECUTIVE COMMITTEE OF THE**

#### **BOARD OF DIRECTORS OF**

## LEHMAN BROTHERS HOLDINGS INC.

The undersigned, being both members of the Executive Committee of the Board of Directors of Lehman Brothers Holdings Inc., a Delaware corporation (the "Corporation"), do hereby adopt the following resolutions by unanimous written consent in lieu of a meeting in accordance with Section 141(f) of the General Corporation Law of the State of Delaware:

WHEREAS, the Corporation has previously authorized by specific resolution, which authority has not been revoked (the "Outstanding Guarantee Resolutions"), the guarantee of all or specified obligations and liabilities of certain direct and indirect subsidiaries of the Corporation, each of which is a "Guaranteed Subsidiary" as such term is used in the Corporation's Code of Authorities as currently in effect (the "Code"),

WHEREAS, certain of the Guaranteed Subsidiaries presently enjoy full guarantees while others have only partial guarantees, and the Corporation now wishes to expand such partial guarantees to full guarantees,

WHEREAS, due to the passage of time the names of certain of the Guaranteed Subsidiaries have changed, rendering the Outstanding Guarantee Resolutions out of date to that extent,

WHEREAS, the Corporation wishes to clarify that its guarantee of any Guaranteed Subsidiary with respect to any given transaction is not contingent upon the issuance of a signed guarantee pertaining to such transaction,

WHEREAS, Management wishes to establish additional Guaranteed Subsidiaries,

WHEREAS, Management wishes to specify that to the extent lawful and allowable, guarantees issued by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, so as to secure certain tax and accounting benefits, and

WHEREAS, Management believes that it would facilitate the conduct of the business of the Corporation to supersede and replace the various Outstanding Guarantee Resolutions in their entirety with this single document,

NOW THEREFORE BE IT.

06-09-05 11:31 JDM INVESTMENTS

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HD. 290 004 ND. 504 002

RESOLVED, that the Corporation hereby fully guarantees the payment of all liabilities, obligations and commitments of the subsidiaries set forth on Schedule A hereto, each of which shall be a Guaranteed Subsidiary for purposes of the Code;

RESOLVED, that the Outstanding Guarantee Resolutions are hereby superseded and replaced in their entirety with this single document, provided that any guarantees provided pursuant to the Outstanding Guarantee Resolutions and outstanding on the deve bereof, whether in the form of a separately executed individual guarantee or otherwise, shall remain issued, outstanding and valid for all purposes;

RESOLVED, that guarantees provided by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, to the extent lawful and allowable, as specified on Schedule A hereto:

RESOLVED, that each of the persons listed in the Code (as it may be amended from time to time) as being authorized to approve individual guarantees issued by the Corporation with respect to Guaranteed Subsidiaries, or any proper delegae thereof (collectively, "Authorized Persons"), are hereby authorized, in the name and on behalf of the Corporation, to execute such guarantees in such form as is approved by an antorney of the Corporation and such Authorized Person, subject to any limitations specified herein, his or her execution of each such guarantee to be conclusive evidence of approval thereof; and to do such other acts and things as may be advisable or necessary in order to effect the purposes and intern of these resolutions; and

FURTHER RESOLVED, that any and all actions contemplated by the foregoing resolutions and taken by such Authorized Persons prior to the date hereof are hereby ratified, confirmed and approved in all respects.

Dated: June 9, 2005

Dichard C Pold Ir

John D. Macomber

06/08/2005

16:41

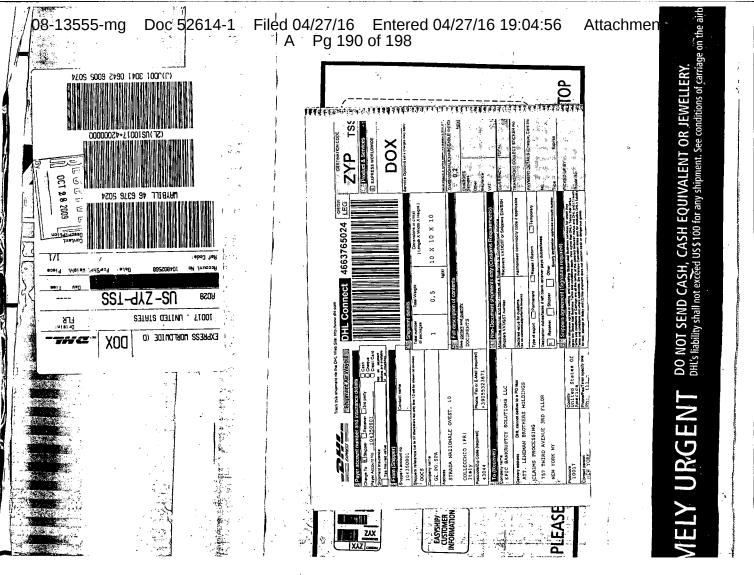
LEHMAN → 916467582653

NO.504

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# Schedule A to LBHI Unanimous Written Consent dated June 9, 2005

	Name of Subsidiary	Issue Corporation guarantee from branch located in London, England, to the extent lawful and allowable?
		With the Bridge Bridge Bridge
1.	Lehman Brothers Asia Holdings Limited	No
2.	Lehman Brothers Bankhaus A.G.	Yes (London branch of such subsidiary only)
3.	Lehman Brothers Commercial Bank	No
4.	Lehman Brothers Commercial Corporation	No
5.	Lehman Brothers Commercial Corporation Asia Limited	No
6.	Lehman Brothers Equity Finance (Cayman) Limited	No
7.	Lehman Brothers Finance S.A.	No
8.	Lehman Brothers Holdings Plc	Yes
9.	Lehman Brothers International (Europe)	Yes
10.	Lehman Brothers Japan Inc.	No
11.	Lehman Brothers (Luxembourg) Equity Finance S.A.	No
12.	Lehman Brothers (Luxembourg) S.A.	No
13.	Lehman Brothers OTC Derivatives Inc.	No
14.	Lehman Brothers Securities Asia Limited	No
15.	Lehman Brothers Securities N.V.	No
16.	Lehman Brothers Special Financing Inc.	No
17.	Lehman Brothers Treasury Co. B.V.	No
18.	Lehman Re Limited	No



Lehman Brothers c/o Epiq Bankrup FDR Station, P.O New York, NY 10		sing Center		CURITIES PROGRAMS OF OF CLAIM
In Re:	Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP)	Lehman Brothers Hold	dings Inc., Et Al.
Debtors.	riolungs mc., et al.,	(Jointly Administered)	08-13555 (J	MP) 0000050861
based on Lehn	m may not be used t nan Programs Securi nnan-docket.com as			
Name and address Creditor)	s of Creditor: (and name	and address where notices should be	sent if different from	Check this box to indicate that this claim amends a previously filed claim.
		Funds Management Company	S.A.	Court Claim Number:
	de Metz, L-1930 L			(If known)
		69 route d'Esch, L-1470 Luxe	_	Filed on: 10/28/39
	er: +41 58 344 49 00Er	nail Address: compliance@s be sent (if different from above)	wisscanto.ch	
i	s where payment should	oe sent (ii different from above)		Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
Telephone numbe	<del>д:</del> Ег	nail Address:		
Programs Securiti and whether such dollars, using the you may attach a	ies as of September 15, 2 claim matured or becam exchange rate as applica schedule with the claim	2008, whether you owned the Lehman e fixed or liquidated before or after S ble on September 15, 2008. If you are amounts for each Lehman Programs S	Programs Securities on Septem eptember 15, 2008. The claim of filing this claim with respect to Security to which this claim relations	the amount owed under your Lehman nber 15, 2008 or acquired them thereafter, amount must be stated in United States o more than one Lehman Programs Security, ates.
Amount of Clair	n: \$ <u>at least 47.5</u>	<u> 808,109.49 (Required(see a</u>	ttached Annex)	
Check this b	ox if the amount of clain	n includes interest or other charges in	addition to the principal amoun	nt due on the Lehman Programs Securities.
this claim with re- which this claim	spect to more than one L relates.	ehman Programs Security, you may a		which this claim relates. If you are filing for the Lehman Programs Securities to
International Se	curities Identification N	Number (ISIN): XS0292528311	(Required)	
3. Provide the Cle appropriate (each from your account	earstream Bank Blocking , a "Blocking Number") tholder (i.e. the bank, bro	Number, a Euroclear Bank Electroni for each Lehman Programs Security to oker or other entity that holds such se	for which you are filing a claim curities on your behalf). If you	depository blocking reference number, as You must acquire a Blocking Number are filing this claim with respect to more Programs Security to which this claim
Clearstream Bar	nk Blocking Number, E	uroclear Bank Electronic Instructi	on Reference Number and or	other depository blocking reference
number	enclosed list (attachi	ment 2) Point 3	_	
		(Require		
you are filing this	claim. You must acquir	re the relevant Clearstream Bank, Eur	oclear Bank or other depository	ur Lehman Programs Securities for which participant account number from your rs should not provide their personal account
		stream Bank or Other Depository P	•	
	16316	(Requires		FOR COURT USE ONLY
consent to, and ar disclose your ider	e deemed to have author	eam Bank or Other Depository: By ized, Euroclear Bank, Clearstream Ba man Programs Securities to the Debte	ank or other depository to	FILED / RECEIVED
Date. 21-10-09	of the creditor or other	filing this claim must sign it. Sign at person authorized to file this claim at n the notice address above. Attach co	nd state address and telephone	OCT 2 8 2009
				EPIO BANKRUPTCY SOLUTIONS, LLC
Penalty		t claim: Fine of up to \$500,000 or in	nprisonment for up to 5 years, o	1-Both. 10 U.S.C. 99 132 and 35/1

or. Gerard Fischer Chairman

Ralf C. Branda Member Board of Directors

Dung

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#### INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

#### Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

#### Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim.

#### DEFINITIONS\_

#### Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

#### Creditor

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy

#### filing.

#### Claim

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable

#### **Proof of Claim**

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

Lehman Brothers Holdings Claims Processing c/o Epiq Bankruptcy Solutions, LLC FDR Station, PO Box 5076 New York, NY 10150-5076

#### Lehman Programs Security

Any security included on the list designated "Lehman Programs Securities" available on <a href="http://www.lehman-docket.com">http://www.lehman-docket.com</a> as of July 17, 2009.

# \_INFORMATION

Acknowledgment of Filing of Claim

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (http://www.lehman-docket.com) to view your filed proof of claim.

#### Offers to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 et seq.), and any applicable orders of the bankruptcy court.

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# Annex to Proof of Claim Form of Swisscanto (LU) Portfolio Funds Management Company S.A.

In addition to the statements set forth on its proof of claim form and in the documents attached hereto and/or submitted in accordance with the applicable procedures, Swisscanto (LU) Portfolio Funds Management Company S.A. ("Swisscanto") states as follows in support of its claim against the Debtor:

- 1. In addition to the amount of its claim, Swisscanto asserts a claim for any and all interest (including default interest), costs, fees, charges and expenses (including attorneys' fees) due and owing or that may become due and owing or otherwise allowable in connection with its clam.
- 2. Swisscanto is filing this proof of claim in anticipation of the claims bar date (the "Bar Date"), which has been set as November 2, 2009, pursuant to the Court's July 2, 2009 Order pursuant to Section 502(b)(9) of the Bankruptcy Code and Bankruptcy Rule 3003(c)(3) Establishing the Deadline for Filing Proofs of Claim, Approving the Form and Manner of Notice Thereof and Approving the Proof of Claim Form. Swisscanto reserves the right to amend, modify, and/or supplement this proof of claim at any time, including, without limitation, for the purpose of asserting additional claims, whether arising from the transactions or documents described in Swisscanto's proof of claim, this attachment, or otherwise. Swisscanto also reserves its rights to assert any and all rights of setoff that it may have against the Debtor in respect of its claims, including, without limitation, the right to set off its claims against any claims that the Debtor (or any successor, assignee or person claiming through the Debtor, as the case may be) may assert against Swisscanto or its successors or assigns, whether or not arising under the transactions set forth in this proof of claim. Swisscanto also reserves its right to pursue claims (including, but not limited to, the claims described herein) against the Debtor based upon additional or alternative legal theories. Swisscanto also reserves the right to assert administrative expense claims.
- 3. Swisscanto reserves its right to seek to have the reference withdrawn with respect to the subject matter of these claims, any objection or other proceedings commenced with respect thereto, or any other proceedings commenced in this case or otherwise involving Swisscanto. By filing this proof of claim, Swisscanto does not intend to submit to the jurisdiction of the Bankruptcy Court for any purpose other than the determination and allowance of this claim.
  - 4. All notices and any distribution with respect to this proof of claim should be sent to:

ARNOLD & PORTER LLP
399 Park Ave, 34<sup>th</sup> Fl.
New York, NY 10022
Attn: Anthony Boccanfuso
Telephone number: (212) 715-1000

Facsimile: (212) 715-1399

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EUR WMCO Curncy CHF WMCO Curncy
Date PX\_LAST Date PX\_LAST
15.09.2008 1.4189 15.09.2008

Attachement 1 Claim (incl. nominal and interests) Lehman Exposure of Portfolio Funds Management Company S.A. as of 15.09.08

Claims in USD	i				
Fund	NISI	Nominal	Intrest	Interest E	Exposure Total
SW (LU) Portfolio Fund Growth (EUR)	XS0292528311 USD	35 567'560.00	6.301%	35'761.83	603'321.83
SW (LU) Portfolio Fund Balanced (EUR)	XS0292528311 USD	(D 1'986'460.00	6.301%	125'166.40	2'111'626.40
SW (LU) Portfolio Fund Yield (EUR)	XS0292528311 USD	D 993'230.00	6.301%	62'583.20	1'055'813.20
SW (LU) Portfolio Fund Yield	XS0292542494 USD 18'467'303.06	3D 18'467'303.06	3.483%	643'244.21	19'110'547.27
SW (LU) Portfolio Fund Balanced	XS0292542494 USD 17'396'734.77	ID 17'396'734.77	3.483%	605'954.69	18'002'689.46
SW (LU) Portfolio Fund Growth	XS0292542494 USD 6'691'051.83	D 6'691'051.83	3.483%	233'059.50	6'924'111.33
Total Claims in USD	7. 10SD 46102339:66	D 4610233966			47.808.109.49

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